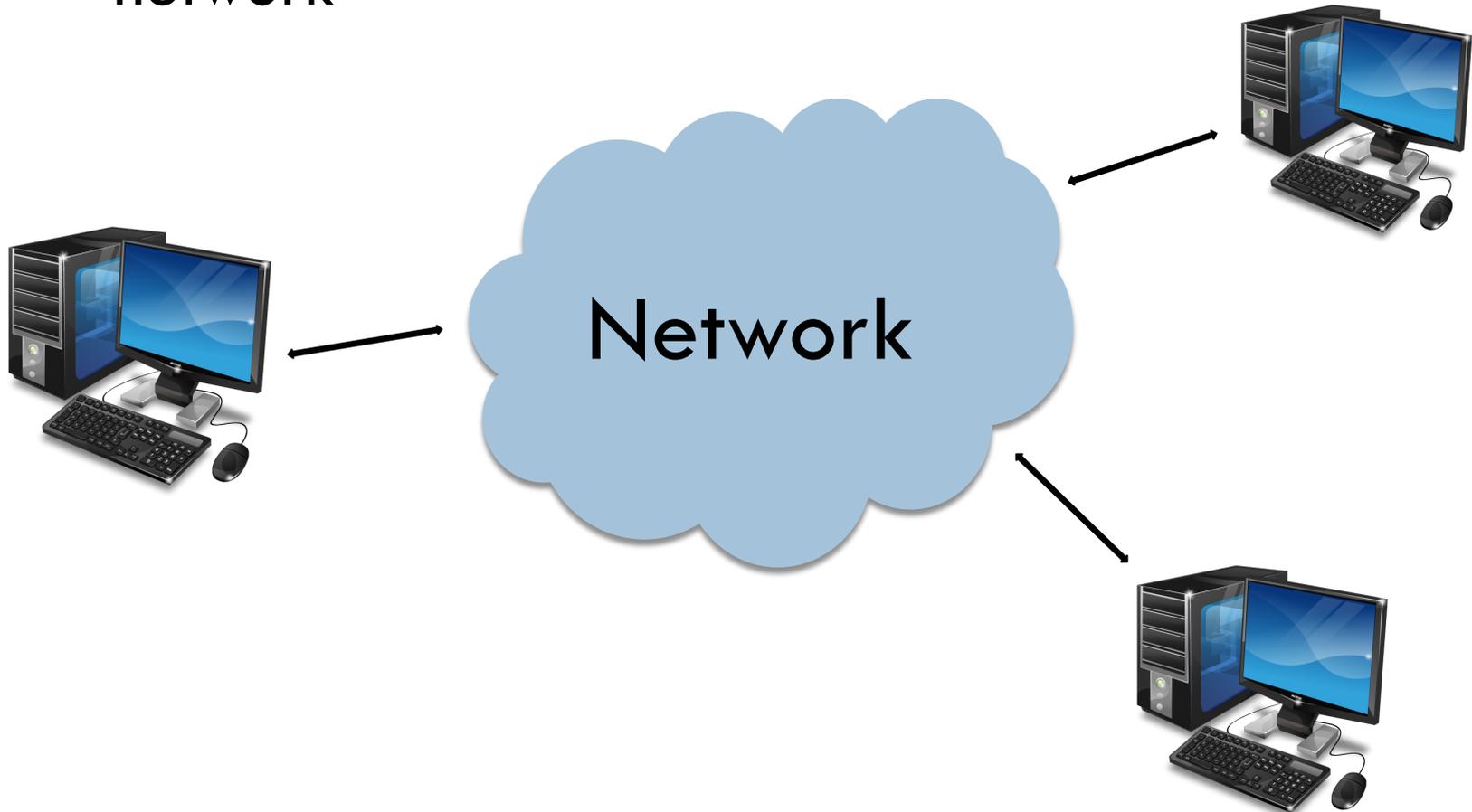


SOCKET

Valerio Di Valerio

The Problem

- Communication between computers connected to a network



Network applications

- A set of processes distributed over a network that communicate via messages
 - ▣ Ex: Browser Web, BitTorrent, ecc...
- Processes communicate via *services* offered by the operating system
 - ▣ What kind of services?! TCP, UDP and IP protocols...
- Most famous network application architecture: *client/server*

Client/server model

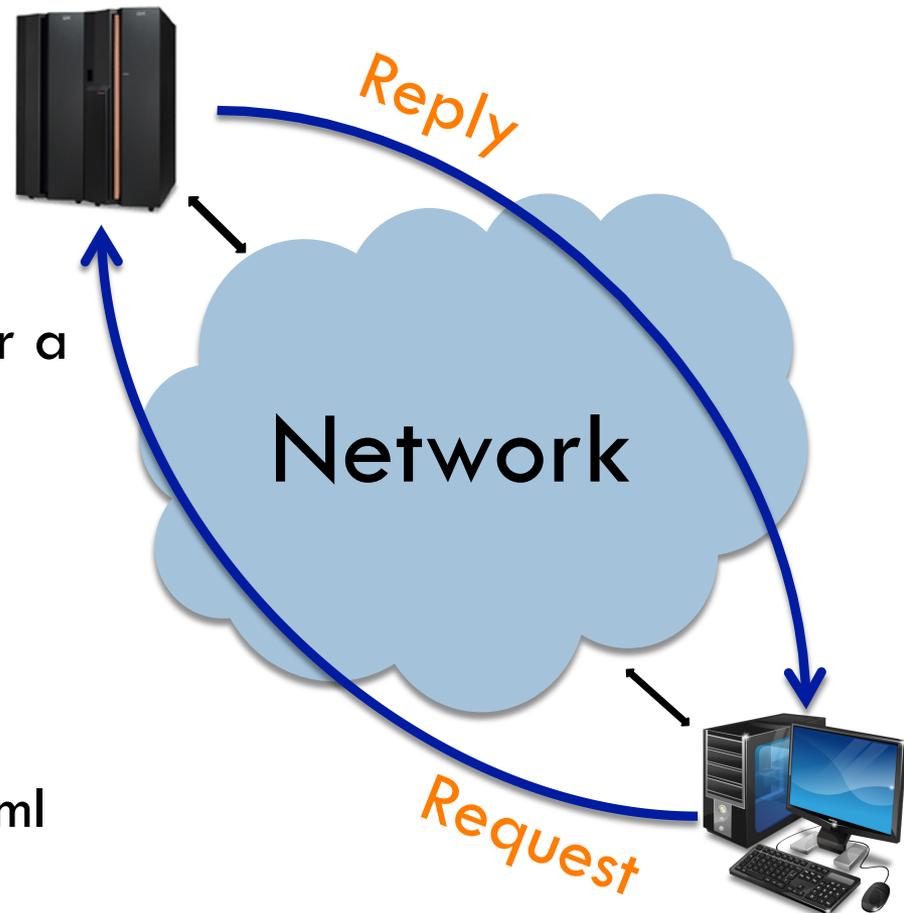
Network application has two components: *client* and *server*

□ **Client:**

- Initiates communication
- Requests a service
 - Es: Chrome sends a request for a Web site:

□ **Server:**

- Waits a request
- Provides the service
 - Es: Web server providing an html page



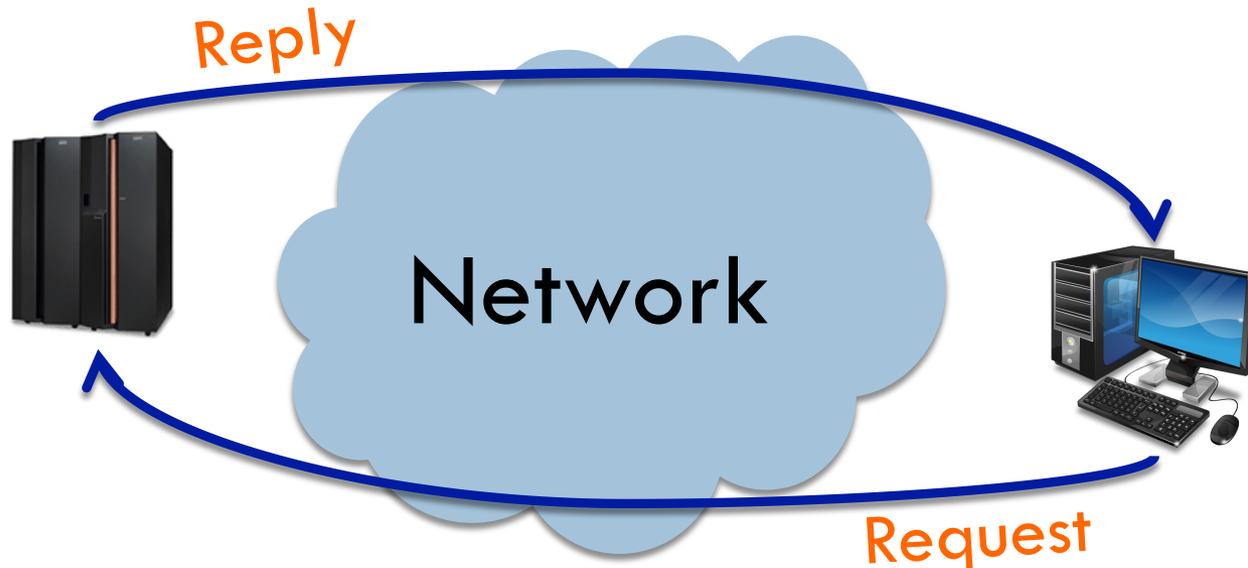
Peer-to-Peer model



See previous lesson!

Two main problems

- **Network addressing:** how to unambiguously identify the process running on a remote host
- **Data transport:** how to transfer bits to the destination



Addressing and data transport in TCP/IP

- **Addressing** based on two components
 - ▣ IP address: identifies the remote host (actually the network interface)
 - ▣ Port number: identifies the running process
- **Data transport** based on two protocols
 - ▣ TCP: connection oriented, stream oriented, reliable data transfer
 - ▣ UDP: message oriented, no connection, no reliable data transfer

How to interact with TCP/UDP

- Protocols run “inside” the operating system
 - ▣ OSs usually implement the protocol stack TCP/IP
- Our applications run “outside” the operating system
- **Result:** our applications need to interact with the OS to send data to TCP/IP
- Interaction is possible using a set of interfaces called **Application Programming Interface (API)**

Application Programming Interfaces (API)

- They standardize interaction with the OS specifying:
 - ▣ Function prototypes
 - ▣ Input/output parameters
- **Socket:** Internet API
 - ▣ Originated with the BSD Unix operating system in 1983 and developed in C
 - ▣ Now available on many OSs
 - ▣ The Python interface is a straightforward transliteration of the Unix interface for sockets implemented in C

Socket

- It is a “door” between application and transport protocols (TCP o UDP)
 - ▣ Allows to send/receive data from the network
- It represents the communication **endpoint**
 - ▣ A socket is owned by the application
- It provides to developers a high level interface to transport protocols

Socket

Controlled by
application
developer

Controlled by
operating system

Process

Socket

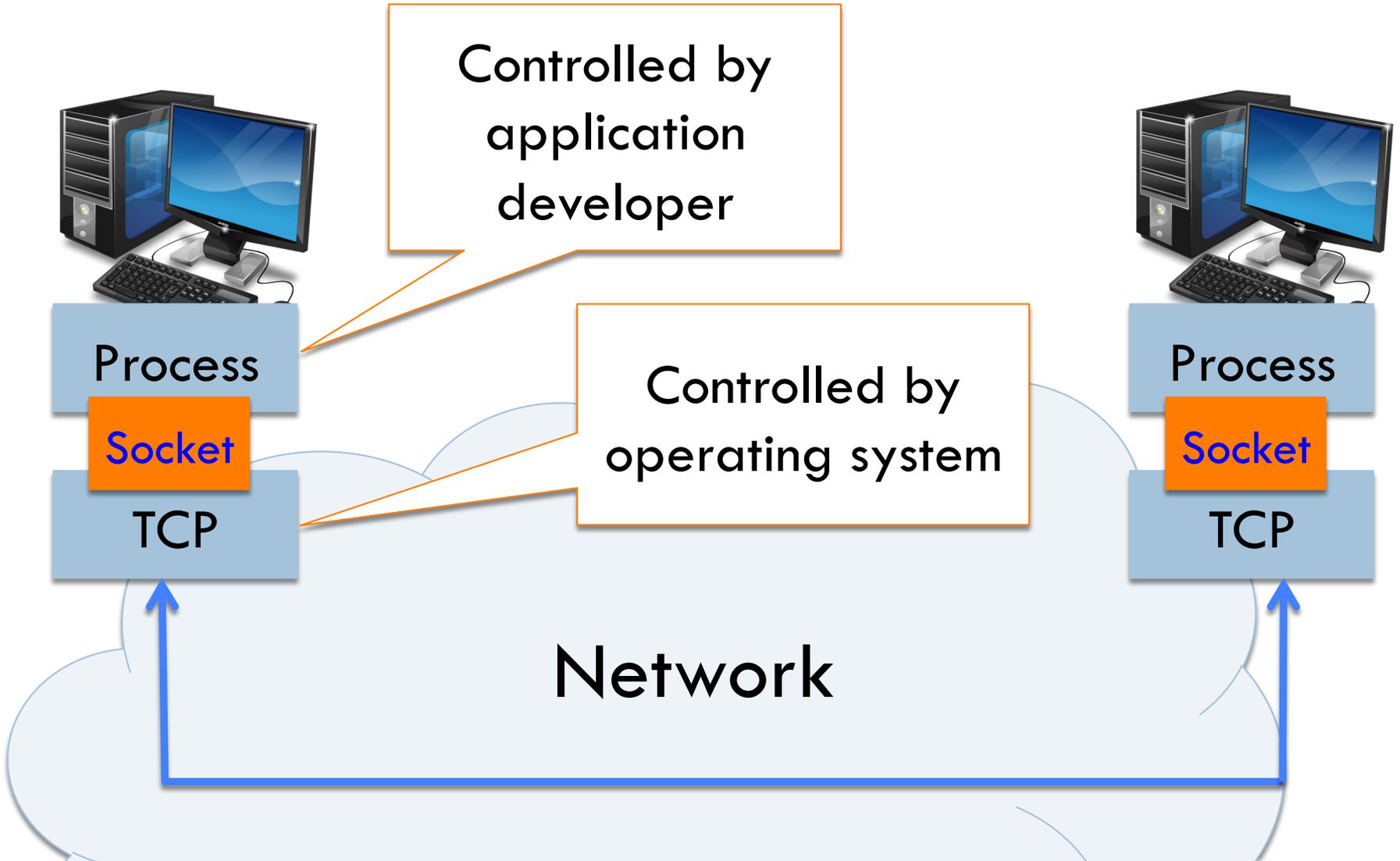
TCP

Process

Socket

TCP

Network



Socket in Python

- Socket creation:

```
import socket
```

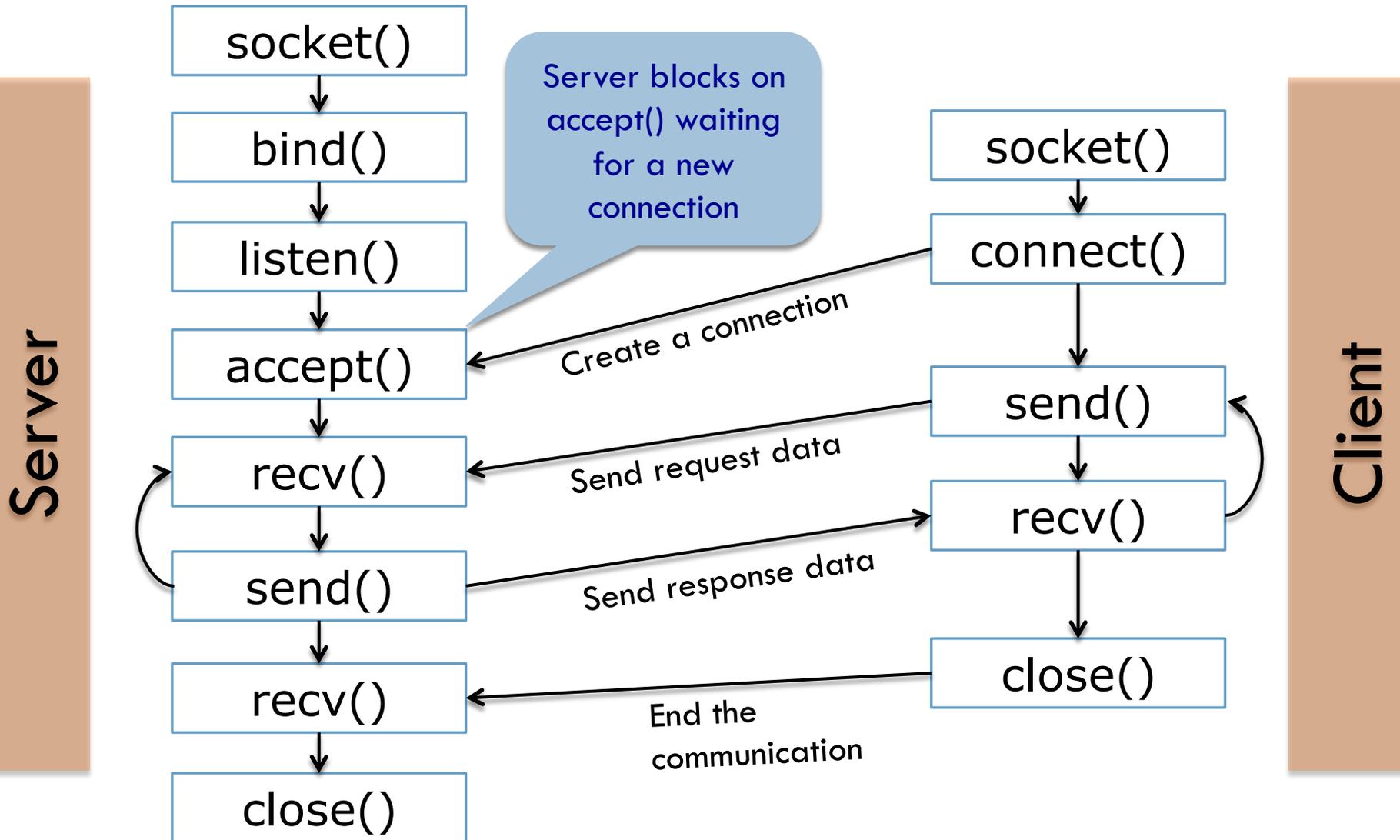
```
s = socket.socket(addr_family, type, protocol)
```

- It is the first function executed both by the client and the server
 - ▣ The OS initializes all the resources needed to manage data transfer
- It returns the socket...
 - ▣ or raises an exception if something goes wrong

Socket in Python

- *addr_family*: the protocol family
 - `socket.AF_INET`: IPv4 protocol
 - `socket.AF_INET6`: IPv6 protocol
 - `socket.AF_UNIX`: to manage communication between processes on the same host
- *type*: the communication type
 - `socket.SOCK_STREAM`: stream (connection) oriented
 - `socket.SOCK_DGRAM`: message oriented
 - `socket.SOCK_RAW`: provide access to the network layer
- *protocol*: a specific protocol
 - If set to 0 (or omitted) the default protocol defined by the couple *addr_family* + *type* will be used
 - Es: `socket.AF_INET` + `socket.SOCK_STREAM` = TCP

Connection oriented communication



Bind a socket to an address

```
socket.bind(address)
```

- Thanks to the `bind()` function the OS will forward the received packets to the correct process!
- *address* is a tuple (host, port) for the AF_INET address family
 - *host* is a string representing either a hostname in Internet domain notation like “www.repubblica.it” or an IPv4 address like “213.92.16.191”
 - *port* is an integer

Socket addresses in Python

- `host = ""` (i.e., an empty string) specifies all local network interfaces
- `host = "localhost"` specifies the *loopback* interface
 - A virtual network interface used to manage communication between processes running on the same machine
 - Bypasses local network interface hardware and lower layers of the protocol stack
 - Useful for testing and development
 - "localhost" corresponds to the reserved IP address 127.0.0.1
- Example:

```
import socket
sock = socket.socket(AF_INET, SOCK_STREAM)
sock.bind(("",9000))
sock.bind("localhost",9000)
sock.bind("192.168.2.1",9000)
```

A note on port numbers

- Managed by *Internet Assigned Numbers Authority (IANA)*
 - maintains the official assignments of port numbers for specific uses
- **Well-known** ports (range 0-1023)
 - Used by system processes that provide widely used network services
 - 21 -> FTP, 23 -> Telnet, 25 -> SMTP (Mail), 80 -> HTTP (Web)
 - On Unix OS a process needs root privileges to be able to bind on these ports
- **Registered** ports (range 1024-49151)
 - The IANA registers uses of these ports as a convenience to the Internet community
 - 1863 -> MSNP, 3074 -> Xbox LIVE,
 - Registered ports can be used by ordinary users
- **Dynamic** ports (range 49152–65535)
 - They cannot be registered with IANA
 - Used for custom or temporary purposes

listen() function

```
socket.listen(backlog)
```

- Tells the OS to start listening for connections on the socket
- *backlog* argument specifies the maximum number of queued connections
 - the maximum value is system-dependent
- On Linux it refers to the established connections (3-way handshake completed)
 - Security reason: SYN flood attack
- If backlog is full, new connection requests can be ignored or refused by the OS
- 3-way handshake completely managed by the OS

Example: a simple server (to be cont'd)

```
import socket
```

```
HOST = ""
```

```
PORT = 1060
```

```
sock = socket.socket(AF_INET, SOCK_STREAM)
```

```
sock.bind((HOST,PORT))
```

```
sock.listen(5)
```

Create
socket

Start listening for
connections on
the socket

Bind to the
specified
address

connect() function

```
socket.connect(address)
```

- Connects to a remote socket at *address*.
- If a TCP socket is used, connect() tells the OS to start the 3-way handshake
- *address* is a tuple (host, port) (for the AF_INET address family)
- Example:

```
import socket  
sock = socket(AF_INET, SOCK_STREAM)  
sock.connect(("www.python.org", 80))
```

accept() function

```
sock, address = socket.accept()
```

- It allows the server to take the first established connection from the backlog
- If backlog is empty, accept() blocks until a connection is received
- Return values:
 - *address* is the address of the client that connected
 - *sock* is a **new** socket, the one actually used to transfer data with the connected client

Passive and active sockets

- Server uses two different sockets for each client connection
- The **passive** socket, created by `socket()`
 - Holds the “socket name” (i.e., the address and port number) at which the server is ready to receive connections
 - No data can ever be received or sent by this kind of port
 - It does not represent any actual network conversation
 - Used to listen to incoming connections (using `listen()` function)
- The **active** socket, returned by `accept()`
 - It has the same “socket name” of the passive socket
 - It is bound to one particular remote conversation partner
 - It can be used only for talking back and forth with that partner

Passive and active sockets

- **Problem:** there can be many active sockets that all share the same IP address and port number
 - Ex: a busy web server, to which a thousand clients have made HTTP connections, will have a thousand active sockets all bound to its public IP address at port 80
- What makes an active socket unique is a four-tuple:
(local_ip, local_port, remote_ip, remote_port)
- It is this four-tuple through which the operating system names and manages each active TCP connection



Example: a simple server (cont'd)

```
import socket
```

```
HOST = ""
```

```
PORT = 1060
```

Start an infinite loop to serve all clients requests

```
sock = socket.socket(AF_INET, SOCK_STREAM)
```

```
sock.bind((HOST,PORT))
```

```
sock.listen(5)
```

```
while 1:
```

```
    sock_cli, addr = sock.accept()
```

```
    ...
```

```
    # SERVE THE REQUEST
```

Accept a new client connection

Send data

```
numBytesSent = socket.send(string[, flags])
```

- *string* represents the data to be sent
- *numBytesSent* represents the number of bytes sent
- NB: applications are responsible for checking that **all** data have been sent
 - if only some of the data were transmitted, the application needs to attempt delivery of the remaining data.
- TCP considers your outgoing and incoming data as streams, with no beginning or end
 - It feels free to split them up into packets however it wants!

Send data

- After a TCP `send()`, networking stack will face one of three situations
 - ▣ The data can be immediately accepted by the system
 - `send()` returns immediately, and it will return the length of your data string
 - ▣ The network card is busy and outgoing internal data buffer for this socket is full
 - `send()` **blocks**, pausing your program until the data can be accepted
 - ▣ The outgoing buffer is almost full
 - `send()` completes immediately and returns the number of bytes accepted from the beginning of your data string, but leaves the rest of the data unprocessed

Send data

- `send()` is usually called inside a loop like this...

```
bytes_sent = 0
while bytes_sent < len(message):
    message_remaining = message[bytes_sent:]
    bytes_sent += sock.send(message_remaining)
```

- ...or it is replaced by:

```
socket.sendall(string[, flags])
```

- It continues to send data from *string* until either all data have been sent or an error occurs
- It is more efficient than the above example, because it is implemented in C
- Example: `sock.sendall(message)`

Receive data

```
data = socket.recv(bufsize[, flags])
```

- *bufsize* is an integer that specifies the maximum amount of data to be received at once
- *data* is a string representing the data received
- NB: similarly to `send()`, applications are responsible for checking that **all** data have been received!
- Unfortunately, we do not have a function similar to `sendall()`

Receive data

- The operating system's implementation of `recv()` is similar to that of `send()`:
 - If no data are available, then `recv()` **blocks** and your program pauses until the data arrive
 - If plenty of data are available in the incoming buffer, then `recv()` returns *#bufsize bytes*
 - If the buffer contains a bit of data, but less than *#bufsize*, then you are immediately returned the available data, even if they are not as much as the requested data
- `recv()` returns empty string if there are no more data
 - This means that the other end of the connection has been closed (see next slides)

Receive data

- **Problem:** how can we understand if we have received **all** the data?



Receive data: examples

```
def recv_all(sock, length):  
    data = ""  
    while 1:  
        read_data = sock.recv(length)  
        if read_data == '':  
            break  
        data += read_data  
    return data
```



We read data until the other end of the connection has been closed

Receive data: examples

We keep reading until we receive #length bytes

```
def recv_all(sock, length):
    data = ""
    while len(data) < length:
        read_data = sock.recv(length - len(data))
        if read_data == '':
            raise EOFError('socket closed')
        data += read_data
    return data
```

If the connection is closed unexpectedly we raise an error

Example: a simple server

```
import socket

HOST = ""
PORT = 1060

sock = socket.socket(AF_INET, SOCK_STREAM)
sock.bind((HOST,PORT))
sock.listen(5)
while 1:
    sock_cli, addr = sock.accept()
    message = recv_all(sock_cli, 16)
    print 'The incoming sixteen-octet message says', repr(message)
    sock_cli.sendall('Hello World!')
    sock_cli.close()
    print 'Reply sent, socket closed'
```

Close a connection

```
socket.close()
```

- Close the socket
- All future operations on the socket object will fail
- Releases the resource associated with a connection but does not necessarily close the connection immediately
 - Operating system first sends data that are still in the buffer

Close a connection

```
socket.shutdown(how)
```

- Shut down one or both halves of the connection
 - ▣ Shut down communication in one direction but without destroying the socket itself
- *how* can be set to:
 - ▣ SHUT_RD, further receives are disallowed
 - ▣ SHUT_WR, further sends are disallowed
 - ▣ SHUT_RDWR: further sends and receives are disallowed
 - NB: It is different from close()

Socket options

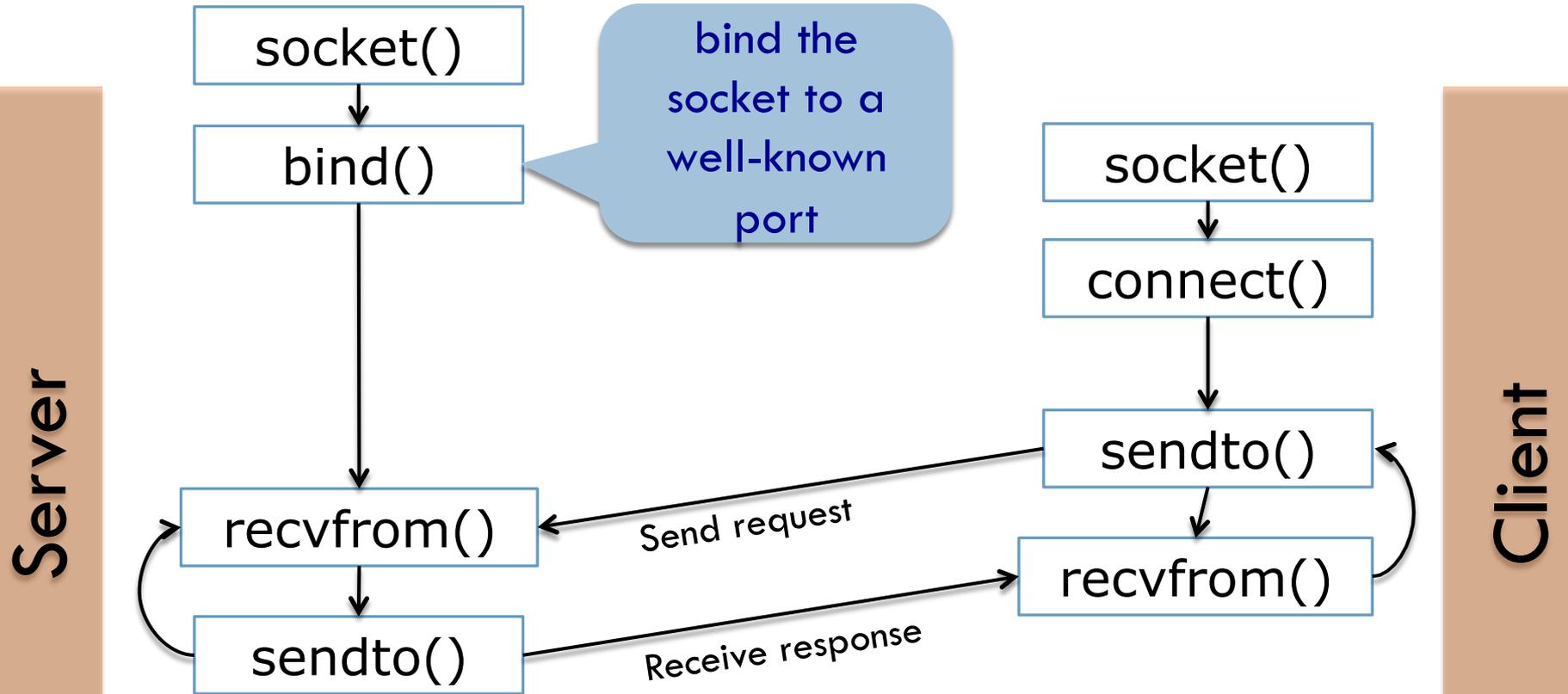
```
socket.setsockopt(level, optname, value)
```

- There are many options that can be set to sockets
 - ▣ *level* specify the protocol level
 - *SOL_SOCKET*: generic socket options
 - *SOL_TCP*: TCP socket options
 - ▣ *optname* is the name of the option
 - *SO_KEEPALIVE*: enables the periodic transmission of messages on a connected socket
 - *SO_REUSEADDR*: enables local address reuse
 - *SO_SNDTIMEO*: set timeout value for output
 - *SO_RCVTIMEO*: set timeout value for input
 - ▣ *value* is the option value (it is option dependant)



Example: TCP ECHO server!

Connectionless communication



Send data

```
numBytesSent = socket.sendto(string[, flags],  
                               address)
```

- *string* represents the data to be sent
- *address* represents the address of remote host
 - ▣ *Communication is connectionless!!*
- *numBytesSent* represents the number of bytes sent
- NB: communication is **not** reliable!
- There are no guarantees that the packet is successfully delivered to remote host

Receive data

```
string, address = socket.recvfrom(bufsize[, flags])
```

- *bufsize* is the maximum amount of data to be received
- *string* represents the received data
- *address* represents the address of remote host
 - ▣ *Communication is connectionless!!*
- NB: receives packets from **any** remote host

Example: a simple server

```
import socket
```

```
sock = socket.socket(socket.AF_INET, socket.SOCK_DGRAM)
```

```
MAX = 65535
```

```
PORT = 1060
```

```
sock.bind(('127.0.0.1', PORT))
```

```
while True:
```

```
    msg, address = sock.recvfrom(MAX)
```

```
    print 'The client at', address, 'says', repr(msg)
```

```
    response = 'The msg was %d bytes long' % len(msg)
```

```
    sock.sendto(response, address)
```

Connecting UDP sockets

- We can use the `connect()` function with UDP sockets!
- We can avoid to specify every time the server address when we call `sendto()`
- Client is *not* susceptible to receiving packets from other senders
- NB: using `connect()` on an UDP socket does **not** send any data over the network!!

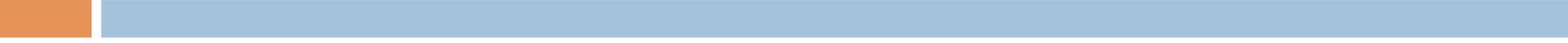
Unblock functions

```
socket.setdefaulttimeout(value)
```

- **Problem:** What if the response sent by the server is lost?
- *We do **not** want to block the client forever...*
- *...but it is not easy to understand why the packet has not arrived:*
 - ▣ The reply is only taking a long time to come back
 - ▣ The reply (or the request!) is lost
 - ▣ Server is down
- **Solution:** use a timeout!
- if *#value* seconds elapse since the process is blocked, the OS raises a `socket.timeout` exception

Example: settimeout()

```
sock.connect((HOST, PORT))
delay = 0.1
while True:
    sock.send('Send this message!')
    sock.settimeout(delay)
    try:
        data = sock.recv(MAX)
    except socket.timeout:
        delay *= 2 # Exponential backoff
        if delay > 2.0:
            raise RuntimeError('Maybe the server is down')
    else:
        break # we are done
```



Example: UDP server!

Want to know more?

- Book:
 - ▣ Foundations of Python Network Programming, *by Brandon Rhodes and John Goerzen*
- Python official documentation:
 - ▣ <https://docs.python.org/2/library/socket.html>
 - ▣ <https://docs.python.org/2/howto/sockets.html>