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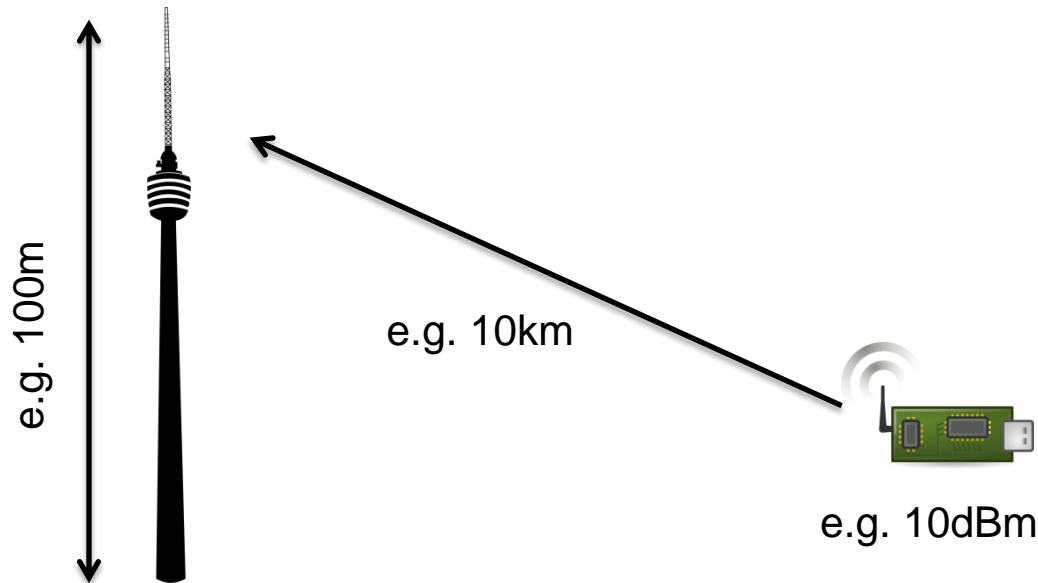
Palazzo Steri - Piazza Marina, 61 • 90133 Palermo

*Internet of Things*  
*A.Y. 2018-2019*  
*Prof. Chiara Petrioli*

# **LoRa Technology for low power area networks**

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# Concept of Low Power Wide Area Networks (LPWAN)



- ⇒ Small and cost-efficient sensors nodes communicate using ultra-low power over ultra-long distances
- ⇒ A base-station at highly exposed sites serves up to one million sensor nodes
- ⇒ Typical application scenarios: Water/gas metering, environmental monitoring

# Challenges of LPWAN

→ **Ultra-low power in addition to long distances leads to very weak reception levels**

⇒ typical assumption  $< -140\text{dB}$

⇒ very low bit rates ( $1 < \text{kbit/s}$ )

→ **Interference from other services as license-exempt bands are commonly used**

⇒ e.g. 434MHz, 868/915MHz, 2.4GHz

→ **Further increased interference at base-station due to highly exposed antennas**

→ **Concepts as CSMA do not work because of the hidden node problem**

⇒ Use of spread spectrum (e.g. DSSS) or frequency hopping

# Potential Standards for LPWANs

## → Focusing on license-exempt bands:

⇒ IEEE 802.15.4k

⇒ SIGFOX

⇒ LoRaWAN

⇒ ETSI LTN, Weightless, IEEE 802.11ah

⇒ ...

## → Focusing on licensed bands:

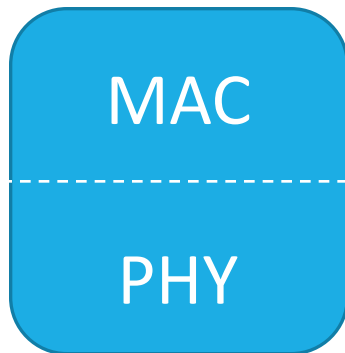
⇒ 3GPP standards, e.g. NB-IoT (Narrow Band IoT)

# LoRa Technology and Stack

## Typical Stack

Standardized MAC/PHY

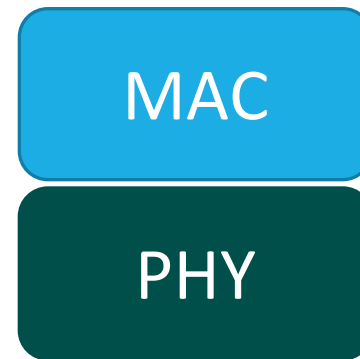
One stack, many suppliers



## LoRa Stack

Patented PHY + open LoRaWAN MAC

One supplier, personalized stacks



 LoRaWAN

 SEMTECH

# **LoRa PHY**

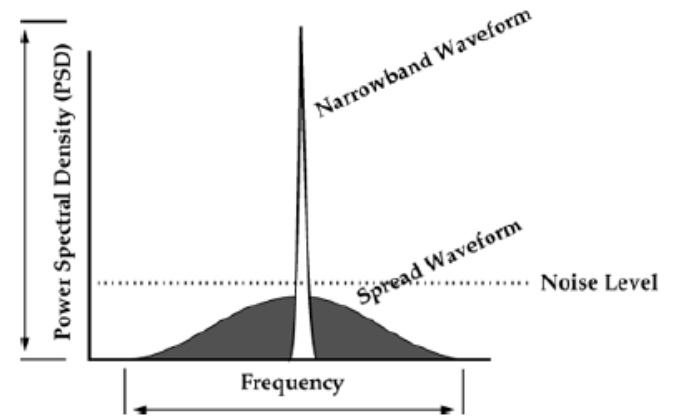
# How to reach very far distances?

## → Increasing energy per bit

- ⇒ By acting on transmit power
- ⇒ By using spread spectrum for coding a bit with a large bandwidth

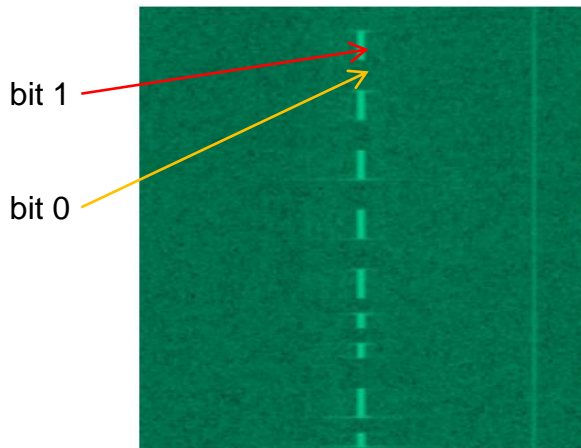
## → LoRa acts on spread spectrum

- ⇒ A variation of chirp-spread spectrum
- ⇒ Robust to interference, multipath, and fading
- ⇒ Developed by Cycleo, acquired by Semtech in 2012

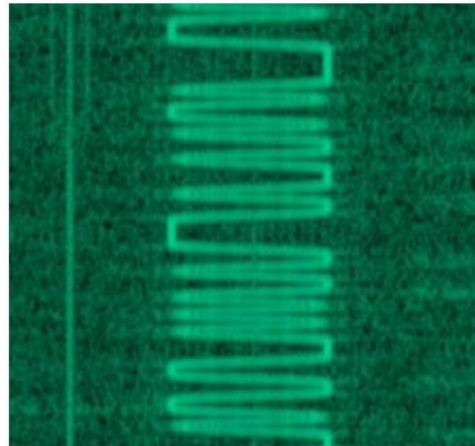


# Comparison with other modulations

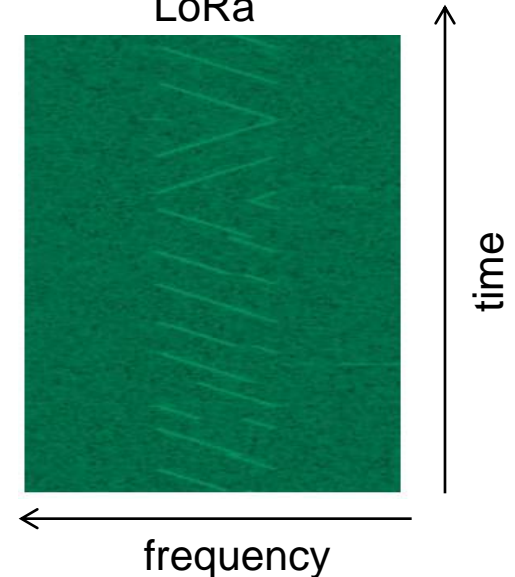
on-off keying



frequency keying

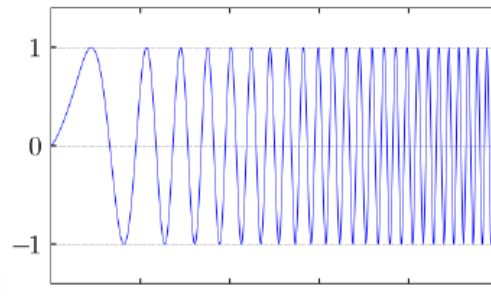


LoRa



Source: Matt Knight

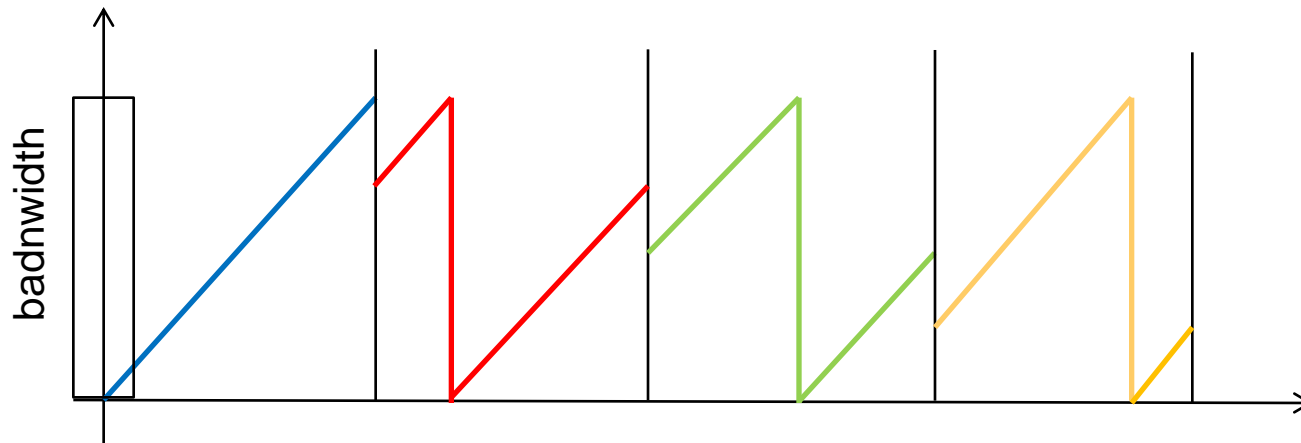
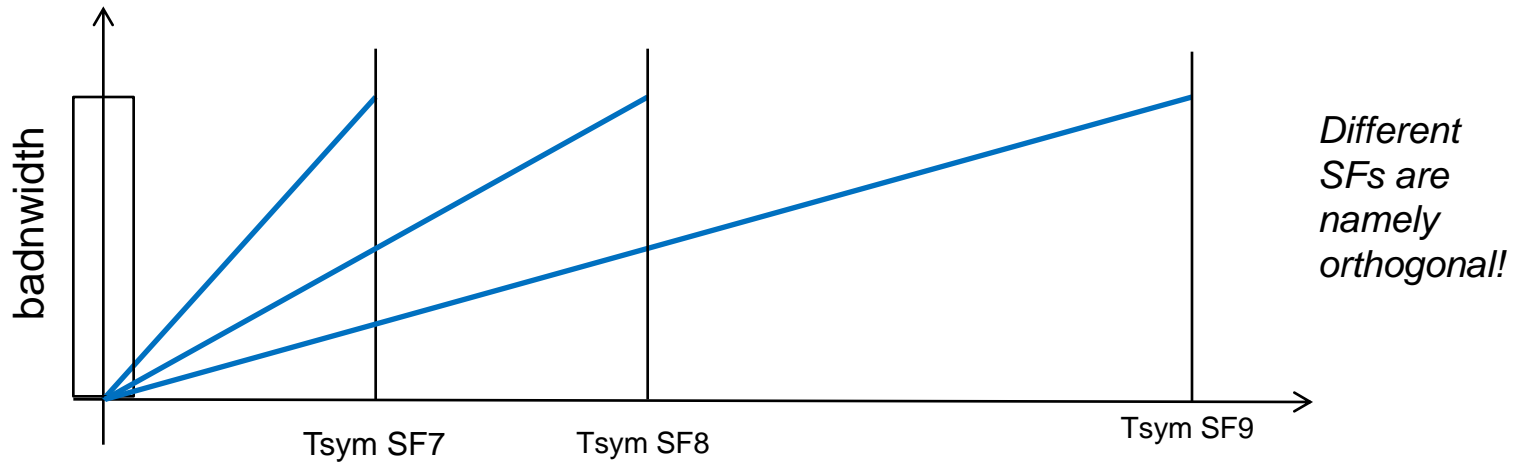
Time domain (1 chirp):



constant envelope modulation,  
easy to implement

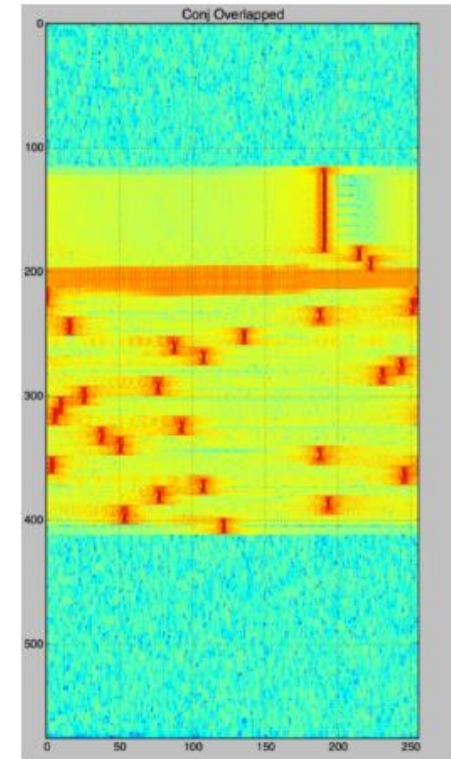
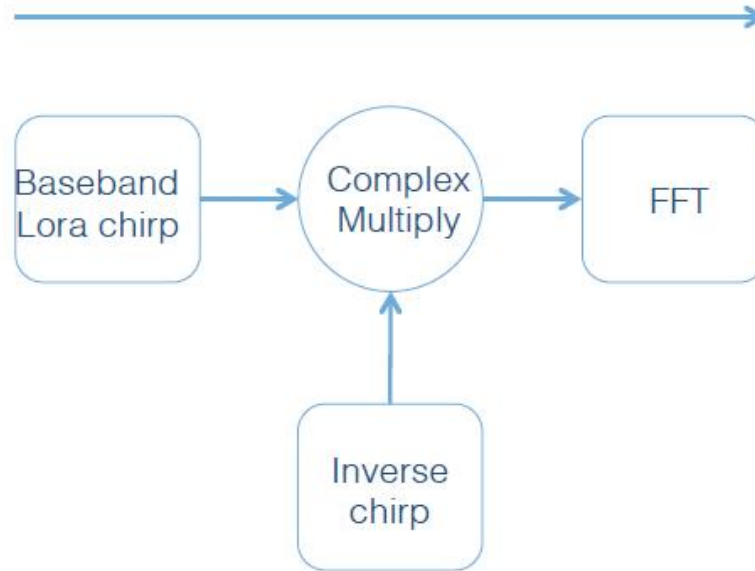
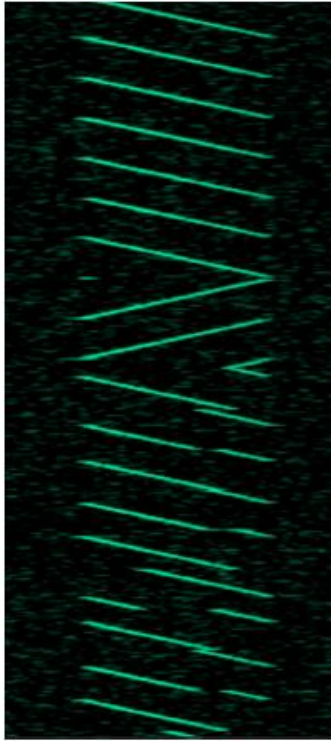


# Symbols and Spreading Factor



$2^{SF}$  different symbols coding SF bits in  $T_{sym}$

# LoRa Demodulation



Source: Matt Knight

*It can work 20dB under the noise floor!*

# LoRa ISM frequency bands

→ **Maximum transmission power 14dBm (25mW)**

→ **Three possible bandwidths**

⇒ 125, 250, 500KHz

→ **6 SFs available**

Channel Number	Central Frequency
CH_10_868	865.20 MHz
CH_11_868	865.50 MHz
CH_12_868	865.80 MHz
CH_13_868	866.10 MHz
CH_14_868	866.40 MHz
CH_15_868	866.70 MHz
CH_16_868	867 MHz
CH_17_868	868 MHz

863-870 MHz Band

0,3MHz



# Summary on Data Rates

## 125 KHz

Spreading Factor	Chips/symbol	SNR limit	Time-on-air (10 byte packet)	Bitrate
7	128	-7.5	56 ms	5469 bps
8	256	-10	103 ms	3125 bps
9	512	-12.5	205 ms	1758 bps
10	1024	-15	371 ms	977 bps
11	2048	-17.5	741 ms	537 bps
12	4096	-20	1483 ms	293 bps

*with two additional high-speed channels at 11kbps and 50kbps  
(FSK modulation)*

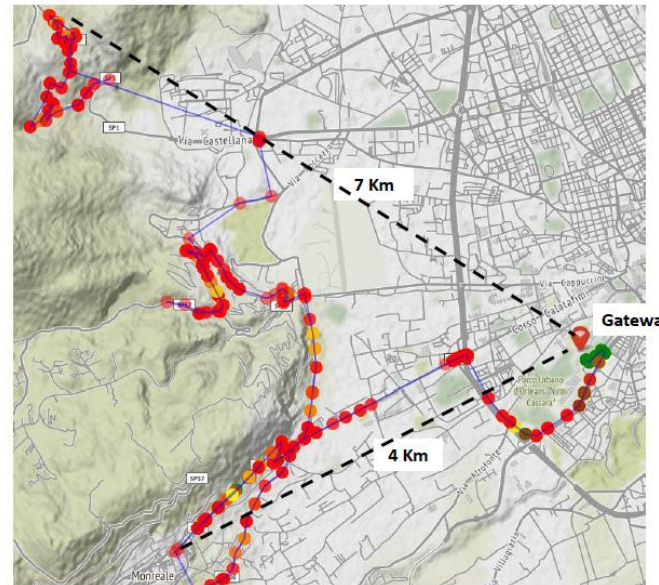
# Coverage

## → Different sensitivities map to different distances

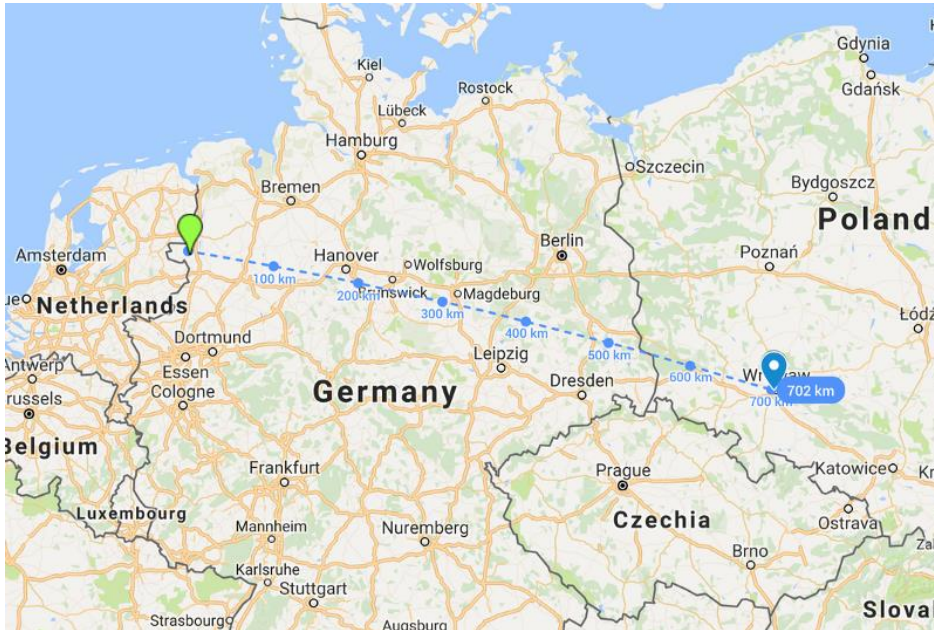
- ⇒ e.g. from -126.50 dBm for SF7 to -133.25dBm for SF12
- ⇒ 7 dB of difference with a propagation coefficient equal to  $\eta=4$  correspond to a factor of about 2.5 between range(SF12) and range(SF7)

## → Typical links of a few Km

- ⇒ Experimental tests with an indoor GW at our Department for SF12



# **..up to hundreds of km!**



## **LoRa from space?**

⇒ the Norwegian Space Centre, NORSAT-2 which normally transmits AIS information in the VHF bands was modified to transmit LoRa messages from 600km!

→ Sent on the Ku band, but on LoRa modulation

## **LoRa from a balloon at 38km from the ground**

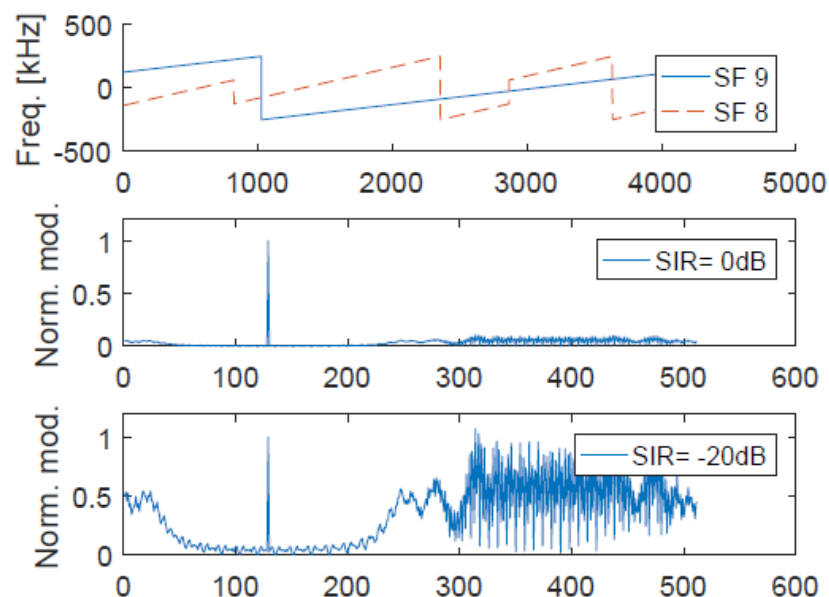
# LoRa Inter-SF Interference

→ Symbols using different SFs are orthogonal only if perfectly synchronized!

⇒ In practical, never!

⇒ Capacity is affected by non-null cross-correlation

→ Rejection thresholds as low as -10dB



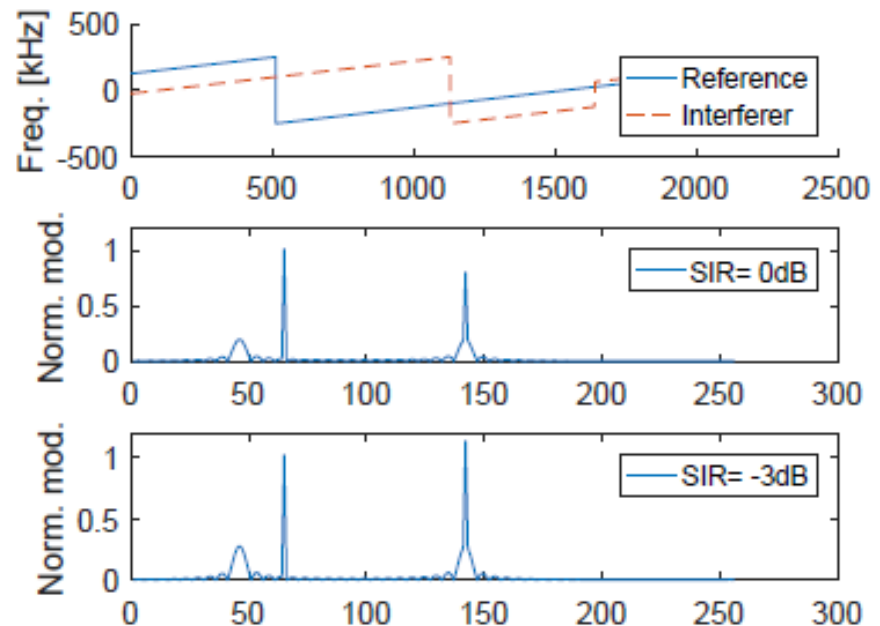


# LoRa Intra-SF Interference

→ If the symbol is **correctly synhcronized, very high capture probability**

⇒ Collisions with signals transmitted at the same SF very often result in the correct reception of the strongest one!

→ Capture threshold of about 1dB





# **LoRaWAN**

# What is LoRaWAN?

**→ Communications protocol and architecture that utilizes the LoRa physical layer**

⇒ Standardized by the LoRa Alliance

⇒ [www.lora-alliance.org](http://www.lora-alliance.org)

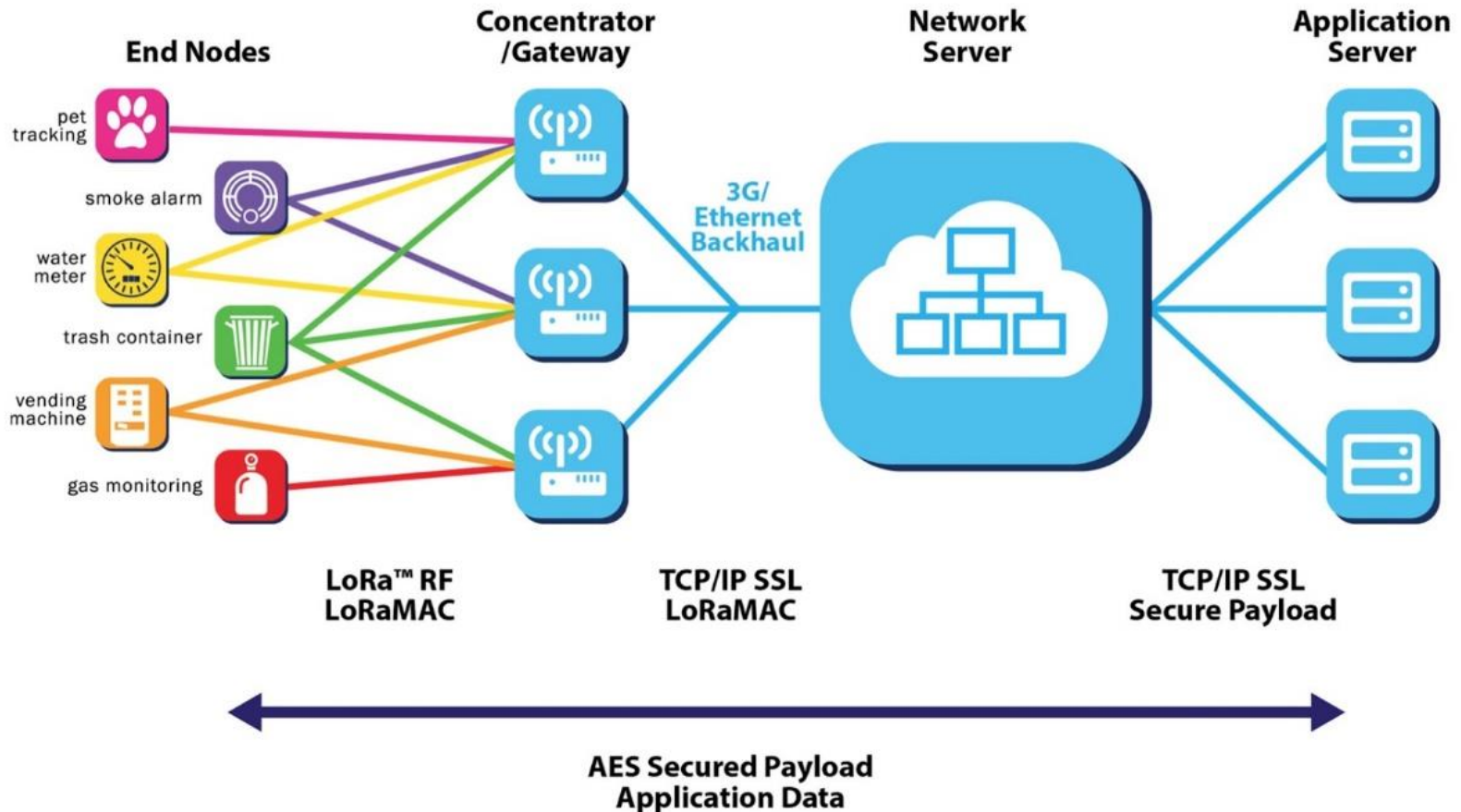
**→ Supports:**

⇒ secure bi-directional communication,

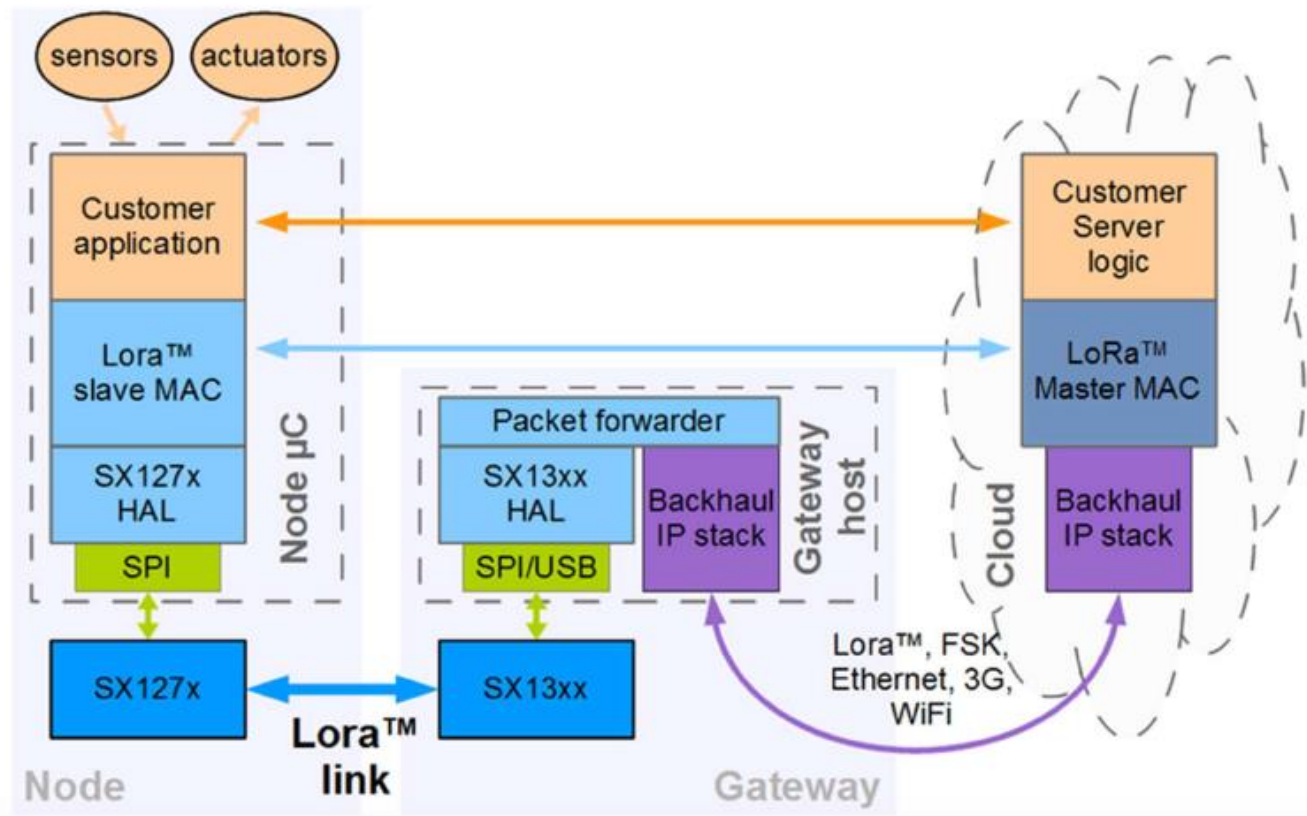
⇒ mobility

⇒ localization

# Network Nodes



# LoRaWAN Architecture



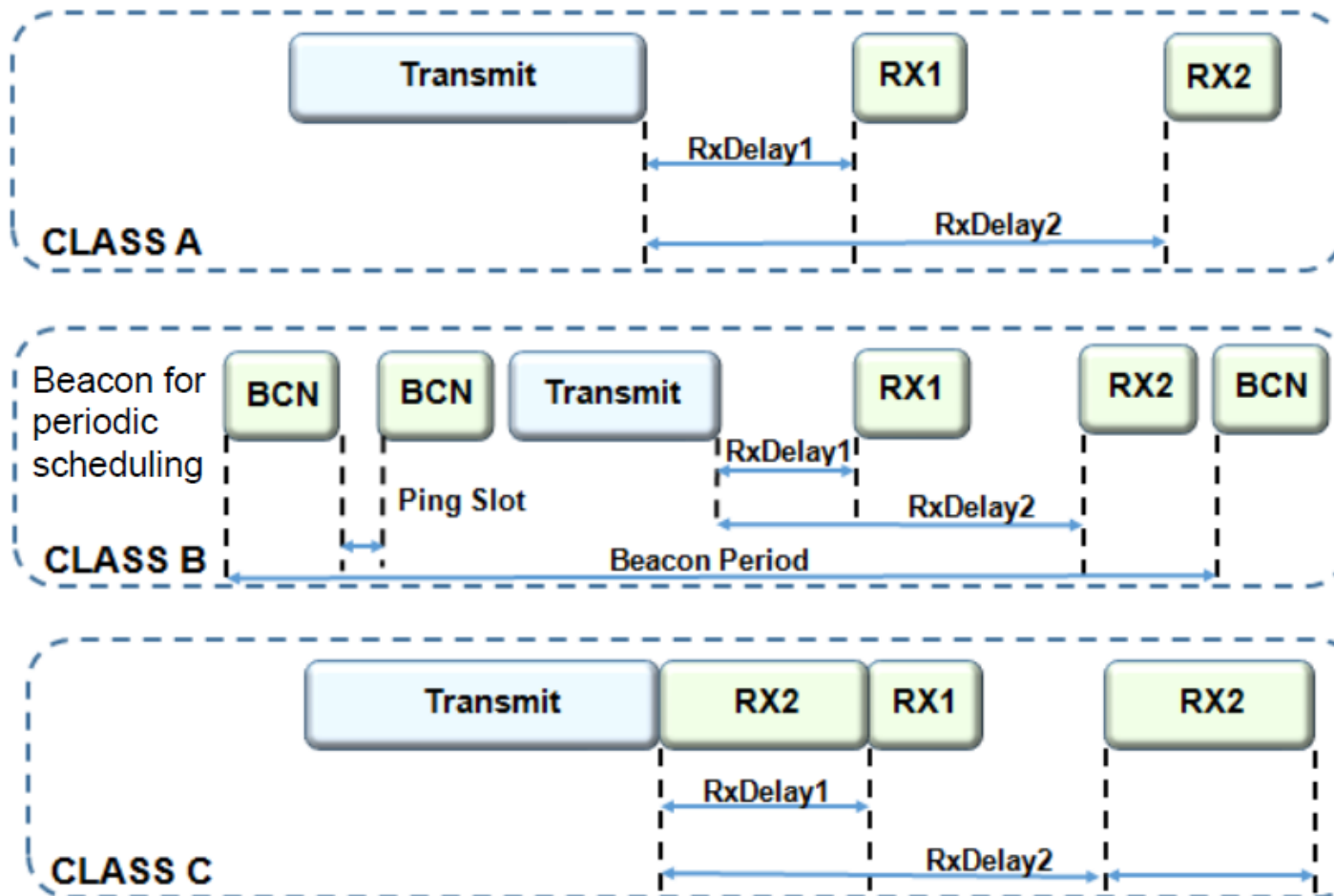
Source: Thomas Telkamp

# End Devices

## → Three classes of devices for different application requirements

- ⇒ **Class A:** each uplink transmission is followed by two short downlink receive windows
- ⇒ **Class B:** like A, but extra receive windows at scheduled times
- ⇒ **Class C:** continuous receive window, except when transmitting

# Receiver Windows



# Gateway

## → **Collection points deployed on field**

⇒ All GWs receive ALL channels ALL the time

→ No network controller or reuse planning required

⇒ Sensors can communicate with any gateway

⇒ All correctly demodulated packets are forwarded to the network server

# Network Server

## → Network intelligence centralized

- ⇒ Responsible of identifying duplicates between packets
- ⇒ Data validation and demultiplexing / multiplexing to application servers
  - Multiple application providers can co-exist on the same network
- ⇒ Localization possible, thanks to a central time reference for all gateways

## → Low cost gateways, since decisions on network configurations (if any) are taken by the server



# Application Examples

## → Agriculture

- ⇒ Animal health monitoring
- ⇒ Water conservation

## → Asset management

- ⇒ Utilization of resources
- ⇒ Asset tracking

## → Smart City

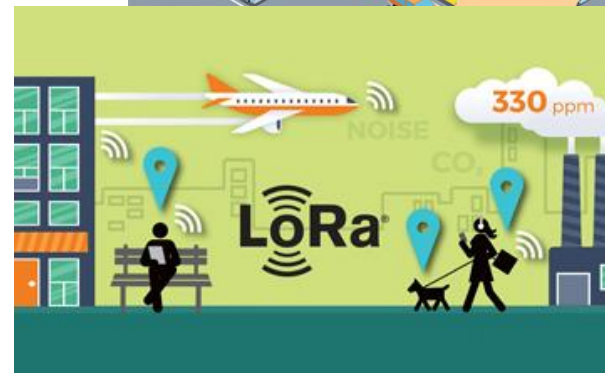
- ⇒ Energy conservation
- ⇒ Operational efficiency

## → Smart Buildings

- ⇒ Deep indoor penetration
- ⇒ Safety and security



## LoRa-Based Vehicle Tracking



A World of Solutions™

# Security

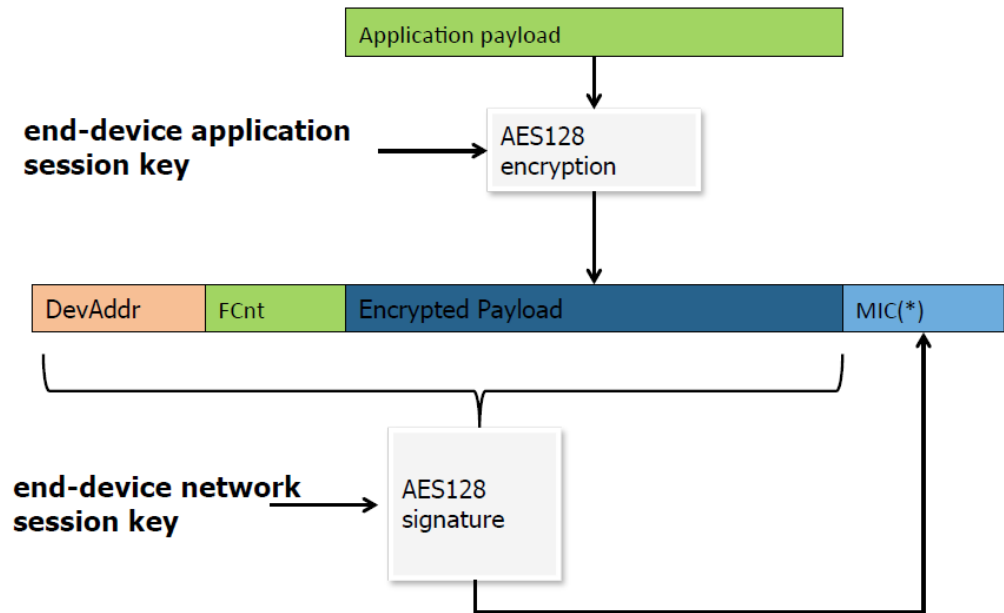
## → Two layers of security

- ⇒ Network (newSkey)
- ⇒ Application (128 bit key length)

## → Network security for authenticating users and add message integrity check

## → Application security for separating application data from network operators

## → Activation by Personalization or over the air

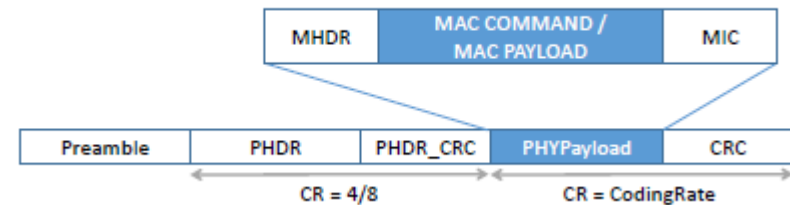


(\*) MIC = Message Integrity Check

Source: Semtech

# LoRaWAN Frame

Frame type value B <sub>7</sub> b <sub>6</sub> b <sub>5</sub>	Description
000	Join Request
001	Join Accept
010	Unconfirmed Data
011	Confirmed Data
011...110	Reserved for future use
111	Proprietary



Frame type	RFU	Major version
------------	-----	---------------



Bits	7	6	5	4	3..0
content	ADR	ADRACKReq	ACK	Frame pending	FOpts Len

Source: Activity



# MAC Commands

Command	Description
LinkCheck <sup>1</sup>	has the purpose of validating the connectivity of the device to the network
LinkADR	used to request to the end-device to change data-rate, transmit power, repetition rate or channel
DutyCycle	allows to set the maximum duty-cycle of a device for transmission
RXParamSetup	used to change the reception parameters of the device
DevStatus	used by the network server to reset the status of the device
NewChannel	allows to modify the definition of the radio channel parameters
RXTiming	used to setup the time slots for reception by the device
TXParam	used to change the transmission parameters
DIChannel	allows to create an asymmetric channel by shifting the down-link frequency band with respect to the uplink one (otherwise they have the same band)

# Cell Capacity

# Single cell LoRa Capacity

→ **Basically, a pure aloha system**

⇒ Very limited system efficiency of about 18%!

→ **For a given traffic model, what is the maximum number of nodes which guarantees to work in stable conditions?**

→ **Can capture effects improve such a result?**

# Back to ALOHA

→ **No synchronization at all between transmissions**

→ **If a pkt needs transmission:**

⇒ send immediately, provided that duty cycle is satisfied

→ **In case of collisions, reschedule or cancel**

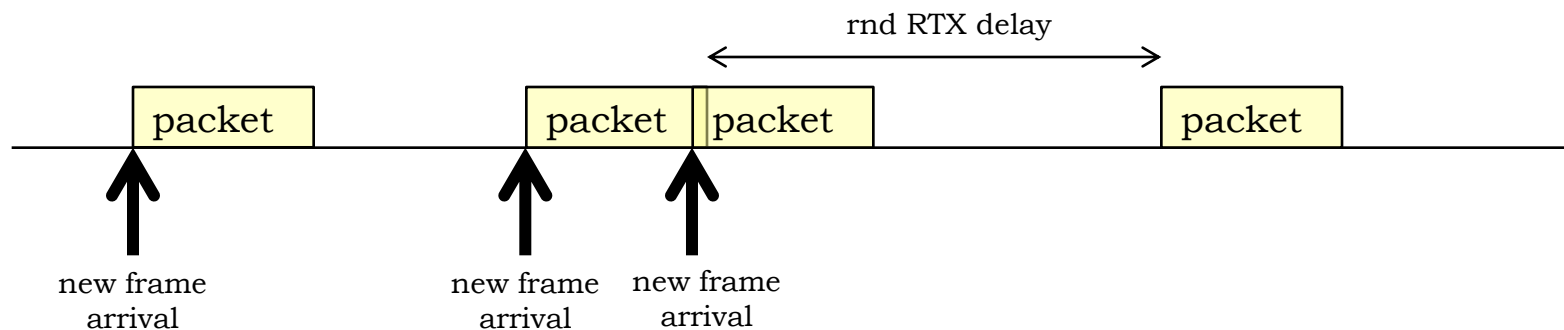
⇒ In LoRa ACKs from the gateways are used rarely, therefore cancel

→ **Simplifying assumptions:**

⇒ Extremely high number of devices,

⇒ Fixed length frames

⇒ Frame arrival rate follows Poisson distribution



# Analysis of Pure ALOHA

## → Notation:

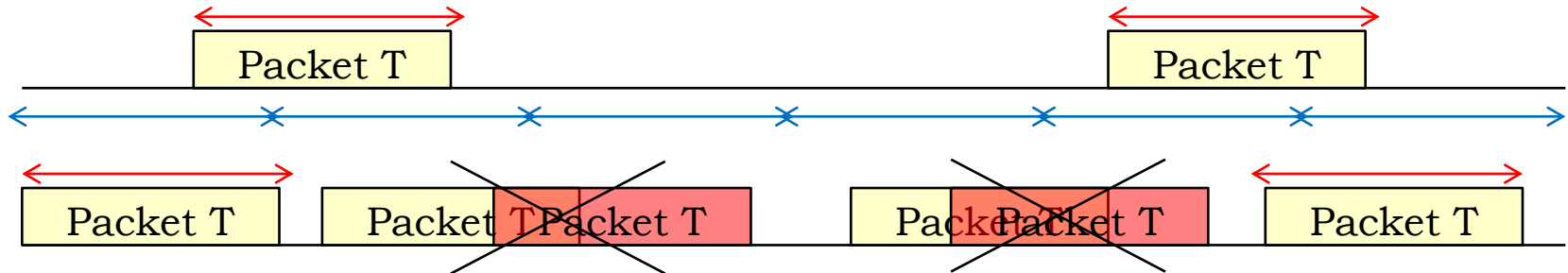
⇒  $T = \text{pkt\_time}$

⇒  $S$ : Average number of successful transmissions per  $\text{pkt\_time}$ ; that is, the *throughput* or *efficiency*.

→ e.g.  $2\text{frames}/6\text{pkt\_time}$

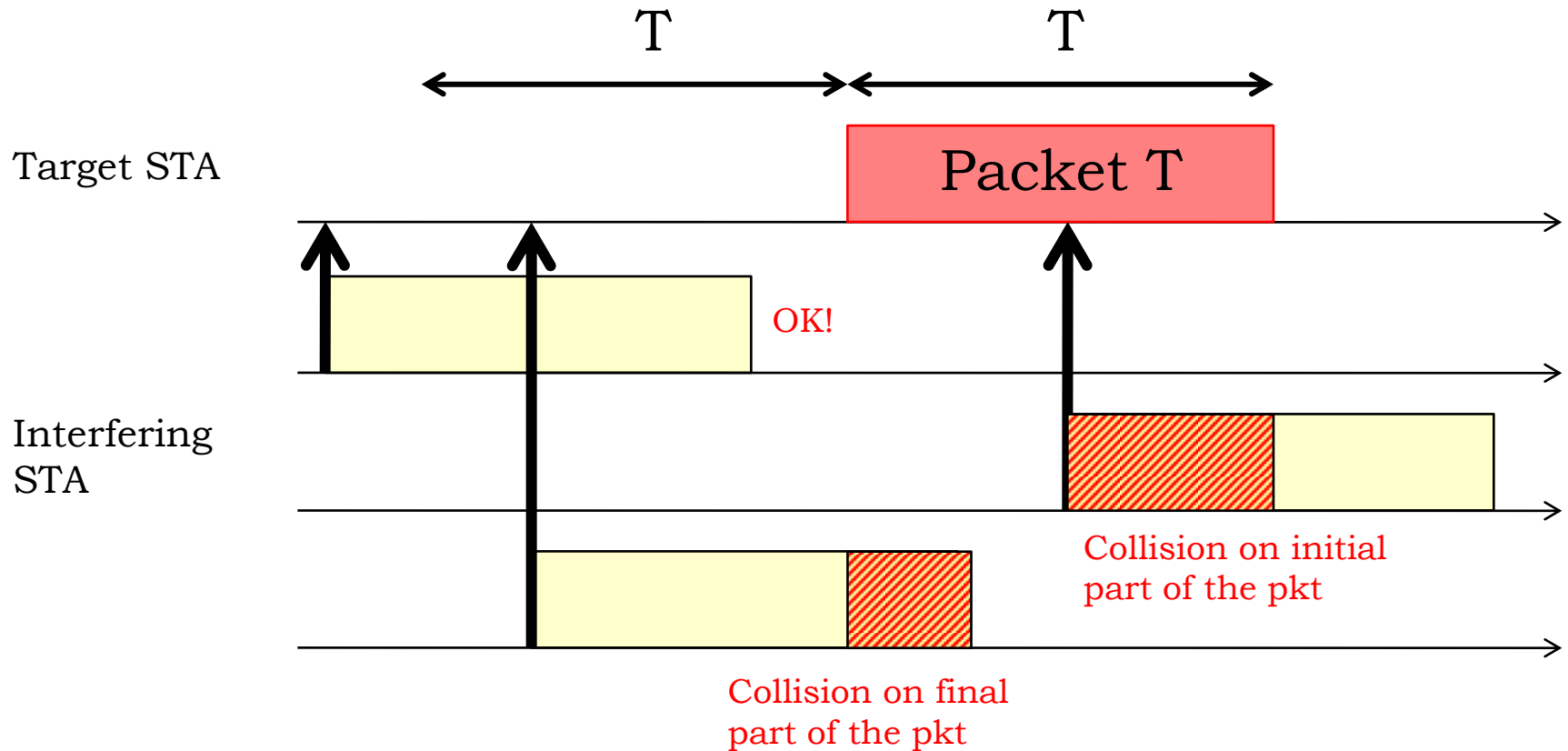
⇒  $G$ : Average number of total frames transmitted per  $\text{pkt\_time}$

→ e.g.  $2\text{frames}/6\text{pkt\_time}$  in the first case,  $6\text{frames}/6\text{pkt\_time}$  in the second case





# Vulnerability period: $2T$



# Analysis of Pure ALOHA

Using Pr to have k tx in t:

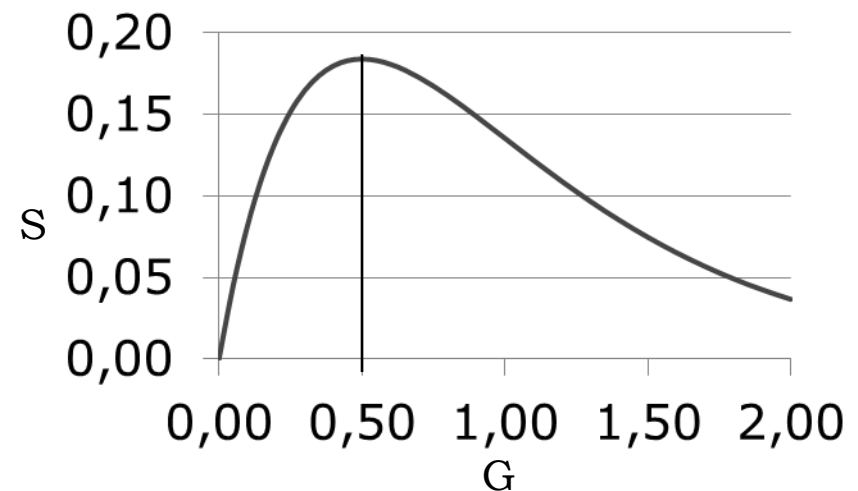
$$P_k(t) = \frac{(\Lambda t)^k e^{-\Lambda t}}{k!}$$

and considering:

$$\Lambda \cdot 2T = 2G$$

we have:

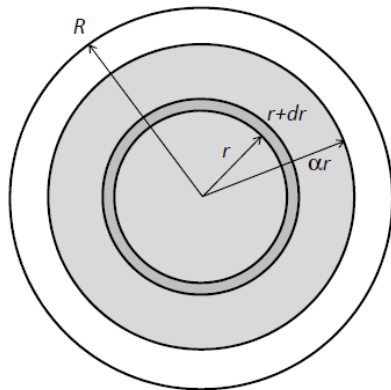
$$S = G \cdot \left[ \frac{(2G)^k}{k!} e^{-2G} \right]_{k=0} = G e^{-2G}$$



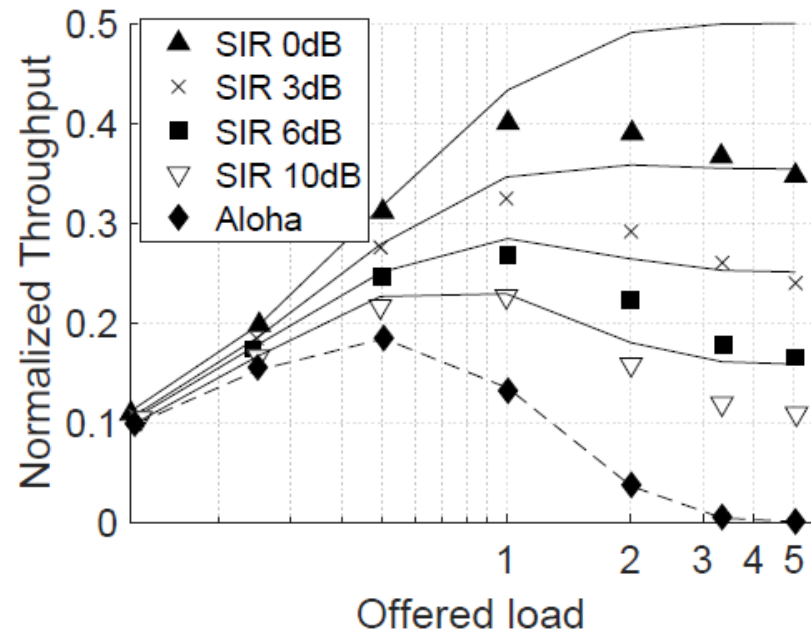
# Intra-SF Interference

→ For each node,  
packets received at  
lower power, do not  
prevent correct  
reception

⇒ Competing load for each  
device at distance  $r$  is  
lower than the whole  $G$

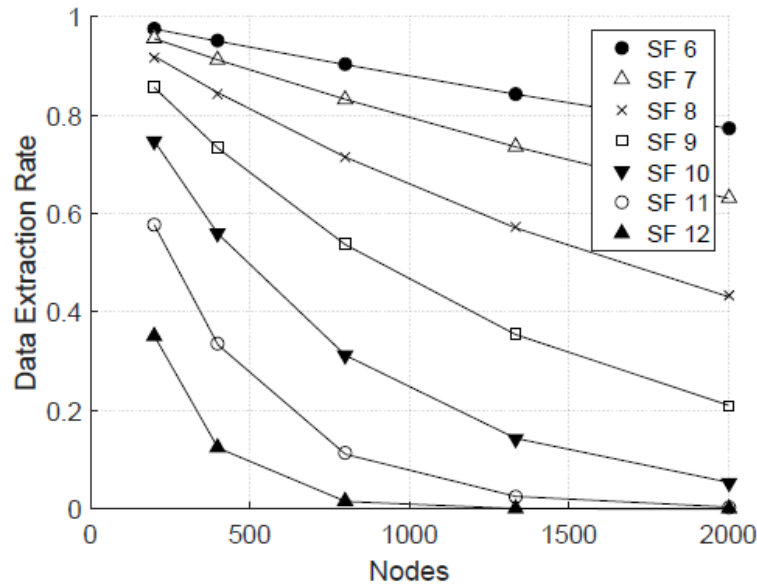


*LoRaSIM Open  
Source Simulator*

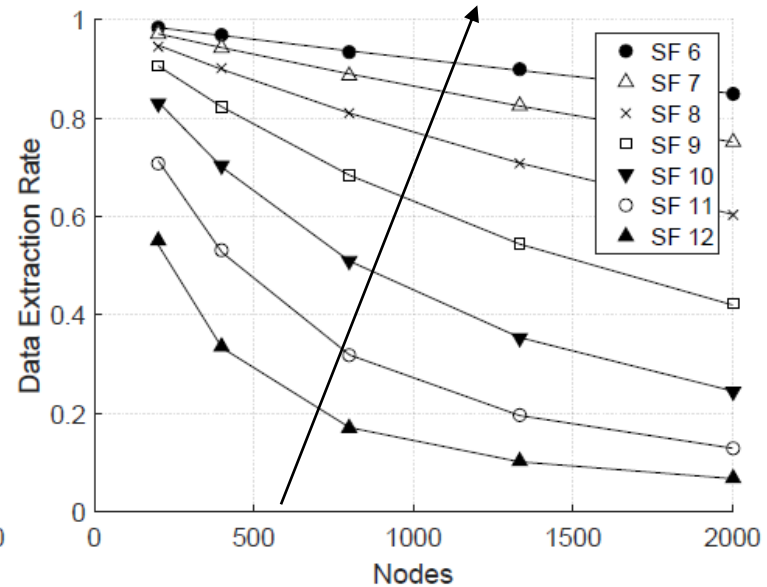


*Maximum Throughput can be much higher  
than 18%!!*

# Data Extraction Rates with captures



(a) Aloha (no capture)



(b) Capture

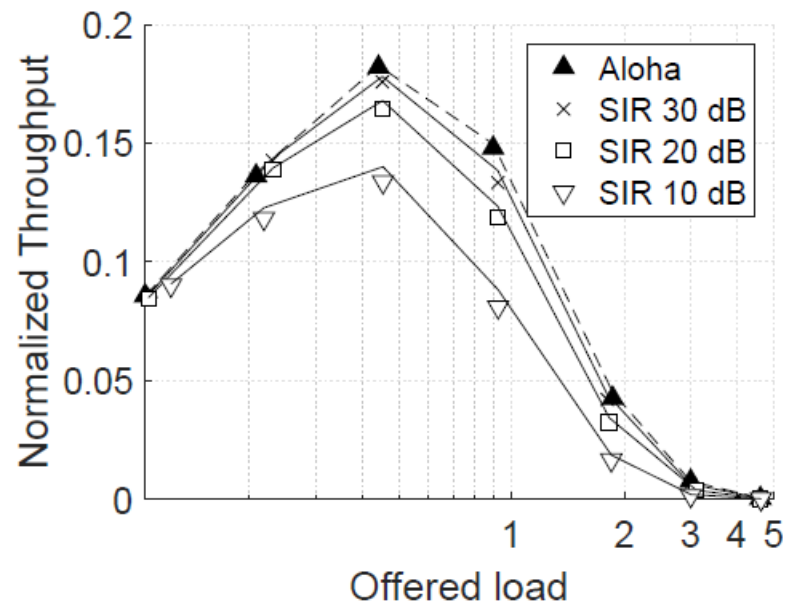
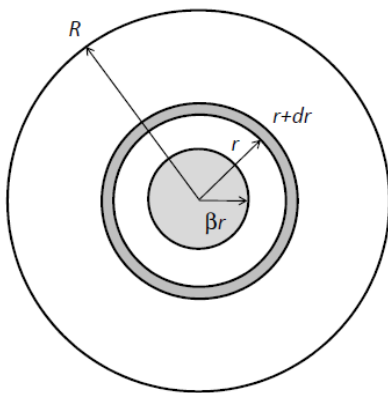
*1 msg every 90 seconds, 20 bytes of payload*

# Inter-SF Interference

→ Each SF, cannot be really considered as independent channel

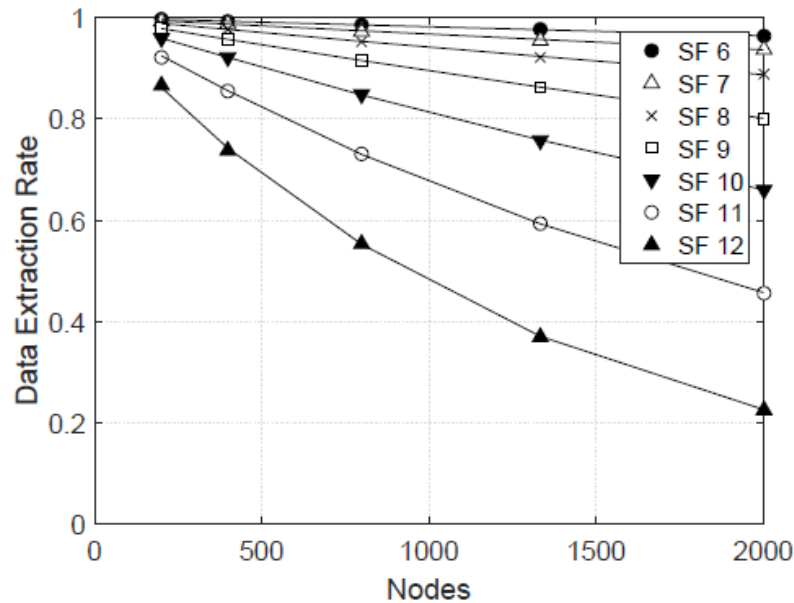
⇒ Close nodes can create collisions with different SFs

⇒ Each device at distance  $r$  has an extra competing load from other SFs

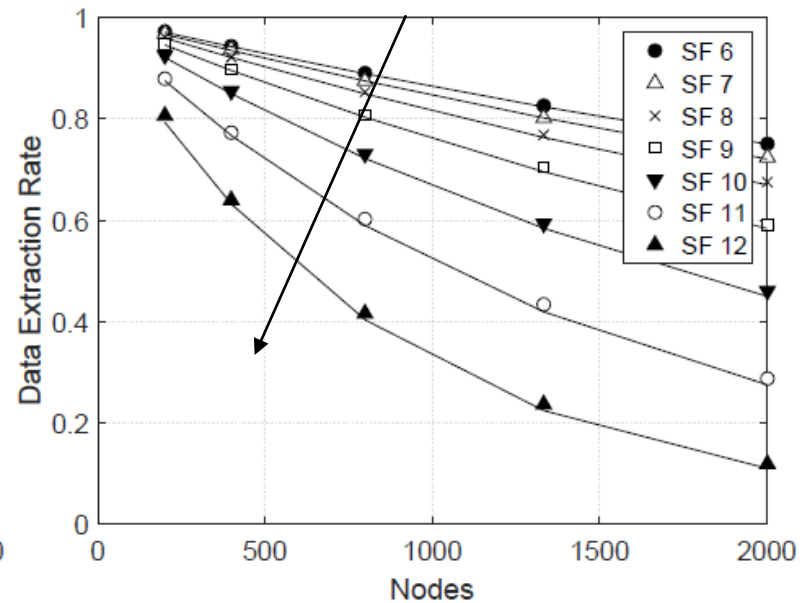


*In presence of multiple SFs, maximum throughput of each channel could be much lower than 18%!!*

# Data Extraction Rates with inter-SF interferece



(a) Orthogonal SFs



(b) Interfering SFs

*1 msg every 90 seconds, 20 bytes of payload*

# Considerations on Scalability

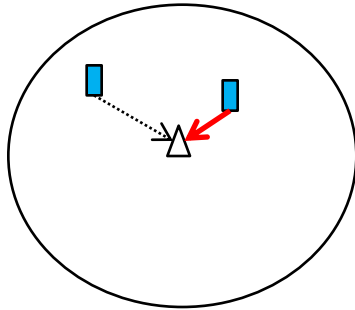
## → LoRa cells cannot sustain high loads

- ⇒ Maintain reception duty-cycle under 10% per channel
- ⇒ Gateways working on multiple channels at the same time (up to 8)
- ⇒ Manage opportunistically SFs and transmission power

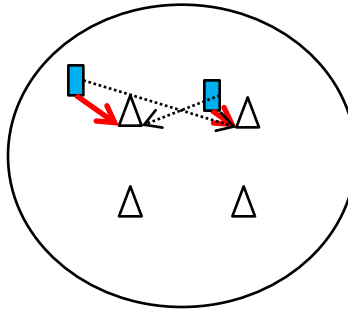
## → How to deal with increasing density of end devices?

- ⇒ Deploying multiple gateways!

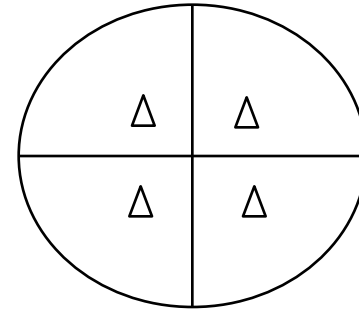
# Multi-Gateway Scenario



Collision event: only the closest device is received



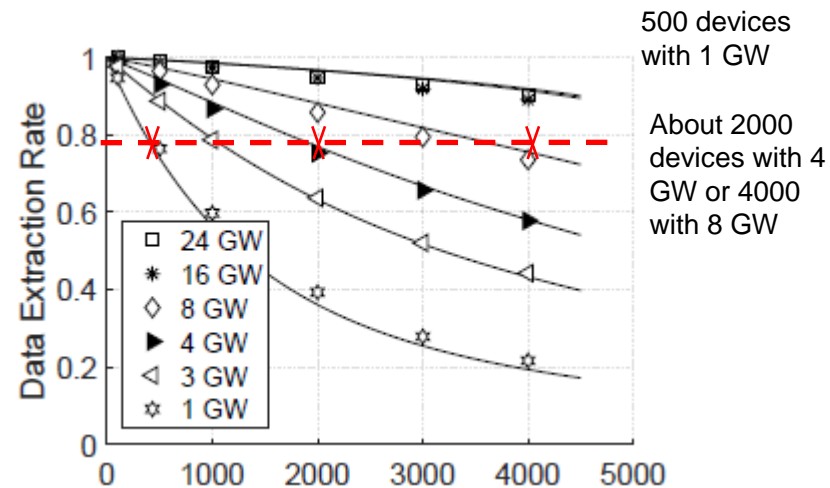
Two correct receptions at two different gateways



The system with M gateways tends to be equivalent to M systems with G/M load

→ **Cell capacity can be improved by deploying multiple gateways**

⇒ If  $S(G)$  is the cell throughput, with M gateways the throughput tends to be  $M \cdot S(G/M)$



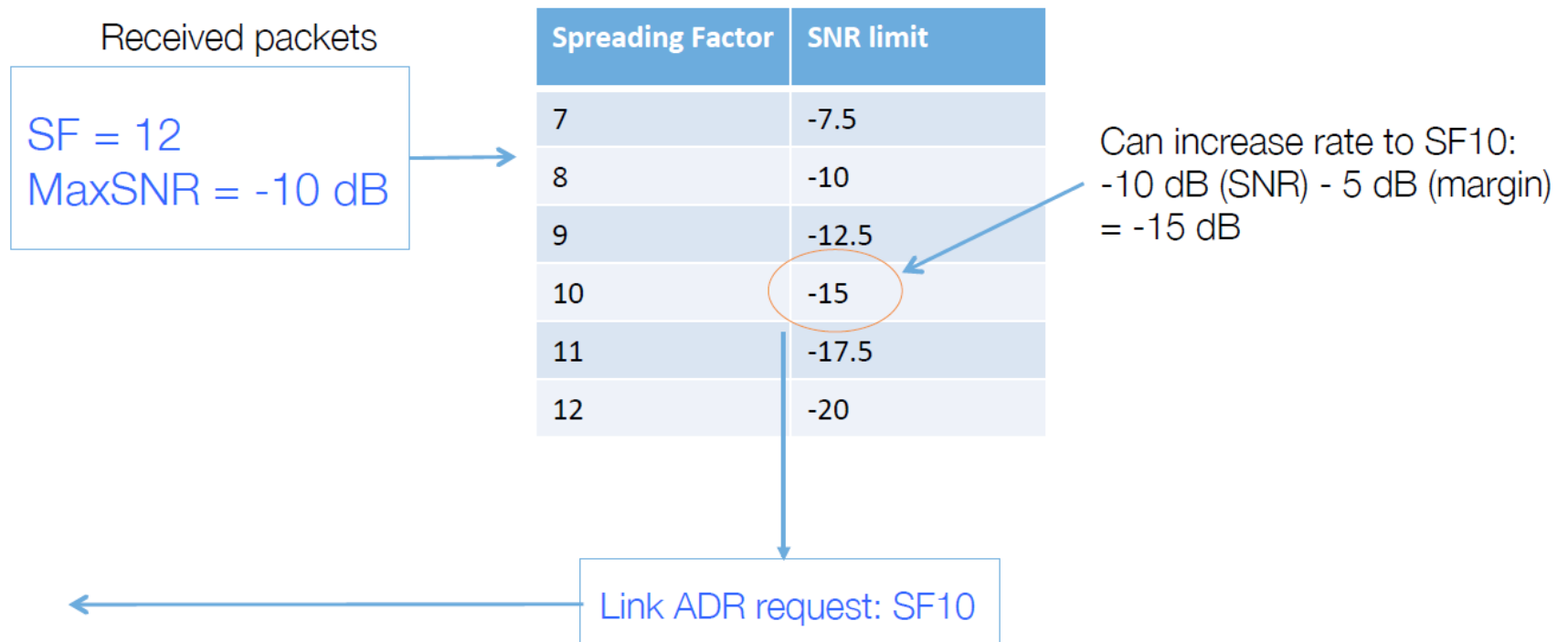


# **SF Allocations**

# Adaptive Data Rates

→ **Basic mechanism: select smallest possible SF for a given SNR/RRSI**

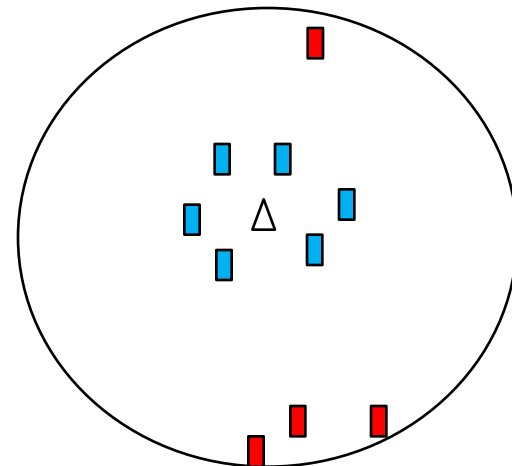
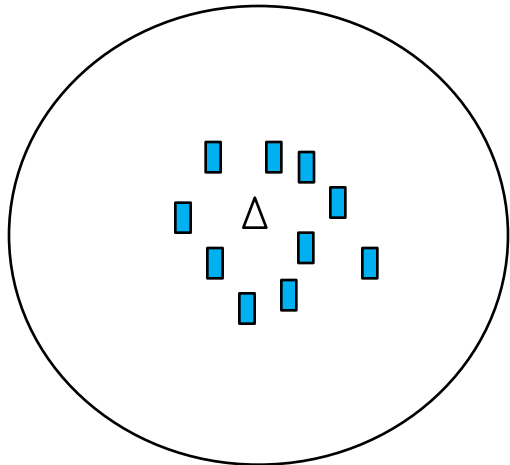
⇒ Highest possible data rate



# Can ADR only work on link-level measurements?

## → Sub-optimal in many scenarios, although standardized by the LoRa Alliance

- ⇒ If all devices are close to the gateway, they will work on the minimum SF7
- ⇒ Cell capacity depends not only on the number of devices, but also on their position
  - Load offered on different SFs critically affected by ADR



# How to balance between SFs?

## → Airtimes at each SF are not equal

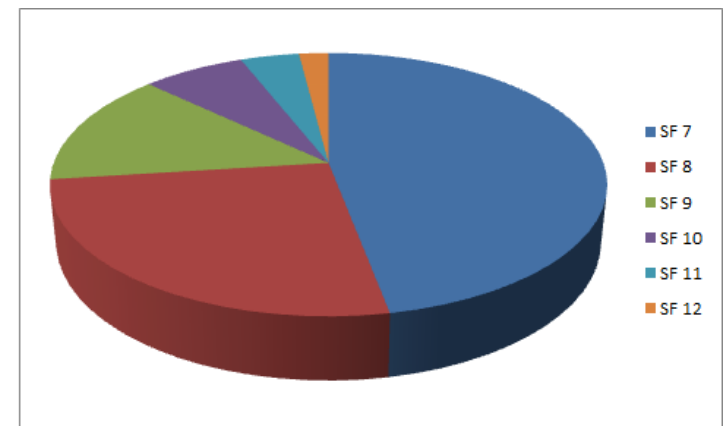
⇒ Roughly, transmissions times are in the ratio  
 $T(\text{SF12})=2 \ T(\text{SF11})=4 \ T(\text{SF10})=..32 \ \text{SF}(7)$

## → With uniform application rates, load balancing requires different nodes on each SF

⇒ More nodes with lower transmission times

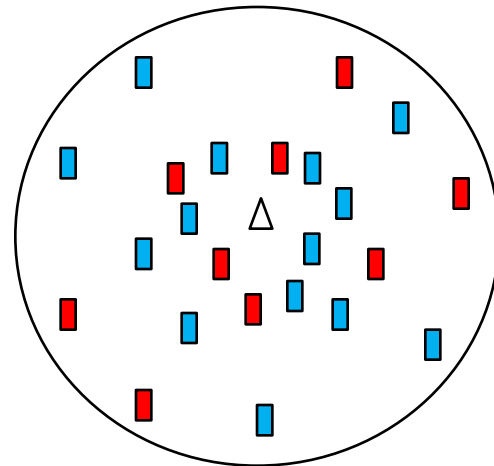
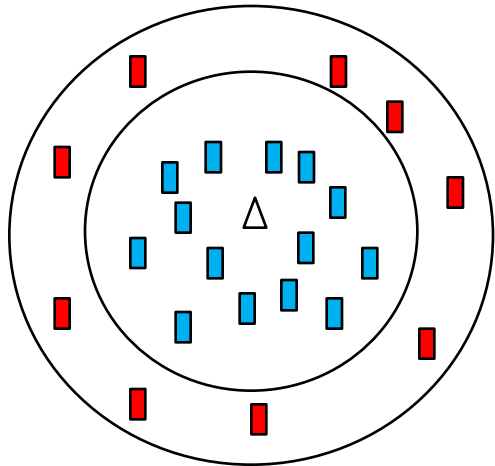
→ 47%, 26%, 14%, 7%, 4%, 2%

→ Only a few nodes on SF12



# And which distribution within the cell?

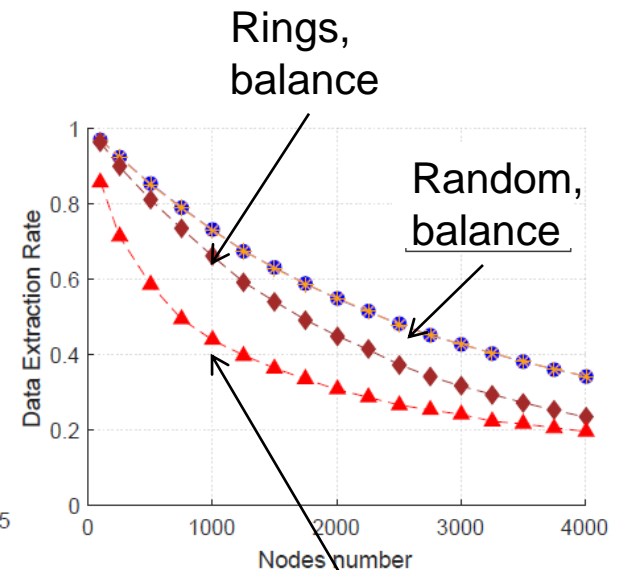
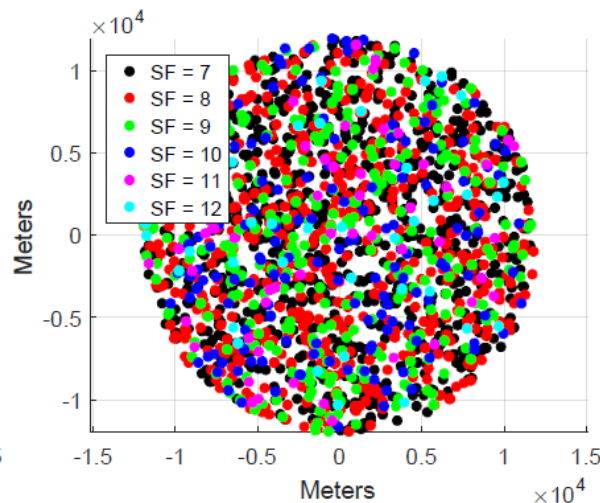
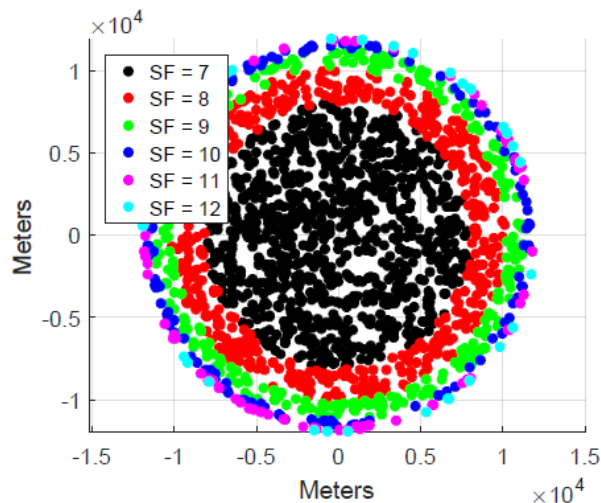
- Consider two SFs only
- Assume all the nodes can be served at the smallest SF
- Which allocation is better?
  - ⇒ Different circular rings or uniform spreading?



# Some Performance Results

## → Why spreading?

- ⇒ Increases capture opportunities and avoids that far users suffer of higher inter-SF interference
- ⇒ Inter-SF interference unbalanced, because only far users suffer of it!

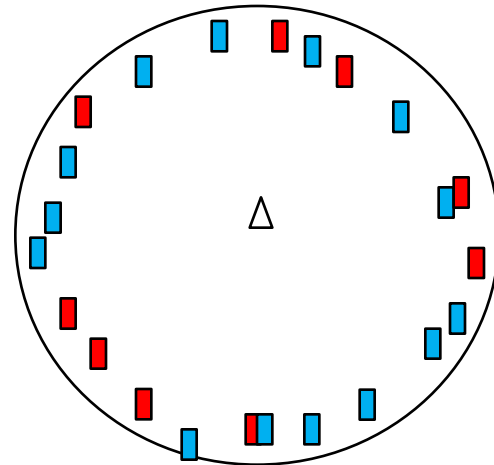
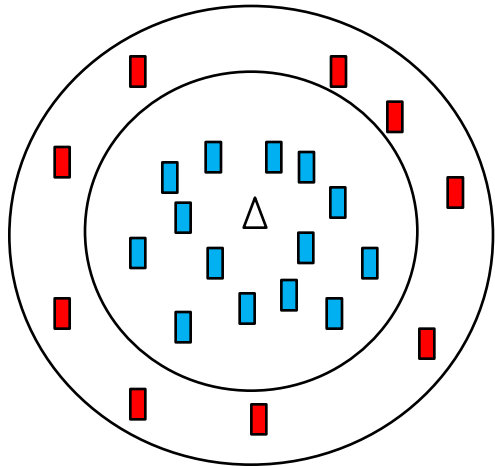


# Can Power Control mitigate inter-SF interference?

→ Inter-SF interference can be avoided but..

→ No real benefit, because destroys capture opportunities!

⇒ Equivalent to move nodes to the same distance from the gateway



# **Technology Strong Points**

- Outdoor and deep indoor connectivity**
- Low cost of ownership with private or public networks**
- Scalable architectures robust to interference**
- Strong ecosystem of partners and applications**



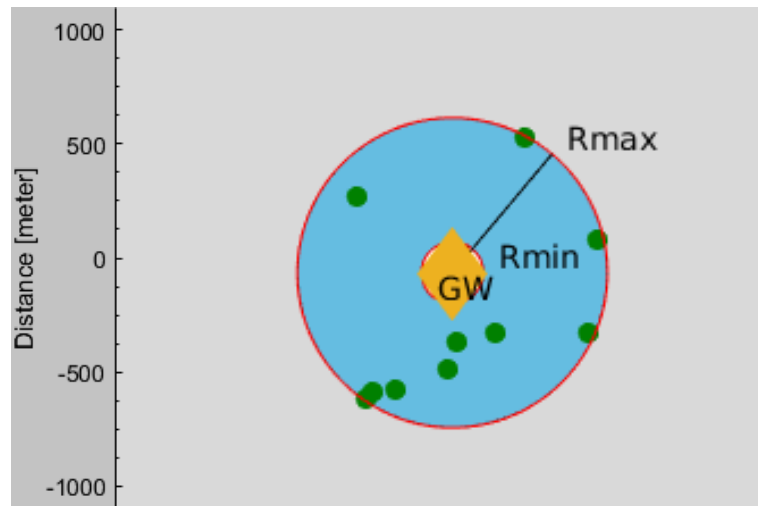
# Open Issues

# 1) Experimental Studies with thousands of devices

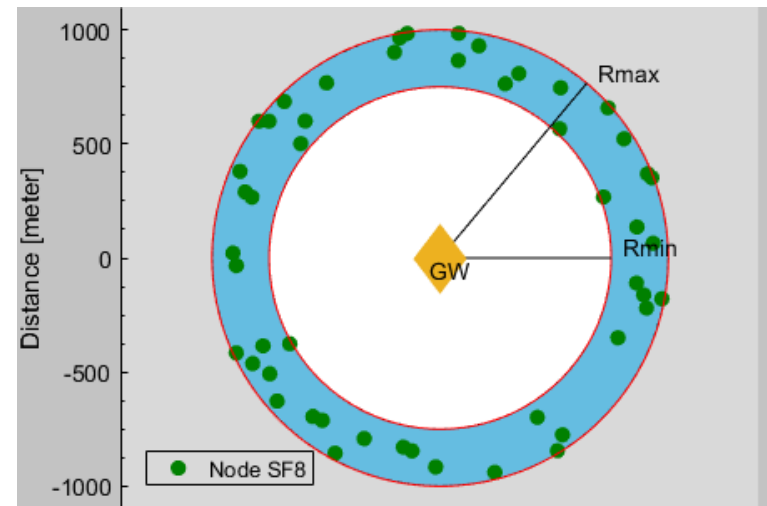
→ **Possible idea: work on traffic emulator, given:**

- ⇒ # of nodes and source rates
- ⇒ Location of the nodes ( $R_{min}$  and  $R_{max}$ )
- ⇒ SFs of the nodes

→ **Schedule transmissions (including collisions) and generate aggregated signal to transmit via USRP**

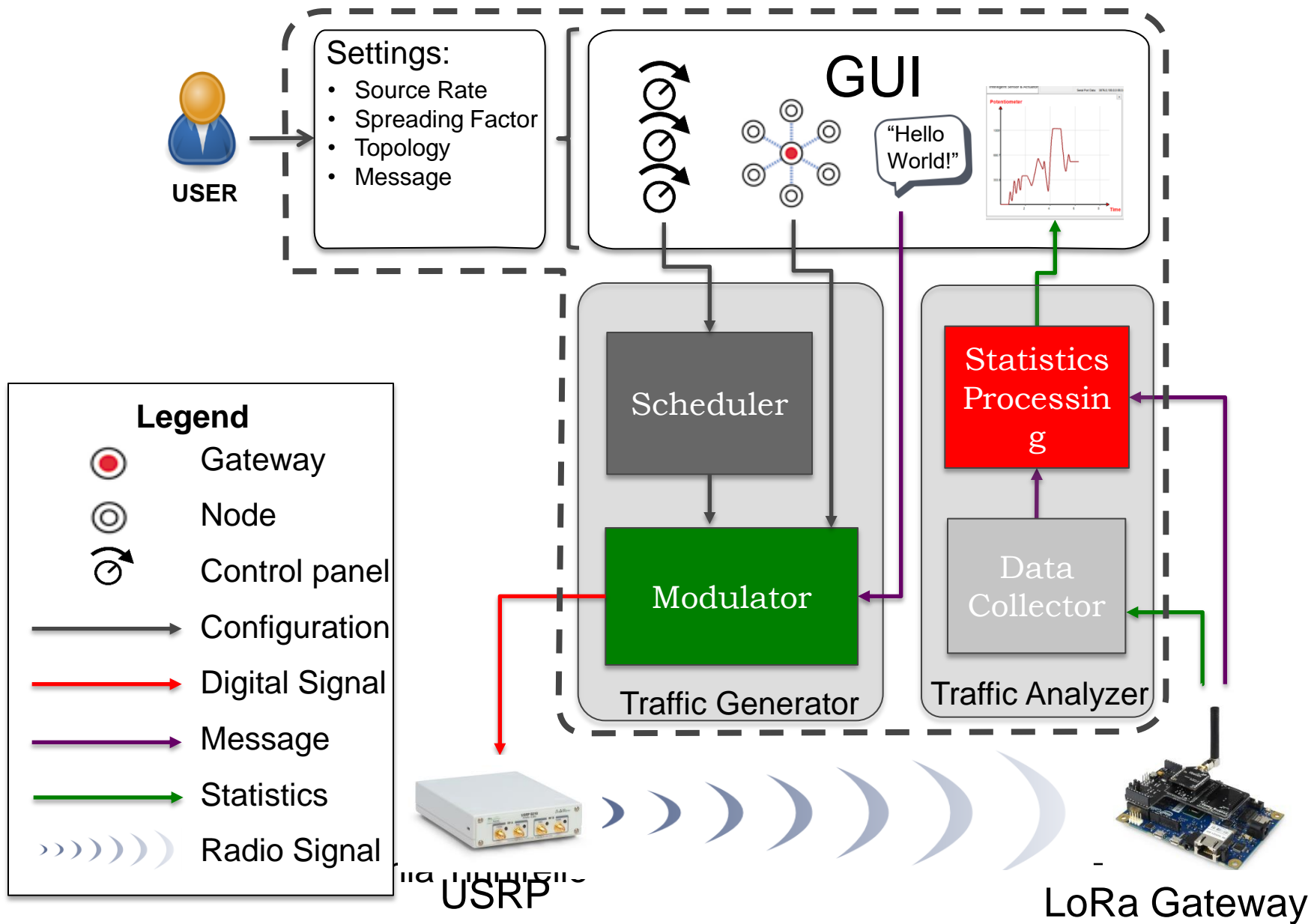


Nodes with SF7



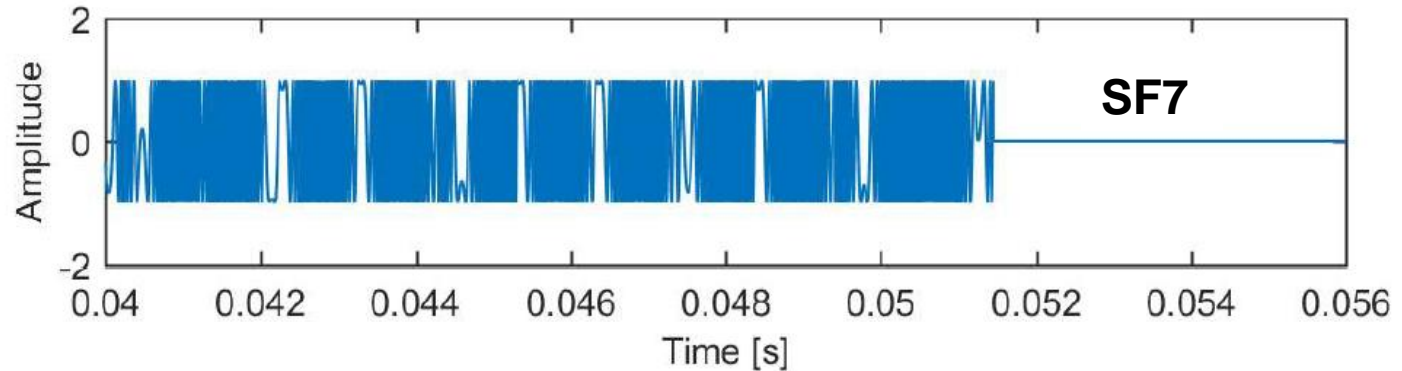
Nodes with SF8

# Emulator architecture

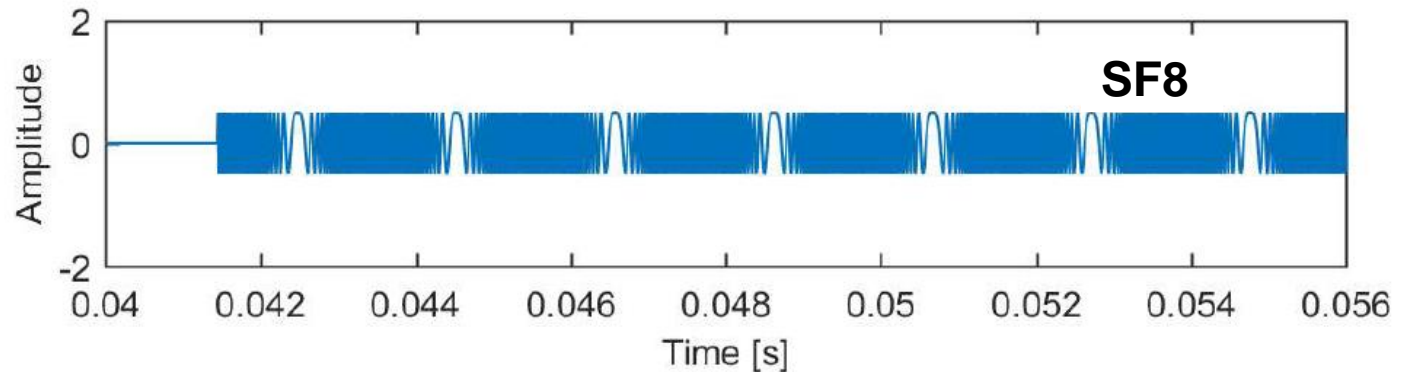


# An example of aggregated trace

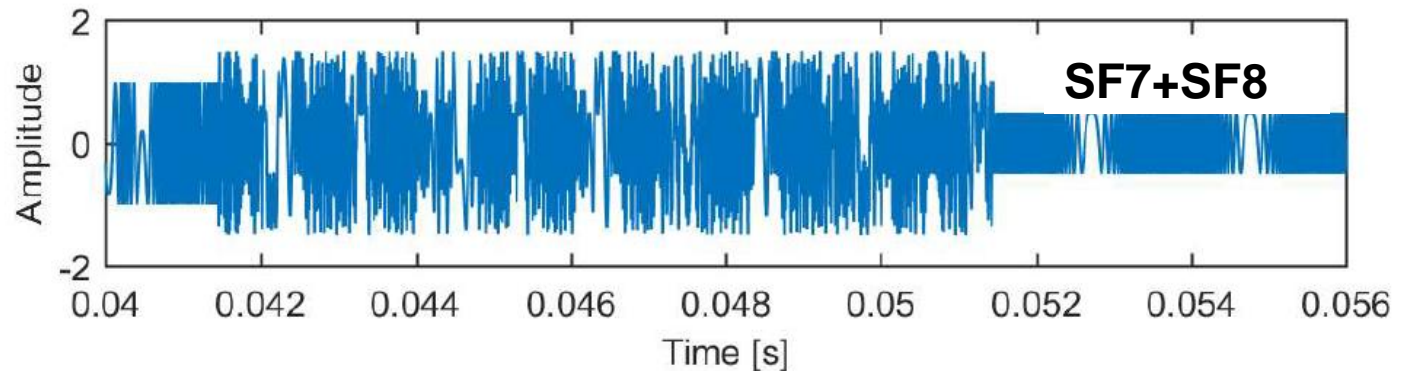
Ch1



Ch2



On  
Air



## **2) Network optimizations**

**→ Definition of network capacity predictors for general gateway deployments, traffic scenarios and network configurations**

**→ But how to enforce optimal configurations?**

⇒ Simple rules, for avoiding per-device commands sent by the network server

→ Unfeasible to dynamically change per-device parameters over time (too much downlink bandwidth)

⇒ Which alternative solutions?

→ Choose SF7 with a given probability, within a given RSSI range, etc.

→ support broadband configuration commands

### 3) Interference Cancellation

**→ Since collisions result in the correct demodulation of the strongest signal, is it possible to cancel the signal and recover the weakest one?**

⇒ In principle yes.. but complex estimation of frequency and time off-sets between colliding transmitters

→ Current receivers are very simple, although at the gateway we can envision something more complicated

⇒ Ongoing work..

# References

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## → **LoRaWAN specification**

⇒ N.Sornin , M. Luis , T. Eirich , T. Kramp , and O. Hersent , LoRa Alliance Inc., San Ramon, CA, Ver. 1.0., January 2015

## → **Impact of LoRa Imperfect Orthogonality: Analysis of Link-Level Performance**

⇒ D. Croce, M. Gucciardo, S. Mangione, G. Santaromita and I. Tinnirello, in IEEE Communications Letters, vol. 22, no. 4, pp. 796-799, April 2018

## → **Long-Range IoT Technologies: The Dawn of LoRa**

⇒ L. Vangelista, A. Zanella, M. Zorzi, in Future Access Enablers of Ubiquitous and Intelligent Infrastructures, pp. 51–58, Springer, 2015

## → **Extending the performance of LoRa by suitable spreading factor allocations**

⇒ F. Cuomo, M. Campo, A. Caponi, G. Bianchi, G. Rossini and P. Pisani, WiMob 2017

## → **LoRaSIM simulator**

⇒ <https://github.com/adwaitnd/lorasim>

# Backup



# Example of RX Data

```
{ "rxpk":  
  [{  
    "tmst":87485028,  
    "time":"2016-12-06T11:15:50.763950Z",  
    "chan":6,  
    "rfch":0,  
    "freq":867.700000,  
    "stat":1,  
    "modu":"LORA",  
    "datr":"SF9BW125",  
    "codr":"4/5",  
    "lsnr":-11.8,  
    "rssi":-118,  
    "size":29,  
    "data":"QDABAUCA3CMBnpi48xb25eMnX2iH5sA/8RqLqNg="
```

# Inter-SF Rejection Thresholds

$SF_{ref} \backslash SF_{int}$	7	8	9	10	11	12
7	1	-8	-9	-9	-9	-9
8	-11	1	-11	-12	-13	-13
9	-15	-13	1	-13	-14	-15
10	-19	-18	-17	1	-17	-18
11	-22	-22	-21	-20	1	-20
12	-25	-25	-25	-24	-23	1