

# *Formal Methods in Software Development*

---

## *Counteracting State Explosion Problem II: Bisimulation*

*Ivano Salvo*

---

Computer Science Department



**SAPIENZA**  
UNIVERSITÀ DI ROMA

Lesson 9, November 30<sup>th</sup>, 2020

# Equivalences, Reloaded

---

**Basic idea:** Having to check  $\mathcal{M} \models \varphi$ , find a (hopefully) **smaller system  $\mathcal{M}'$** , such that  $\mathcal{M} \models \varphi$  if and only if  $\mathcal{M}' \models \varphi$ .

This idea is related to the definition of **some equivalence  $\cong$**  among transition systems or Kripke structures, so that  $\mathcal{M} \cong \mathcal{M}'$ .

The equivalence  $\cong$  should be invariant for the logic at hand.

As a matter of fact, depending also on the property  $\varphi$  (and the temporal logic at hand), **many behaviours of  $\mathcal{M}$  can be irrelevant** to the satisfaction of  $\mathcal{M} \models \varphi$ .

For example, **stuttering equivalence is invariant for  $\text{LTL}_X$**

Ideally:

- $\mathcal{M}'$  should be much smaller than  $\mathcal{M}$ .
- The computation of  $\mathcal{M}'$  should be much faster than checking  $\mathcal{M} \models \varphi$ .

# *Lesson 9a:*

## *Simulation and Bisimulation*

# *Bisimulation*

---

**Bisimulation** plays a central role in the Theory of Concurrency (usually in an action-oriented version).

It has been introduced in the framework of Process Algebras (and of course, Labeled Transition Systems).

Bisimulation usually is defined as the **maximum equivalence** satisfying certain properties (see Definition in the next slide), so it is usually defined as a **maximum fixpoint**.

# Bisimulation

**Definition:** Let  $\mathcal{M}=(S, R, L, I, AP)$  and  $\mathcal{M}'=(S', R', L', I', AP)$  be two Kripke structures with the **same set of atomic propositions**.

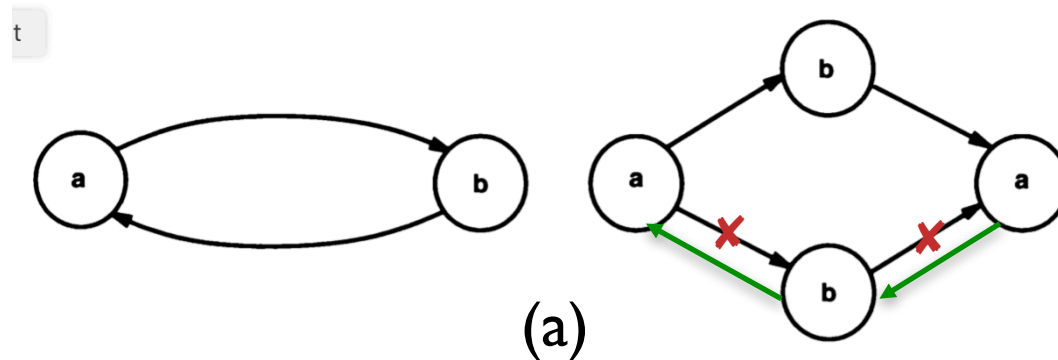
A relation  $B \subseteq S \times S'$  is a **bisimulation** relation **iff** for all  $(s, s') \in B$  we have:

1.  $L(s) = L(s')$
2. For all  $t$  such that  $R(s, t)$  there exists  $t'$  such that  $R'(s', t')$  and  $B(t, t')$
3. For all  $t'$  such that  $R'(s', t')$  there exists  $t$  such that  $R(s, t)$  and  $B(t, t')$

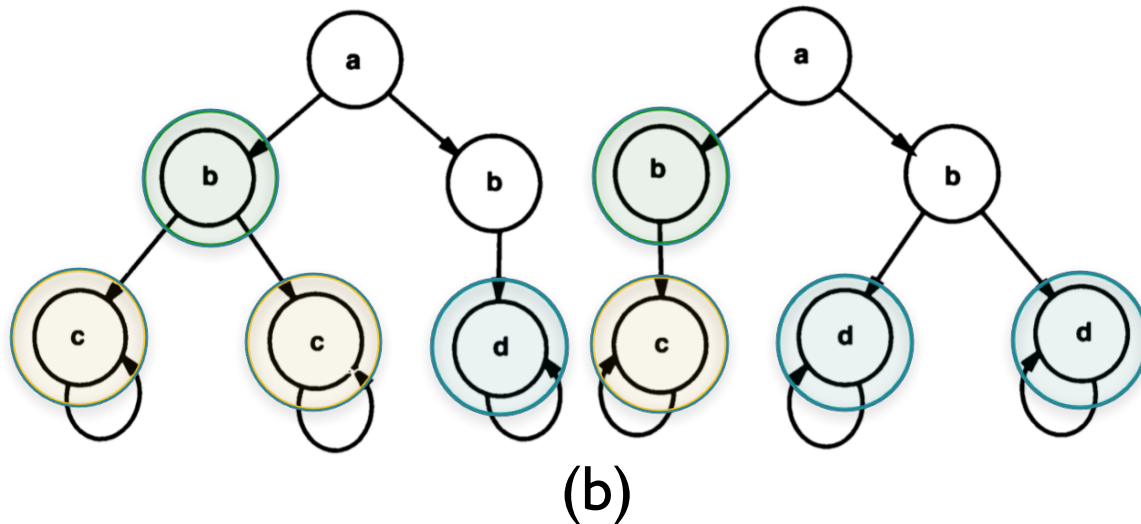
Two Kripke structures are **bisimulation equivalent** if **there exists a bisimulation  $B$**  such that for each initial state  $s \in I$  in  $\mathcal{M}$  there exists an initial state  $s' \in I'$  in  $\mathcal{M}'$  such that  $B(s, s')$  and for each initial state  $s' \in I'$  in  $\mathcal{M}'$  there exists an initial state  $s \in I$  in  $\mathcal{M}$  such that  $B(s, s')$ .

# Bisimulation: Examples

Bisimulation preserves some operations like **unwinding** (a):



and **duplication** (b) of sub-structures:

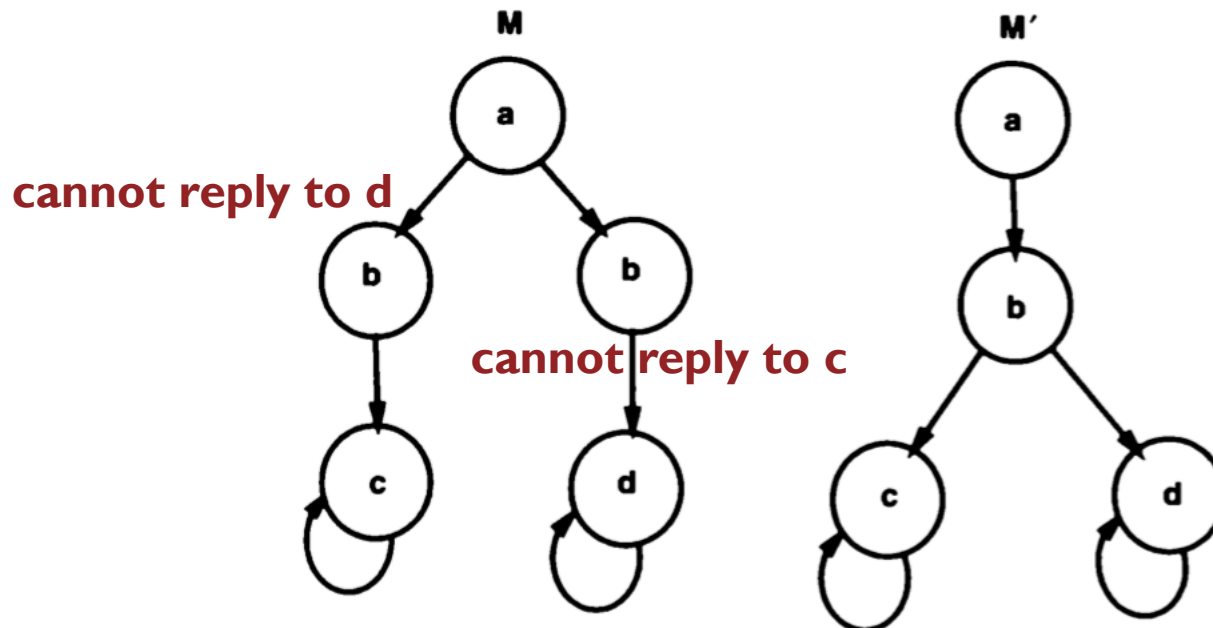


# Bisimulation: Examples

Bisimulation takes a **branching-time** perspective.

**These systems are not bisimilar**, because  $\mathcal{M}'$  defers a decision to go in  $c$  or  $d$ . They are trace equivalent (same linear properties).

$\mathcal{M}'$  is “**stronger**” than  $\mathcal{M}$ :  $\mathcal{M}'$  **simulates**  $\mathcal{M}$  in the sense it can reply to any action of  $\mathcal{M}$  (not viceversa, **bisimulation game**)



# Corresponding paths

**Definition:** Two paths  $\pi = s_0 s_1 \dots s_i \dots$  in  $\mathcal{M}$  and  $\pi' = s_0' s_1' \dots s_i' \dots$  in  $\mathcal{M}'$  **correspond** iff for all  $i$ , we have  $B(s_i, s_i')$ .

**Lemma:** Let  $s, s'$  be such that  $B(s, s')$ . Then, for every path starting from  $s$ , there exists a corresponding path starting from  $s'$  and viceversa.

**Proof:** Let  $\pi = s_0 s_1 \dots s_i \dots$  in  $\mathcal{M}$  with  $s = s_0$ . We prove the statement by induction on  $i$ . Clearly, we put  $s'_0 = s'$ .

Let us now assume that  $B(s_i, s_i')$  holds. Because  $B(s_i, s_i')$  and  $R(s_i, s_{i+1})$  there exists a state  $s_i''$  such that  $R'(s_i', s_i'')$  and  $B(s_{i+1}, s_i'')$  and clearly we choose  $s_i''$  as  $s'_{i+1}$ .

Symmetrically, given a path  $\pi'$  in  $\mathcal{M}'$  we can construct a corresponding path in  $\mathcal{M}$ . □



# CTL\* and bisimulation

**Lemma:** Let  $f$  be a CTL\* formula and let  $s, s'$  be such that  $B(s, s')$  and  $\pi, \pi'$  be corresponding path starting from (resp.)  $s, s'$ . Then:

- If  $f$  is a state formula,  $\mathcal{M}, s \models f \Leftrightarrow \mathcal{M}', s' \models f$
- If  $f$  is a path formula,  $\mathcal{M}, \pi \models f \Leftrightarrow \mathcal{M}', \pi' \models f$

**Proof:** (Easy induction on the structure of  $f$ ).

$f \equiv p \in AP$ . Let  $f$  be an atomic proposition  $p$ . We know that if  $B(s, s')$  then  $L(s) = L'(s')$  and hence  $\mathcal{M}, s \models p \Leftrightarrow \mathcal{M}', s' \models p$ .

Let  $f \equiv \neg g$  be a **state** or a **path formula**.  $\mathcal{M}, s \models \neg g \Leftrightarrow_{\text{def of } \neg} \mathcal{M}, s \not\models g \Leftrightarrow (\text{IND}) \mathcal{M}', s' \not\models g \Leftrightarrow_{\text{def}} \mathcal{M}', s' \models \neg g$ .

Let  $f \equiv g \vee h$  be a **state** or a **path formula**.  $\mathcal{M}, s \models g \vee h \Leftrightarrow_{\text{def}} \mathcal{M}, s \models g$  or  $\mathcal{M}, s \models h \Leftrightarrow (\text{IND}) \mathcal{M}', s' \models g$  or  $\mathcal{M}', s' \models h \Leftrightarrow_{\text{def of } \vee} \mathcal{M}', s' \models g \vee h \equiv f$ .

Let  $f \equiv E g$ . If  $\mathcal{M}, s \models E g$  then there exists a path  $\pi$  starting in  $s$  such that  $\pi \models g$ . Then there exists a corresponding path  $\pi'$  in  $\mathcal{M}'$  starting in  $s'$ , and by (IND)  $\pi' \models g \Leftrightarrow \pi \models g$ . This implies that  $\mathcal{M}, s' \models E g$ . The converse is the same.

# *CTL\* and bisimulation (cntd)*

Let  $f \equiv \mathbf{X} g$ :  $\mathcal{M}, \pi \models \mathbf{X} g \Leftrightarrow_{\text{def of } \mathbf{X}} \mathcal{M}, \pi^1 \models g$ . Since by hypothesis we have a corresponding path  $\pi'$ , we have also that  $\pi^1$  corresponds to  $\pi'^1$  and hence, by (IND),  $\mathcal{M}, \pi'^1 \models g \Leftrightarrow_{\text{def of } \mathbf{X}} \mathcal{M}, \pi' \models \mathbf{X} g$ . The same argument works for the converse.

Let  $f \equiv g \mathbf{U} h$ : by definition of  $\mathbf{U}$ , there exists  $k$  such that  $\mathcal{M}, \pi^k \models h$  and  $\mathcal{M}, \pi^j \models g$  for all  $0 \leq j < k$ . Since  $\pi'$  corresponds to  $\pi$ , we have, by (IND)  $\mathcal{M}', \pi'^k \models h$  and  $\mathcal{M}', \pi'^j \models g$  for all  $0 \leq j < k$ , that is (by def. of  $\mathbf{U}$ )  $\mathcal{M}', \pi' \models g \mathbf{U} h$ . The converse is the same.

The case  $f \equiv g \mathbf{R} h$  is similar to  $f \equiv g \mathbf{U} h$ , the case  $f \equiv \mathbf{A} g$  is similar to  $f \equiv \mathbf{E} g$ , and the case  $f \equiv g \wedge h$  is similar to  $f \equiv g \vee h$ .  $\square$

**Theorem:** Let  $f$  be a CTL\* formula and  $B(s, s')$ . Then

$$\mathcal{M}, s \models f \Leftrightarrow \mathcal{M}', s' \models f.$$

**Theorem:** Let  $f$  be a CTL\* formula and  $B(\mathcal{M}, \mathcal{M}')$ . Then

$$\mathcal{M} \models f \Leftrightarrow \mathcal{M}' \models f.$$

# *Bisimulation: CTL versus CTL\**

---

Interestingly, the above theorems **holds also for CTL!**

Therefore, if two structures can be distinguished by a CTL\* formula, they can be distinguished also by a CTL formula.

This **does not mean** that CTL and CTL\* **have the same expressive power**.

CTL\* and CTL would be equivalent if for each CTL\* formula it would exist a CTL formula with the same set of models (Kripke structures). But this is known to be false!

Here, we are just saying that, for each model there exists a CTL formula that is true in that model but false in any **inequivalent** model (with respect to **bisimulation** – remember that LTL is sensible to **stuttering equivalence**).

The definition of corresponding path and bisimulation **can be extended to the case of fairness constraints** (just to limit to fairness paths), obtaining similar results.

# Abstraction: simulation

Often, it is interesting to consider an abstraction  $\mathcal{A}$  of a system  $\mathcal{M}$  with the property that all behaviors of  $\mathcal{M}$  are also behaviours of  $\mathcal{A}$  (but not necessarily the converse).

The abstraction  $\mathcal{A}$  may have some **spurious behaviour**.

**Definition:** Let  $\mathcal{M}=(S, R, L, I, AP)$  and  $\mathcal{M}'=(S', R', L', I', AP')$  be two Kripke structures with  $AP' \subseteq AP$ .

A relation  $H \subseteq S \times S'$  is a **simulation** iff for all  $(s, s') \in H$ :

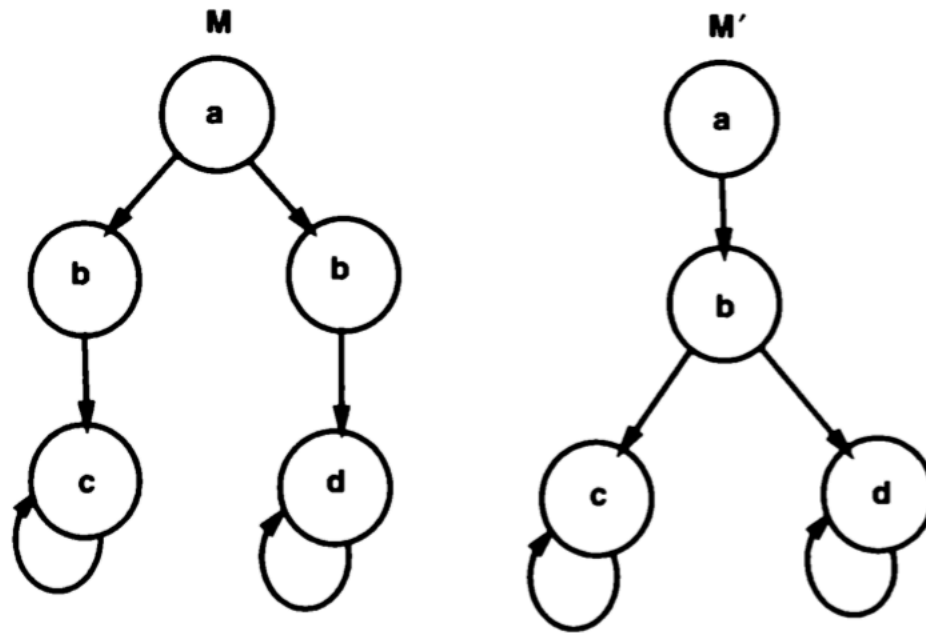
1.  $L(s) \cap AP' = L(s')$
2. For all  $t$  such that  $R(s, t)$  there exists  $t'$  such that  $R'(s', t')$  and  $H(t, t')$

$\mathcal{M}'$  **simulates**  $\mathcal{M}$  (notation  $\mathcal{M} \leq \mathcal{M}'$ ) if for each state  $s \in I$  in  $\mathcal{M}$  there exists an initial state  $s' \in I'$  in  $\mathcal{M}'$  such that  $H(s, s')$ .

**Proposition:**  $\leq$  is a preorder on the set of Kripke structures.

# Simulation: Examples

If we consider the relation  $H = \{ (s, s') \mid L(s) = L(s') \}$  it is easy to see that  $\mathcal{M} \leq \mathcal{M}'$ . As a simulation game,  $\mathcal{M}'$  can always 'reply' to any move of  $\mathcal{M}$ .



# *The logic ACTL\* and simulation*

---

ACTL(\*) is the restriction of CTL(\*) that considers only the universal path quantifier **A** and negations only on atomic proposition (otherwise, **implicit existentials** would be present).

**Lemma:** Let  $s, s'$  be such that  $H(s, s')$ . Then, for every path starting from  $s$ , there exists a corresponding (with respect to  $H$ ) path starting from  $s'$ .

**Theorem:** If  $\mathcal{M} \leq \mathcal{M}'$  then  $\forall f \in \text{ACTL}^*, \mathcal{M}' \models f$  **implies**  $\mathcal{M} \models f$ .

This theorem holds intuitively because ACTL\* formulas **quantify over all behaviours** of a Kripke structures  $\mathcal{M}$  and if a formula holds for all behaviour of  $\mathcal{M}'$  then it holds for all behaviour of  $\mathcal{M}$ .

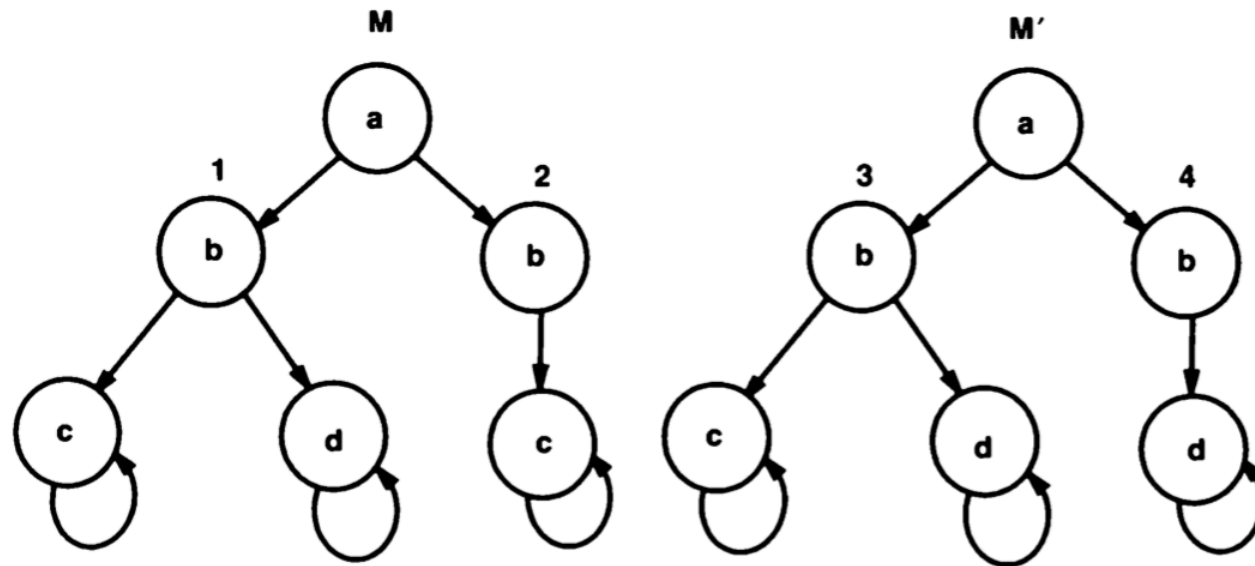
On the other hand, **if  $\mathcal{M}' \not\models f$ , nothing can be deduced for  $\mathcal{M}$** . We have to check if the counterexample is **spurious** or **it works also for  $\mathcal{M}$** . The counterexample may drive the consideration of another structure  $\mathcal{M}''$  with  $\mathcal{M} \leq \mathcal{M}'' \leq \mathcal{M}'$  and try  $\mathcal{M}'' \models f$  (**counterexample guided refinement**)

# Simulation: Examples

In this example,  $\mathcal{M} \leq \mathcal{M}'$  and  $\mathcal{M}' \leq \mathcal{M}$  but  $\mathcal{M}$  and  $\mathcal{M}'$  are not **bisimilar**. State 1 of  $\mathcal{M}$  simulates both states 3 and 4 of  $\mathcal{M}'$ . Similarly, state 3 of  $\mathcal{M}'$  simulates both states 1 and 2 of  $\mathcal{M}$ .

They are not bisimilar because no state in  $\mathcal{M}$  can be associated to state 4 in  $\mathcal{M}'$ . No state in  $\mathcal{M}'$  to state 2 in  $\mathcal{M}$ .

Using logic characterisation of bisimulation,  $\mathcal{M} \models \mathbf{AG} (b \rightarrow \mathbf{EX} c)$  but  $\mathcal{M}' \not\models \mathbf{AG} (b \rightarrow \mathbf{EX} c)$



# Checking (bi)simulation

Compute a sequence of relations  $B_0, B_1, B_2 \dots$  in  $S \times S'$  as follows:

$$B_0(s, s') \text{ iff } L(s) = L(s')$$

$$B_{n+1}(s, s') \text{ iff}$$

$$B_n(s, s') \text{ and}$$

$$\forall t [R(s, t) \Rightarrow [\exists t' R(s', t') \wedge B_n(t, t')]] \text{ and}$$

$$\forall t' [R(s', t') \Rightarrow [\exists t R(s, t) \wedge B_n(t, t')]]$$

Note that  $B_n \supseteq B_{n+1}$  for all  $n$ . Therefore, **we are computing a greatest fixpoint!** We know that **there exists  $n$  such that  $B_{n+1} = B_n$** . We can define  $B^* = \bigcap_n B_n$ .

**Proposition:**  $B^*$  is the largest bisimulation between  $\mathcal{M}$  and  $\mathcal{M}'$ .

**Proof:** We show that for any bisimulation  $B$ ,  $B \subseteq B^*$ . Induction on  $n$ . Clearly,  $B \subseteq B_0$  (cond. 1 in def. of bisim.). Assume  $B \subseteq B_n$  and  $B(s, s')$ . If  $R(s, t)$  then  $R'(s', t')$  and  $B(t, t')$  and the symmetric case. This implies  $B_{n+1}(s, s')$  and hence  $B \subseteq B_{n+1}$ .  $\square$



*Lesson 9b:*

*Yet Another  
Tableau Construction*

# Checking ACTL formulas

---

We present here a tableau construction for the logic ACTL.

We remind that ACTL considers **only the universal path quantifier A** and to avoid implicit existential path quantifier, **negation are allowed only on atomic propositions**.

To maintain expressive power, both  $\wedge$  and  $\vee$  are in the logic, as well as both **U** and **R** (**F** and **G** can be derived from **U** and **R**).

For any ACTL formula  $f$ , the tableau  $\mathcal{T}_f$  is a **maximal model** for  $f$  with respect to  $\leq_F$  (we use this property in abstractions, next topic). That is the goal is that  $\mathcal{M} \models f$  iff  $\mathcal{M} \leq_F \mathcal{T}_f$ .

**Fairness:** eventualities (formula of the shape  $A [g \text{ U } h]$ ) are satisfied by means of fair paths. States that are not at the beginning of fair paths will be characterized by formula of the shape **AX false**.

# Elementary Formulas

The Kripke structure  $\mathcal{T}_f$  is on the set of atomic proposition  $AP_f$  of atomic propositions occurring as sub-formulas of  $f$ .

Each state  $s \in S_T = \mathcal{P}(el(f))$  is a set of **elementary propositions**.

1.  $el(p) = el(\neg p) = \{p\}$  if  $p \in AP_f$ .
2.  $el(g_1 \vee g_2) = el(g_1 \wedge g_2) = el(g_1) \cup el(g_2)$ .
3.  $el(\mathbf{AX} g_1) = \{\mathbf{AX} g_1\} \cup el(g_1)$ .
4.  $el(\mathbf{A}[g_1 \mathbf{U} g_2]) = \{\mathbf{AX} False, \mathbf{AX}(\mathbf{A}[g_1 \mathbf{U} g_2])\} \cup el(g_1) \cup el(g_2)$ .
5.  $el(\mathbf{A}[g_1 \mathbf{R} g_2]) = \{\mathbf{AX} False, \mathbf{AX}(\mathbf{A}[g_1 \mathbf{R} g_2])\} \cup el(g_1) \cup el(g_2)$ .

The labeling  $L_T(s)$  is defined so each state is labeled with the set of atomic propositions contained in the state.

# Building the transition relation

To define the transition relation, we need to define the set of states that satisfies a given formula in  $el(f)$  as follows (observe why we don't need to add negations in  $el(f)$ ):

1.  $sat(True) = S_T$  and  $sat(False) = \emptyset$ .
2.  $sat(g) = \{s \mid g \in s\}$  where  $g \in el(f)$ .
3.  $sat(\neg g) = \{s \mid g \notin s\}$  where  $g$  is an atomic proposition. Recall that only atomic propositions can be negated in ACTL.
4.  $sat(g \vee h) = sat(g) \cup sat(h)$ .
5.  $sat(g \wedge h) = sat(g) \cap sat(h)$ .
6.  $sat(A[g \text{ U } h]) = (sat(h) \cup (sat(g) \cap sat(\mathbf{AX}(A[g \text{ U } h]))) \cup sat(\mathbf{AX} False)$ .
7.  $sat(A[g \text{ R } h]) = (sat(h) \cap (sat(g) \cup sat(\mathbf{AX}(A[g \text{ R } h]))) \cup sat(\mathbf{AX} False)$ .

Differently from LTL, we want to define  $R_T$  in such a way that  $\mathcal{T}_f$  has **all behaviours** that satisfies  $f$ . As usual,  $\mathbf{AX}$  is the key.

$$R_T(s_1, s_2) = \bigwedge_{\mathbf{AX}g \in el(f)} s_1 \in sat(\mathbf{AX} g) \Rightarrow s_2 \in sat(g).$$

**Pay attention!**

# Fairness constraints

Similarly to LTL tableau, **eventually properties** are fulfilled along fair paths. A state can be in  $\text{sat}(\mathbf{AX} \mathbf{A}[g \mathbf{U} h])$  without satisfying  $\mathbf{AX} \mathbf{A}[g \mathbf{U} h]$  only if there exists a path starting from  $s$  in  $\text{sat}(\mathbf{AX} \mathbf{A}[g \mathbf{U} h]) \cap (S_T \setminus \text{sat}(h))$ .

Therefore, we impose fairness constraints containing the complement of these sets (path must visit  $\text{sat}(h)$ ):

$$F_T = \{S_T \setminus \text{sat}(\mathbf{AX} \mathbf{A}[g \mathbf{U} h]) \cup \text{sat}(h) \mid \mathbf{AX} \mathbf{A}[g \mathbf{U} h] \in \text{el}(f) \}$$

**Lemma:** For all sub-formulas  $g$  of  $f$ , if  $s \in \text{sat}(g)$  then  $s \models g$ .

By putting the set of initial states  $S_0^T = \text{sat}(f)$ , we have that  $\mathcal{T}_f \models f$ . Let  $\mathcal{M} \models f$ , we define:  $H = \{(s', s) \mid s = \{g \in \text{el}(f) \mid s' \models g\}\}$ . Then:

**Lemma:**  $H(s, s')$  then  $s \models g$  implies  $s' \models g$ .

**Lemma:**  $H$  is a fair simulation between  $\mathcal{M}$  and  $\mathcal{T}_f$ .

All this implies that if  $\mathcal{M} \models_F f$  if and only if  $\mathcal{M} \leq_F \mathcal{T}_f$ .

*Lesson 9c:*

*Compositional  
Reasoning*

# *Assume-Guarantee paradigm*

---

Many complex systems consist of several sub-systems.

Remember that the parallel composition of two systems result in a combinatorial explosion of the number of states with respect to sub-components.

It would be desirable to deduce **global properties** from **local properties** of sub-systems (**compositionality**).

Let us consider a system  $\mathcal{M} = \mathcal{M}_1 \mid \mathcal{M}_2$ : the behavior of  $\mathcal{M}_1$  depends on  $\mathcal{M}_2$ : one can specify assumptions that must be satisfied by  $\mathcal{M}_2$  in order to guarantee the correctness of  $\mathcal{M}_1$ .

At the same time, the behavior of  $\mathcal{M}_2$  depends on  $\mathcal{M}_1$ : one can specify assumptions that must be satisfied by  $\mathcal{M}_1$  in order to guarantee the correctness of  $\mathcal{M}_2$ .

**Idea:** By **combining the set of assumed and guaranteed properties** by  $\mathcal{M}_1$  and  $\mathcal{M}_2$  it is possible establish **correctness of the whole system**  $\mathcal{M}_1 \mid \mathcal{M}_2$ .

# Formulas and Inference Rules

A formula is a triple of the shape  $\langle f \rangle \mathcal{M} \langle g \rangle$  where  $f$  and  $g$  are temporal logic formulas and  $\mathcal{M}$  a Kripke structure: the intended meaning is that whenever  $\mathcal{M}$  is a component of a system satisfying an assumption  $g$ , then the system must also guarantee the property  $f$ .

We can express system properties as inference rules:

$$\frac{\langle \text{true} \rangle \mathcal{M}_1 \langle g \rangle \quad \langle g \rangle \mathcal{M}_2 \langle f \rangle}{\langle \text{true} \rangle \mathcal{M}_1 | \mathcal{M}_2 \langle f \rangle}$$

Be careful to avoid circularity in inference rules. Some deductions that seems reasonable are wrong! For example, the following inference rule is **unsound**:

$$\frac{\langle g \rangle \mathcal{M}_1 \langle f \rangle \quad \langle f \rangle \mathcal{M}_2 \langle g \rangle}{\mathcal{M}_1 | \mathcal{M}_2 \models f \wedge g}$$

For example, let  $\mathcal{M}_1 = \text{wait}(y=1); x=1$ ; and  $\mathcal{M}_2 = \text{wait}(x=1); y=1$ ; and  $g = \mathbf{AF} (y=1)$  and  $f = \mathbf{AF} (x=1)$ : the premises of the rule holds, but not the conclusions!



# Composition of structures

---

**Definition:** Let  $\mathcal{M}_1=(S_1, I_1, AP_1, L_1, R_1, F_1)$  and  $\mathcal{M}_2=(S_2, I_2, AP_2, L_2, R_2, F_2)$  be two fair Kripke structures. We define the parallel composition  $\mathcal{M}_1 \mid \mathcal{M}_2 = (S, I, AP, L, R, F)$  of  $\mathcal{M}_1$  and  $\mathcal{M}_2$  as:

- $S = \{ (s_1, s_2) \mid L(s_1) \cap AP_2 = L(s_2) \cap AP_1 \}$
- $I = (I_1 \times I_2) \cap S$
- $AP = AP_1 \cup AP_2$
- $L(s_1, s_2) = L(s_1) \cup L(s_2)$
- $R((s_1, s_2), (t_1, t_2))$  iff  $R_1(s_1, t_1)$  and  $R_2(s_2, t_2)$
- $F = \{ (P \times S_2) \mid P \in F_1 \} \cup \{ (S_1 \times P) \mid P \in F_2 \}$

**Observation:** The definition of  $F$  is such that a path in  $\mathcal{M}_1 \mid \mathcal{M}_2$  is fair if and only if both its restrictions to states of  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are fair too.

# *Some (technical) theorems*

**Proposition:** Parallel composition is associative and commutative (up to isomorphism).

**Proof:** Easy, but tedious. □

**Lemma:** For all  $\mathcal{M}_1$  and  $\mathcal{M}_2$ ,  $\mathcal{M}_1 \mid \mathcal{M}_2 \leqslant_F \mathcal{M}_1$ , and  $\mathcal{M}_1 \mid \mathcal{M}_2 \leqslant_F \mathcal{M}_2$ .

**Proof:** Just define  $H$  as  $\{((s_1, s_2), s_1) \mid (s_1, s_2) \in S(\mathcal{M}_1 \mid \mathcal{M}_2)\}$ . If  $(s_1, s_2) \in I(\mathcal{M}_1 \mid \mathcal{M}_2)$  then  $s_1 \in I_1$ .  $L(s_1, s_2) = L(s_1) \cup L(s_2)$  with  $L(s_1) \cap AP_1 = L(s_2) \cap AP_1 = L(s_1)$ . Properties of fair paths end the proof. □

**Lemma:** If  $\mathcal{M}_1 \leqslant_F \mathcal{M}_2$  then for all  $\mathcal{M}$ , we have  $\mathcal{M} \mid \mathcal{M}_1 \leqslant_F \mathcal{M} \mid \mathcal{M}_2$ .

**Proof:** Having  $H_{1,2}$  simulation of  $\mathcal{M}_1$  with  $\mathcal{M}_2$  we can define  $H'$  as the set  $\{((s, s_1), (s, s_2)) \mid H_{1,2}(s_1, s_2)\}$ . □

**Lemma:** For all  $\mathcal{M}$ , we have  $\mathcal{M} \leqslant_F \mathcal{M} \mid \mathcal{M}$ .

**Proof:** For each state  $s$  of  $\mathcal{M}$ ,  $(s, s)$  is a state of  $\mathcal{M} \mid \mathcal{M}$ . It is easy to show that  $H$  defined by  $\{(s, (s, s)) \mid s \in S\}$ . □

# Justifying Assume-Guarantee Proofs

**Example:** Proof of soundness of the rule:

$$\frac{\langle true \rangle \mathcal{M}_1 \langle A \rangle \quad \langle A \rangle \mathcal{M}_2 \langle g \rangle \quad \langle g \rangle \mathcal{M}_1 \langle f \rangle}{\langle true \rangle \mathcal{M}_1 | \mathcal{M}_2 \langle f \rangle}$$

That is equivalent (using ACTL\* satisfiability) to:

$$\frac{\mathcal{M}_1 \preceq A \quad A | \mathcal{M}_2 \models g \quad \mathcal{T}_g | \mathcal{M}_1 \models f}{\mathcal{M}_1 | \mathcal{M}_2 \models f}$$

1.  $\mathcal{M}_1 | \mathcal{M}_2 \preceq A | \mathcal{M}_2$  (hypoth.  $\mathcal{M}_1 \preceq A$  + Theorem)
2.  $A | \mathcal{M}_2 \preceq \mathcal{T}_g$  (hypoth.  $A | \mathcal{M}_2 \models g$  + Theorem)
3.  $\mathcal{M}_1 | \mathcal{M}_2 \preceq \mathcal{T}_g$  (line 1, 2 and transitivity of  $\preceq$ )
4.  $\mathcal{M}_1 | \mathcal{M}_1 | \mathcal{M}_2 \preceq \mathcal{T}_g | \mathcal{M}_1$  (line 3 + Theorem)
5.  $\mathcal{M}_1 | \mathcal{M}_1 | \mathcal{M}_2 \models f$  (lines 4 + hypoth.  $\mathcal{T}_g | \mathcal{M}_1 \models f$  + Theor.)
6.  $\mathcal{M}_1 \preceq \mathcal{M}_1 | \mathcal{M}_1$  (Theorem)
7.  $\mathcal{M}_1 | \mathcal{M}_2 \preceq \mathcal{M}_1 | \mathcal{M}_1 | \mathcal{M}_2$  (line 6 + Theorem)
8.  $\mathcal{M}_1 | \mathcal{M}_2 \models f$  (line 5, 7 + Theorem)  $\square$

# *Lesson 9d:*

## *Cone of Influence Reduction*

# Checking circuits

---

We consider the problem of checking **synchronous circuits**, that can be described by ( $V$  is the set of variables):

$$v'_i = f_i(V) \quad \text{for each } v_i \in V$$

where  $f_i$  are boolean functions.

Let us assume that the property of interest depends on a set of variables  $V' \subseteq V$ . Obviously, variables in  $V'$  can depend on the value of variables in  $V$ .

**Definition:** The **cone of influence** of  $V'$  is the minimal set of variables  $C \subseteq V$  such that:

- $V' \subseteq C$
- if for some  $v_i \in C$  its  $f_i$  depends on  $v_j$ , then  $v_j \in C$ .

**Idea:** **remove all equations** whose left-hand side are variables that **do not belong to  $C$** .

# Checking circuits: Example

---

**Example:** Let us consider a counter modulo 8:

$$v'_0 = \neg v_0 \qquad v'_1 = v_0 \oplus v_1 \qquad v'_2 = (v_0 \wedge v_1) \oplus v_2$$

If  $V' = \{v_0\}$ , then  $C = \{v_0\}$  since  $f_0$  depends on  $v_0$  only.

If  $V' = \{v_1\}$ , then  $C = \{v_0, v_1\}$  since  $f_1$  depends on both  $v_0$  and  $v_1$ .

If  $V' = \{v_2\}$ , then  $C = \{v_0, v_1, v_2\}$  since  $f_2$  depends on all variables.

# Reduced Model

Let  $V = \{v_1, \dots, v_n\}$  be a set of variables and let  $\mathcal{M} = (S, I, R, L)$  be the model of a synchronous circuit.

- $S = \{0, 1\}^n$ , the set of valuations of variables in  $V$  and  $I \subseteq S$ .
- $R = \bigwedge_{i \leq n} v'_i = f_i(V)$
- $L(s) = \{v_i \mid s(v_i) = 1, 1 \leq i \leq n\}$

Let  $C = \{v_1, \dots, v_k\}$  be the **cone of influence** of  $\mathcal{M}$ . The reduced model  $\underline{\mathcal{M}} = (\underline{S}, \underline{I}, \underline{R}, \underline{L})$  is defined by:

- $\underline{S} = \{0, 1\}^k$ , the set of valuations of variables in  $C$  and  $I \subseteq S$ .
- $\underline{R} = \bigwedge_{i \leq k} v'_i = f_i(V)$
- $\underline{L}(s) = \{v_i \mid \underline{s}(v_i) = 1, 1 \leq i \leq k\}$
- $\underline{I}(s) = \{(\underline{d}_1, \dots, \underline{d}_k) \mid \exists (d_1, \dots, d_n) \in I, d_1 = \underline{d}_1, \dots, d_k = \underline{d}_k\}$

# *Properties of the Reduced Model*

---

Let  $B \subseteq S \times S'$  defined by:

$$((d_1, \dots, d_n), (\underline{d}_1, \dots, \underline{d}_k)) \in B \Leftrightarrow d_i = \underline{d}_i \text{ for all } 1 \leq i \leq k$$

**Theorem:**  $B$  is a bisimulation between  $\mathcal{M}$  and  $\underline{\mathcal{M}}$ .

**Proof:** First, we notice that for each initial state of  $\mathcal{M}$ , there is a corresponding initial state of  $\underline{\mathcal{M}}$ .

Let us now consider  $(s, \underline{s}) \in B$ . Then  $d_i = \underline{d}_i$  for all  $1 \leq i \leq k$ . Their labelings restricted to  $C$  agree and hence  $L(s) \cap C = \underline{L}(\underline{s})$ .

Let  $R(s, t)$  and let  $t = (e_1, \dots, e_n)$ . The definition of  $R$  is such that  $v'_i = f_i(V)$ ,  $1 \leq i \leq n$ . By def. of COI,  $v'_i = f_i(C)$ ,  $1 \leq i \leq k$ , that is variables in  $C$  depends only on  $C$ .  $B(s, \underline{s})$  implies  $\bigwedge_{1 \leq i \leq k} d_i = \underline{d}_i$  and hence  $e_i = f_i(d_1, \dots, d_n) = f_i(\underline{d}_1, \dots, \underline{d}_k)$ . If we choose  $\underline{t} = (e_1, \dots, e_k)$ , then  $\underline{R}(\underline{s}, \underline{t})$  and  $B(t, \underline{t})$ .

The converse is similar, starting from a  $\underline{t}$  such that  $\underline{R}(\underline{s}, \underline{t})$   $\square$



*That's all Folks!*

*Thanks for your attention...*  
*...Questions?*