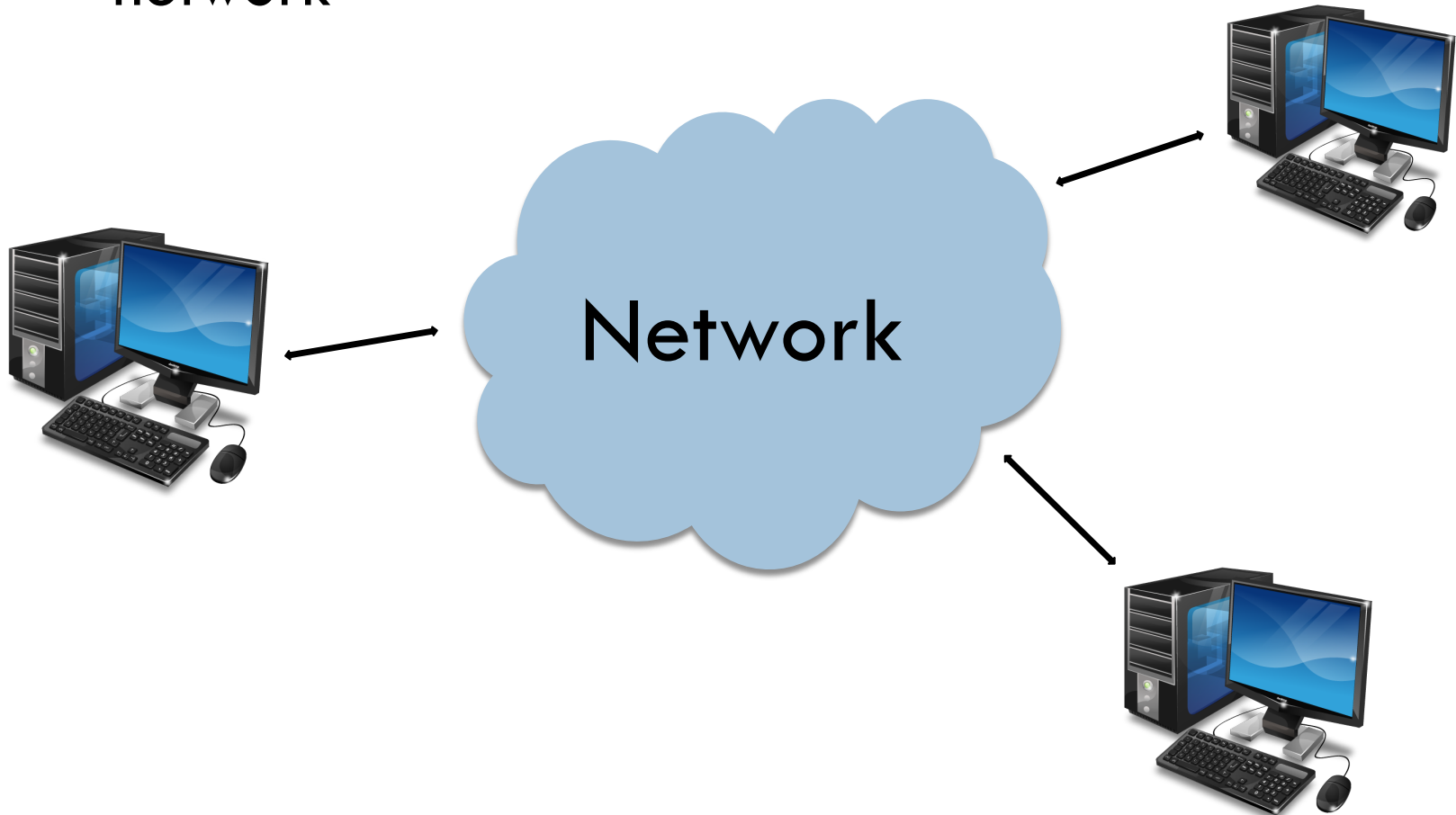


# SOCKET

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# The Problem

- Communication between computers connected to a network



# Network applications

- A set of processes distributed over a network that communicate via messages
  - ▣ Ex: Browser Web, BitTorrent, ecc...
- Processes communicate via *services* offered by the operating system
  - ▣ What kind of services?! TCP, UDP and IP protocols...
- Most famous network application architecture:  
client/server

# Client/server model

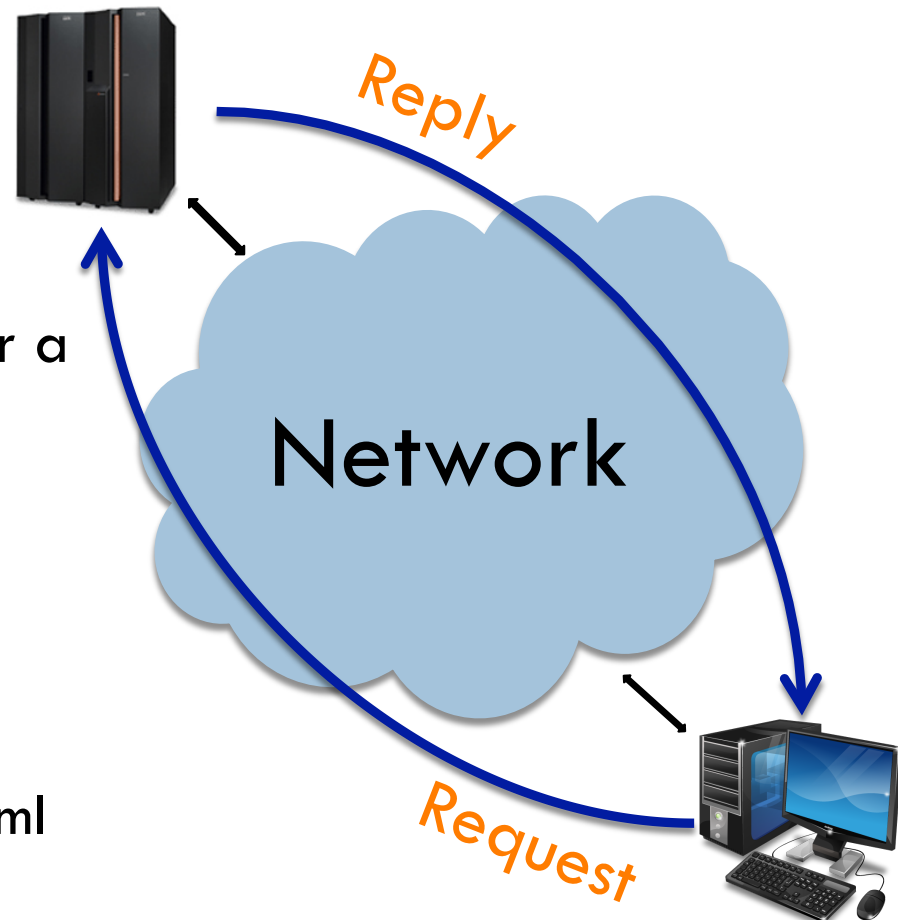
Network application has two components: *client* and *server*

## □ Client:

- Initiates communication
- Requests a service
  - Es: Chrome sends a request for a Web site:

## □ Server:

- Waits a request
- Provides the service
  - Es: Web server providing an html page



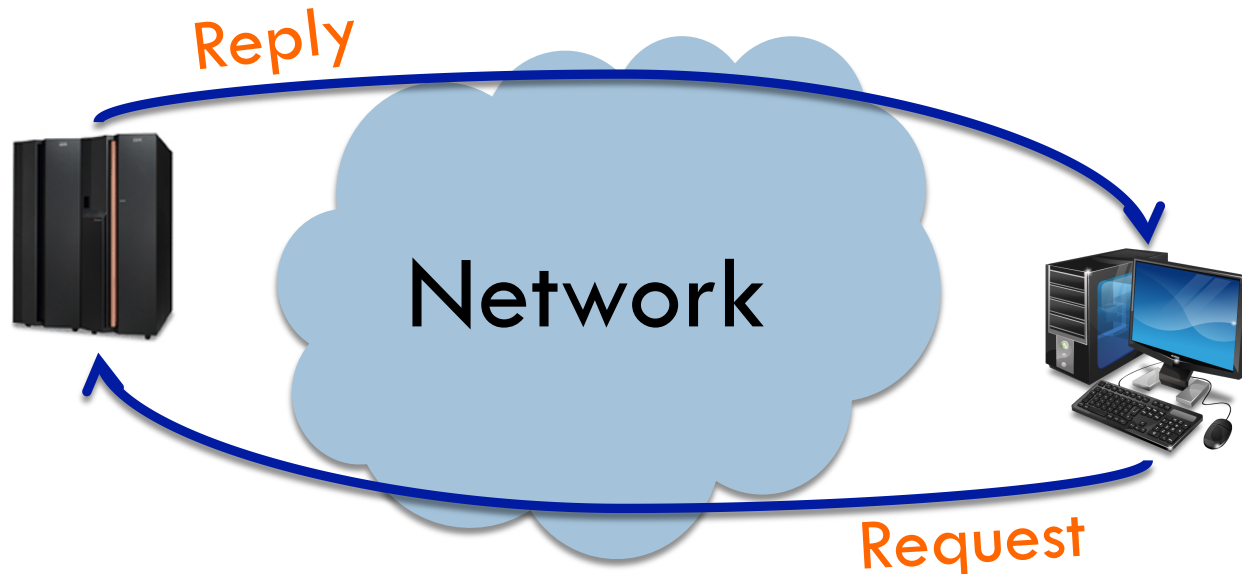
# Peer-to-Peer model



See previous lesson!

# Two main problems

- ❑ **Network addressing:** how to unambiguously identify the process running on a remote host
- ❑ **Data transport:** how to transfer bits to the destination



# Addressing and data transport in TCP/IP

- **Addressing** based on two components
  - ▣ IP address: identifies the remote host (actually the network interface)
  - ▣ Port number: identifies the running process
- **Data transport** based on two protocols
  - ▣ TCP: connection oriented, stream oriented, reliable data transfer
  - ▣ UDP: message oriented, no connection, no reliable data transfer

# How to interact with TCP/UDP

- Protocols run “inside” the operating system
  - ▣ OSs usually implement the protocol stack TCP/IP
- Our applications run “outside” the operating system
- **Result:** our applications need to interact with the OS to send data to TCP/IP
- Interaction is possible using a set of interfaces called **Application Programming Interface (API)**



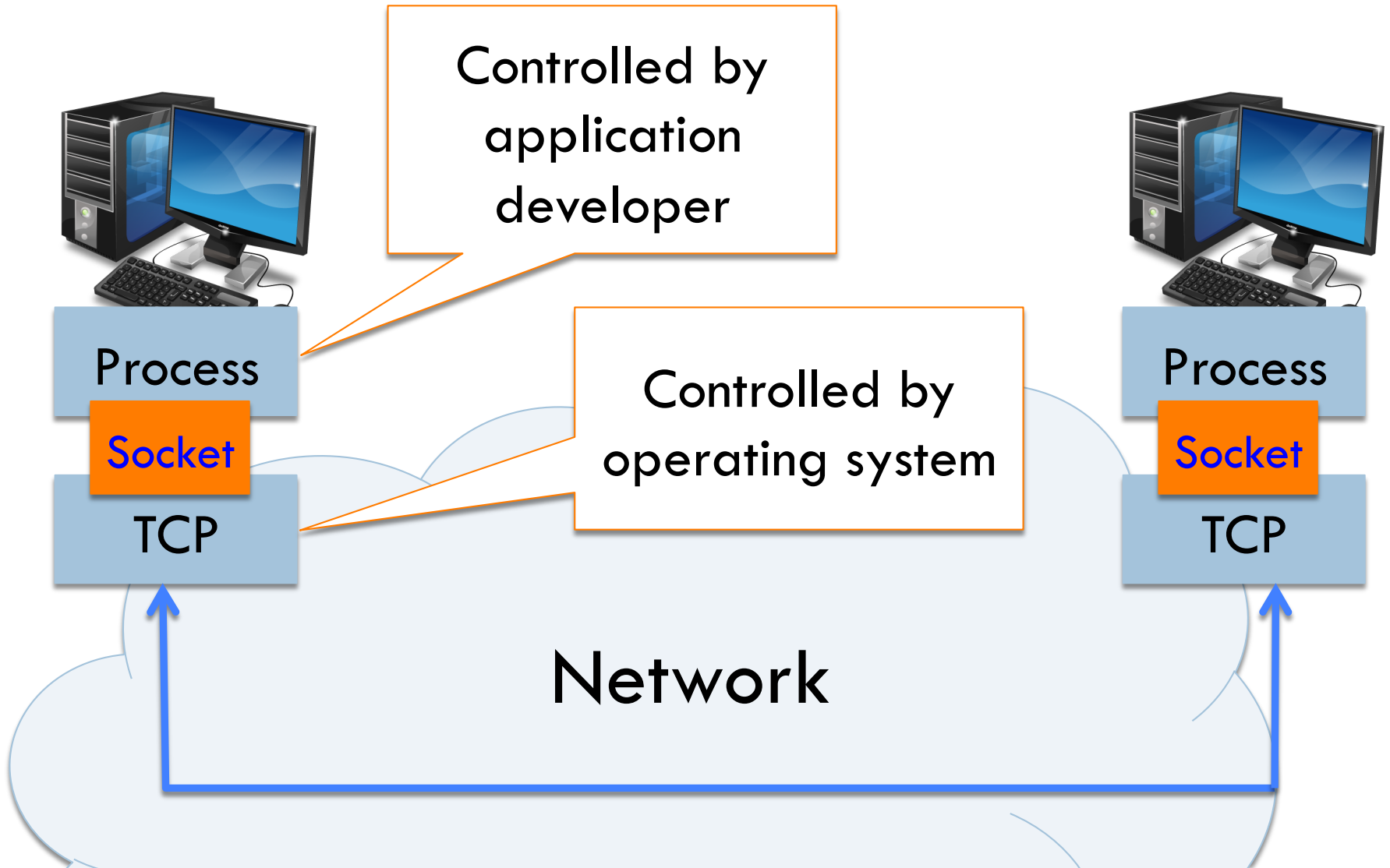
# Application Programming Interfaces (API)

- They standardize interaction with the OS specifying:
  - ▣ Function prototypes
  - ▣ Input/output parameters
- **Socket:** Internet API
  - ▣ Originated with the BSD Unix operating system in 1983 and developed in C
  - ▣ Now available on many OSs
  - ▣ The Python interface is a straightforward transliteration of the Unix interface for sockets implemented in C

# Socket

- It is a “door” between application and transport protocols (TCP o UDP)
  - ▣ Allows to send/receive data from the network
- It represents the communication **endpoint**
  - ▣ A socket is owned by the application
- It provides to developers a high level interface to transport protocols

# Socket



# Socket in Python

- Socket creation:

```
import socket
```

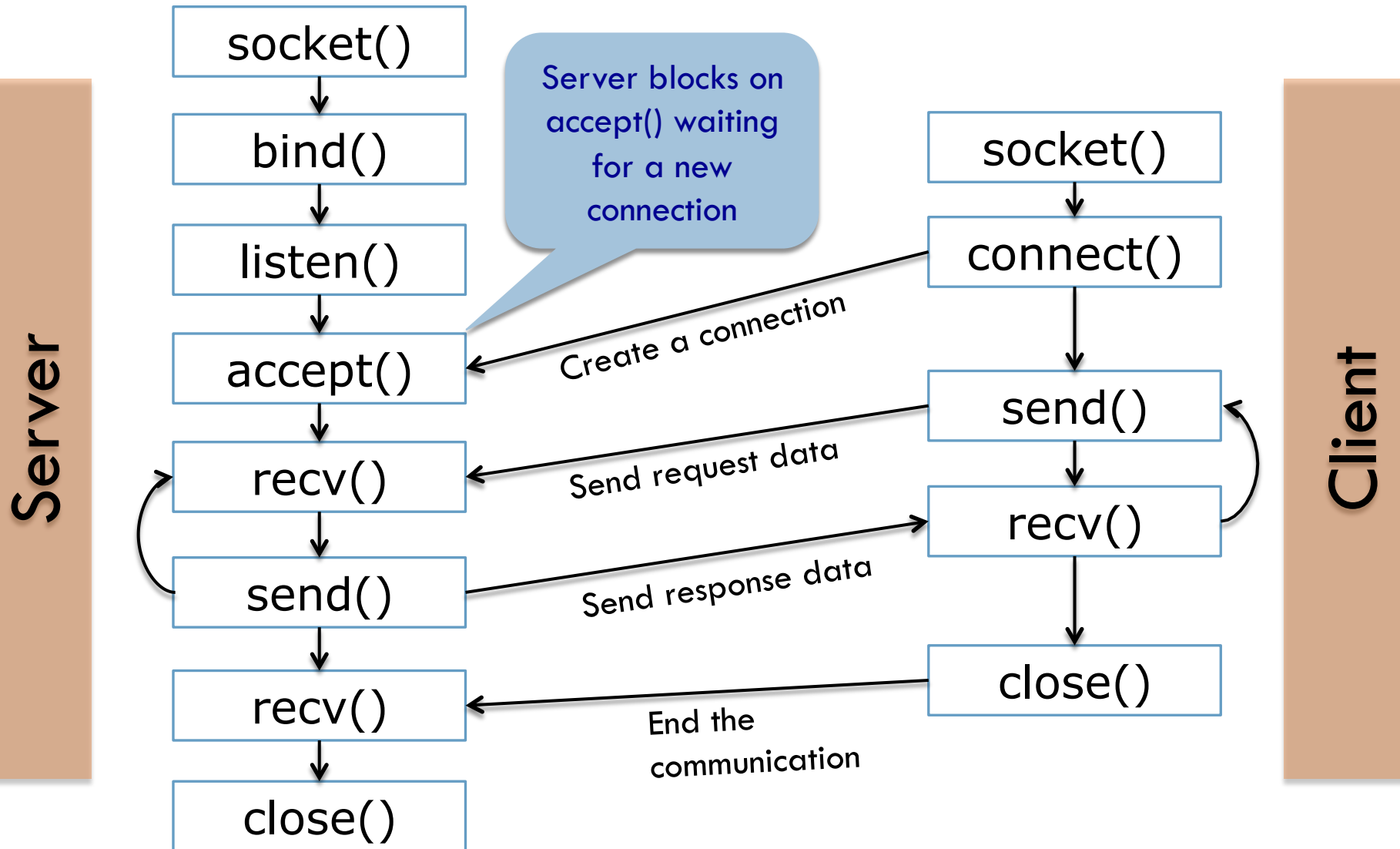
```
s = socket.socket(addr_family, type, protocol)
```

- It is the first function executed both by the client and the server
  - ▣ The OS initializes all the resources needed to manage data transfer
- It returns the socket...
  - ▣ or raises an exception if something goes wrong

# Socket in Python

- *addr\_family*: the protocol family
  - `socket.AF_INET`: IPv4 protocol
  - `socket.AF_INET6`: IPv6 protocol
  - `socket.AF_UNIX`: to manage communication between processes on the same host
- *type*: the communication type
  - `socket.SOCK_STREAM`: stream (connection) oriented
  - `socket.SOCK_DGRAM`: message oriented
  - `socket.SOCK_RAW`: provide access to the network layer
- *protocol*: a specific protocol
  - If set to 0 (or omitted) the default protocol defined by the couple *addr\_family* + *type* will be used
  - Es: `socket.AF_INET` + `socket.SOCK_STREAM` = TCP

# Connection oriented communication



# Bind a socket to an address

```
socket.bind(address)
```

- Thanks to the `bind()` function the OS will forward the received packets to the correct process!
- *address* is a tuple (host, port) for the AF\_INET address family
  - *host* is a string representing either a hostname in Internet domain notation like “www.repubblica.it” or an IPv4 address like “213.92.16.191”
  - *port* is an integer

# Socket addresses in Python

- `host = ""` (i.e., an empty string) specifies all local network interfaces
- `host = "localhost"` specifies the *loopback* interface
  - A virtual network interface used to manage communication between processes running on the same machine
  - Bypasses local network interface hardware and lower layers of the protocol stack
  - Useful for testing and development
  - "localhost" corresponds to the reserved IP address 127.0.0.1
- Example:

```
import socket
sock = socket.socket(AF_INET, SOCK_STREAM)
sock.bind("",9000)
sock.bind("localhost",9000)
sock.bind("192.168.2.1",9000)
```



# A note on port numbers

- Managed by *Internet Assigned Numbers Authority (IANA)*
  - maintains the official assignments of port numbers for specific uses
- **Well-known** ports (range 0-1023)
  - Used by system processes that provide widely used network services
    - 21 -> FTP, 23 -> Telnet, 25 -> SMTP (Mail), 80 -> HTTP (Web)
  - On Unix OS a process needs root privileges to be able to bind on these ports
- **Registered** ports (range 1024-49151)
  - The IANA registers uses of these ports as a convenience to the Internet community
    - 1863 -> MSNP, 3074 -> Xbox LIVE,
  - Registered ports can be used by ordinary users
- **Dynamic** ports (range 49152–65535)
  - They cannot be registered with IANA
  - Used for custom or temporary purposes

# listen() function

```
socket.listen(backlog)
```

- ❑ Tells the OS to start listening for connections on the socket
- ❑ *backlog* argument specifies the maximum number of queued connections
  - the maximum value is system-dependent
- ❑ On Linux it refers to the established connections (3-way handshake completed)
  - Security reason: SYN flood attack
- ❑ If backlog is full, new connection requests can be ignored or refused by the OS
- ❑ 3-way handshake completely managed by the OS

# Example: a simple server (to be cont'd)

```
import socket
```

```
HOST = ""
```

```
PORT = 1060
```

Create  
socket

```
sock = socket.socket(AF_INET, SOCK_STREAM)
```

```
sock.bind((HOST,PORT))
```

```
sock.listen(5)
```

Bind to the  
specified  
address

Start listening for  
connections on  
the socket

# connect() function

```
socket.connect(address)
```

- Connects to a remote socket at *address*.
- If a TCP socket is used, connect() tells the OS to start the 3-way handshake
- *address* is a tuple (host, port) (for the AF\_INET address family)
- Example:

```
import socket  
sock = socket(AF_INET, SOCK_STREAM)  
sock.connect(("www.python.org", 80))
```

# accept() function

```
sock, address = socket.accept()
```

- It allows the server to take the first established connection from the backlog
- If backlog is empty, accept() blocks until a connection is received
- Return values:
  - *address* is the address of the client that connected
  - *sock* is a **new** socket, the one actually used to transfer data with the connected client

# Passive and active sockets

- Server uses two different sockets for each client connection
- The **passive** socket, created by `socket()`
  - Holds the “socket name” (i.e., the address and port number) at which the server is ready to receive connections
  - No data can ever be received or sent by this kind of port
  - It does not represent any actual network conversation
  - Used to listen to incoming connections (using `listen()` function)
- The **active** socket, returned by `accept()`
  - It has the same “socket name” of the passive socket
  - It is bound to one particular remote conversation partner
  - It can be used only for talking back and forth with that partner

# Passive and active sockets

- **Problem:** there can be many active sockets that all share the same IP address and port number
  - Ex: a busy web server, to which a thousand clients have made HTTP connections, will have a thousand active sockets all bound to its public IP address at port 80
- What makes an active socket unique is a four-tuple:  
(local\_ip, local\_port, remote\_ip, remote\_port)
- It is this four-tuple through which the operating system names and manages each active TCP connection



# Example: a simple server (cont'd)

```
import socket
```

```
HOST = ""
```

```
PORT = 1060
```

Start an infinite  
loop to serve all  
clients requests

```
sock = socket.socket(AF_INET, SOCK_STREAM)
```

```
sock.bind((HOST,PORT))
```

```
sock.listen(5)
```

```
while 1:
```

```
    sock_cli, addr = sock.accept()
```

```
    ...
```

```
    # SERVE THE REQUEST
```

Accept a new  
client  
connection



# Send data

```
numBytesSent = socket.send(string[, flags])
```

- *string* represents the data to be sent
- *numBytesSent* represents the number of bytes sent
- NB: applications are responsible for checking that **all** data have been sent
  - if only some of the data were transmitted, the application needs to attempt delivery of the remaining data.
- TCP considers your outgoing and incoming data as streams, with no beginning or end
  - It feels free to split them up into packets however it wants!

# Send data

- After a TCP send(), networking stack will face one of three situations
  - ▣ The data can be immediately accepted by the system
    - send() returns immediately, and it will return the length of your data string
  - ▣ The network card is busy and outgoing internal data buffer for this socket is full
    - send() **blocks**, pausing your program until the data can be accepted
  - ▣ The outgoing buffer is almost full
    - send() completes immediately and returns the number of bytes accepted from the beginning of your data string, but leaves the rest of the data unprocessed

# Send data

- `send()` is usually called inside a loop like this...

```
bytes_sent = 0
while bytes_sent < len(message):
    message_remaining = message[bytes_sent:]
    bytes_sent += sock.send(message_remaining)
```

- ...or it is replaced by:

```
socket.sendall(string[, flags])
```

- It continues to send data from *string* until either all data have been sent or an error occurs
- It is more efficient than the above example, because it is implemented in C
- Example: `sock.sendall(message)`

# Receive data

```
data = socket.recv(bufsize[, flags])
```

- *bufsize* is an integer that specifies the maximum amount of data to be received at once
- *data* is a string representing the data received
- NB: similarly to send(), applications are responsible for checking that **all** data have been received!
- Unfortunately, we do not have a function similar to sendall()

# Receive data

- The operating system's implementation of `recv()` is similar to that of `send()`:
  - If no data are available, then `recv()` blocks and your program pauses until the data arrive
  - If plenty of data are available in the incoming buffer, then `recv()` returns *#bufsize bytes*
  - If the buffer contains a bit of data, but less than *#bufsize*, then you are immediately returned the available data, even if they are not as much as the requested data
- `recv()` returns empty string if there are no more data
  - This means that the other end of the connection has been closed (see next slides)

# Receive data

- **Problem:** how can we understand if we have received **all** the data?



# Receive data: examples

```
def recv_all(sock, length):  
    data = ""  
    while 1:  
        read_data = sock.recv(length)  
        if read_data == '':  
            break  
        data += read_data  
    return data
```

We read data until the  
other end of the  
connection has been  
closed

# Receive data: examples

We keep reading until we receive #length bytes

```
def recv_all(sock, length):  
    data = ""  
    while len(data) < length:  
        read_data = sock.recv(length - len(data))  
        if read_data == '':  
            raise EOFError('socket closed')  
        data += read_data  
    return data
```

If the connection is closed unexpectedly we raise an error



# Example: a simple server

```
import socket
```

```
HOST = ""
```

```
PORT = 1060
```

```
sock = socket.socket(AF_INET, SOCK_STREAM)
```

```
sock.bind((HOST,PORT))
```

```
sock.listen(5)
```

```
while 1:
```

```
    sock_cli, addr = sock.accept()
```

```
    message = recv_all(sock_cli, 16)
```

```
    print 'The incoming sixteen-octet message says', repr(message)
```

```
    sock_cli.sendall('Hello World!')
```

```
    sock_cli.close()
```

```
    print 'Reply sent, socket closed'
```

# Close a connection

```
socket.close()
```

- ❑ Close the socket
- ❑ All future operations on the socket object will fail
- ❑ Releases the resource associated with a connection but does not necessarily close the connection immediately
  - Operating system first sends data that are still in the buffer

# Close a connection

```
socket.shutdown(how)
```

- Shut down one or both halves of the connection
  - ▣ Shut down communication in one direction but without destroying the socket itself
- *how* can be set to:
  - ▣ SHUT\_RD, further receives are disallowed
  - ▣ SHUT\_WR, further sends are disallowed
  - ▣ SHUT\_RDWR: further sends and receives are disallowed
    - NB: It is different from close()

# Socket options

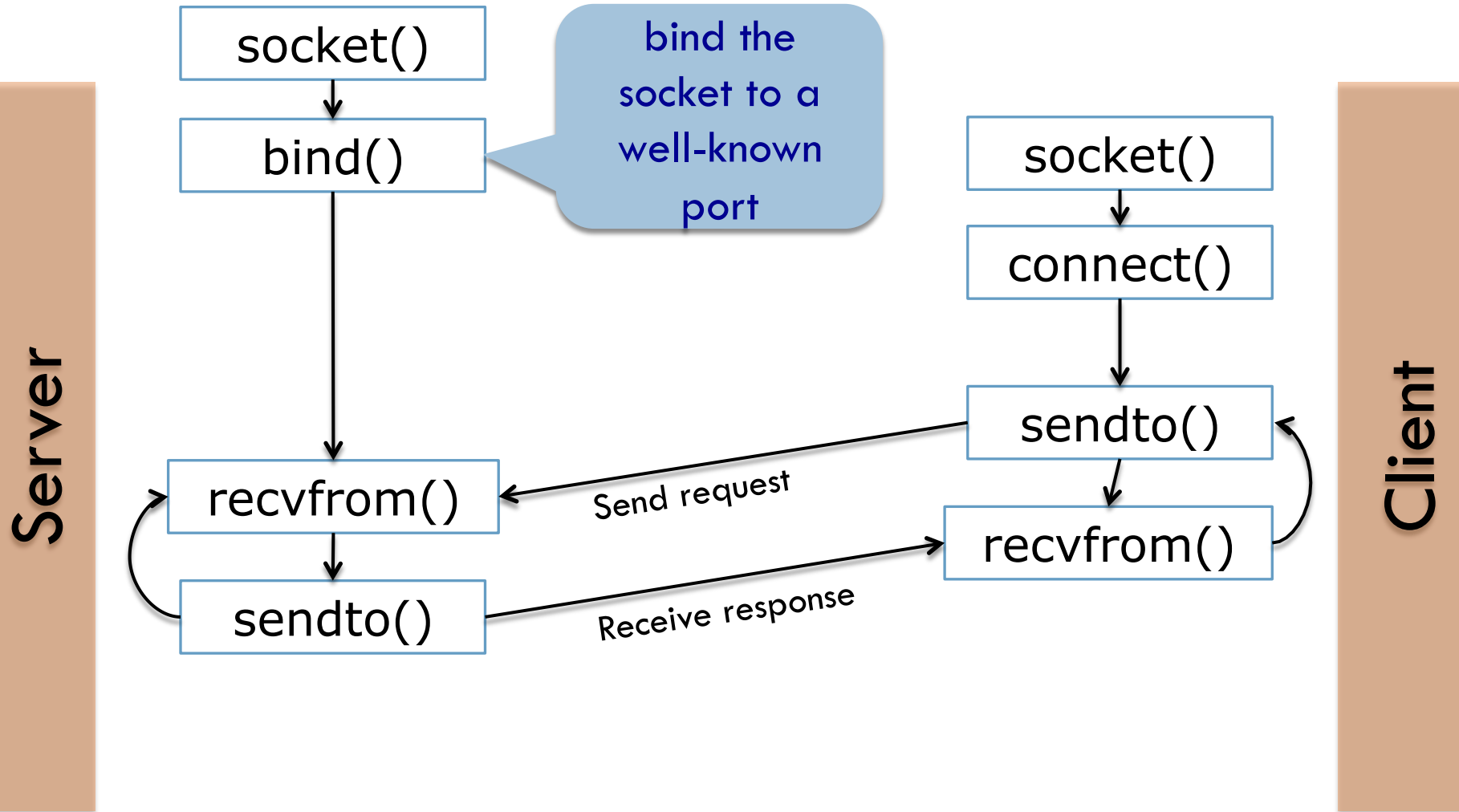
```
socket.setsockopt(level, optname, value)
```

- There are many options that can be set to sockets
  - ▣ *level* specify the protocol level
    - *SOL\_SOCKET*: generic socket options
    - *SOL\_TCP*: TCP socket options
  - ▣ *optname* is the name of the option
    - *SO\_KEEPALIVE*: enables the periodic transmission of messages on a connected socket
    - *SO\_REUSEADDR*: enables local address reuse
    - *SO\_SNDTIMEO*: set timeout value for output
    - *SO\_RCVTIMEO*: set timeout value for input
  - ▣ *value* is the option value (it is option dependant)



**Example: TCP ECHO server!**

# Connectionless communication



# Send data

```
numBytesSent = socket.sendto(string[, flags],  
                               address)
```

- *string* represents the data to be sent
- *address* represents the address of remote host
  - ▣ *Communication is connectionless!!*
- *numBytesSent* represents the number of bytes sent
- NB: communication **is not** reliable!
- There are no guarantees that the packet is successfully delivered to remote host

# Receive data

```
string, address = socket.recvfrom(bufsize[, flags])
```

- *bufsize* is the maximum amount of data to be received
- *string* represents the received data
- *address* represents the address of remote host
  - ▣ *Communication is connectionless!!*
- NB: receives packets from **any** remote host



# Example: a simple server

```
import socket
```

```
sock = socket.socket(socket.AF_INET, socket.SOCK_DGRAM)
```

```
MAX = 65535
```

```
PORT = 1060
```

```
sock.bind(('127.0.0.1', PORT))
```

```
while True:
```

```
    msg, address = sock.recvfrom(MAX)
```

```
    print 'The client at', address, 'says', repr(msg)
```

```
    response = 'The msg was %d bytes long' % len(msg)
```

```
    sock.sendto(response, address)
```

# Connecting UDP sockets

- We can use the `connect()` function with UDP sockets!
- We can avoid to specify every time the server address when we call `sendto()`
- Client is *not* susceptible to receiving packets from other senders
- NB: using `connect()` on an UDP socket does **not** send any data over the network!!

# Unblock functions

```
socket.setdefaulttimeout(value)
```

- **Problem:** What if the response sent by the server is lost?
- *We do **not** want to block the client forever...*
- *...but it is not easy to understand why the packet has not arrived:*
  - ▣ The reply is only taking a long time to come back
  - ▣ The reply (or the request!) is lost
  - ▣ Server is down
- **Solution:** use a timeout!
- if #value seconds elapse since the process is blocked, the OS raises a `socket.timeout` exception

# Example: settimeout()

```
sock.connect((HOST, PORT))
delay = 0.1
while True:
    sock.send('Send this message!')
    sock.settimeout(delay)
    try:
        data = sock.recv(MAX)
    except socket.timeout:
        delay *= 2 # Exponential backoff
        if delay > 2.0:
            raise RuntimeError('Maybe the server is down')
    else:
        break # we are done
```



**Example: UDP server!**

# Want to know more?



- Book:
  - ▣ Foundations of Python Network Programming, by *Brandon Rhodes and John Goerzen*
- Python official documentation:
  - ▣ <https://docs.python.org/2/library/socket.html>
  - ▣ <https://docs.python.org/2/howto/sockets.html>