Formal Methods in Software Development

Automata Theory and Model Checking Ivano Salvo

Computer Science Department



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Lesson 4a:

Regular Properties and Finite Automata

Non-Determ. Finite Automata

A nondeterministic finite automata (NFA) \mathcal{A} is a tuple $(\Sigma, Q, \delta, Q_0, F)$ where:

- Σ is the finite input **alphabet**
- *Q* is the finite set of **states**
- $\delta \subseteq Q \times \Sigma \times Q$ is the **transition relation**
- $Q_0 \subseteq Q$ is the set of **initial states**
- $F \subseteq Q$ is the set of **accepting states**

Let w be a word in Σ^* of length |w| = n.

A **run** over w is a finite sequence of states $q_0q_1...q_n$ such that $q_0 \in Q_0$ is an initial state and $(q_i, w_{i+1}, q_{i+1}) \in \delta$ for all $0 \le i < n$.

A run is **accepting** if $q_n \in F$.

The automaton \mathcal{A} accepts w if there exists an accepting run over w

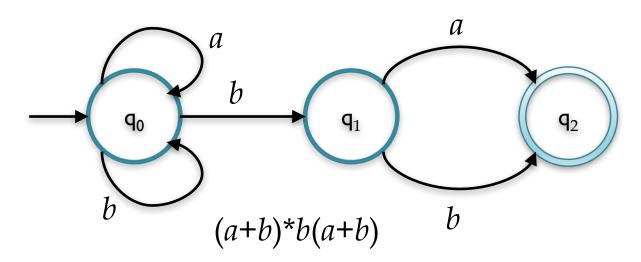
The **language** $\mathcal{L}(\mathcal{A}) \subseteq \Sigma^*$ consists of all words accepted by \mathcal{A} .

(Non-Det.) Finite Automata

An automaton \mathcal{A} is **deterministic** if δ is a **function** [for all states s and all symbols a there exists a **unique next state** $\delta(s, a)$] and there exists a **unique initial state** ($|\delta(s, a)| \le 1$ and $|Q_0| = 1$).

For each non-deterministic automaton \mathcal{A} there exists an automaton \mathcal{A}' such that $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}')$. However, the size of \mathcal{A}' can be exponential w.r.t. the size of \mathcal{A} .

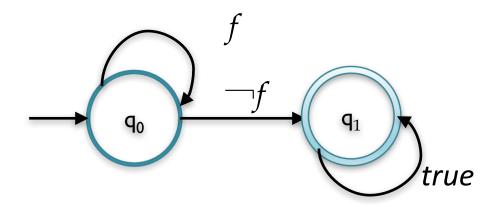
The class of languages accepted by finite automata is the class of **regular languages**, that can be characterized by **regular expressions**.



Regular Safety Properties (1)

Definition. A safety property P is **regular** if its **set of bad prefixes is a regular** language over 2^{AP} .

Example: Every **invariant is a regular property**. Let f be the invariant property. The language of bad prefixes is $f^*(\neg f)$ true* (we use a propositional formulas to identify subsets of AP).

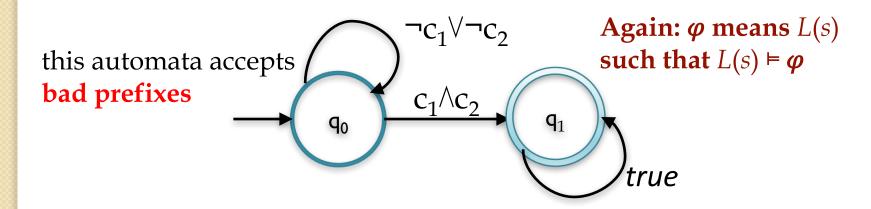


This automaton accepts words that **violates** the invariant *f*.

Remark: Here we assume f be a shorthand for $L(s) \models f$

Regular Safety Properties (2)

Example. The mutual exclusion property can be easily modeled by a NFA as follows:



If we remove the transition labeled *true*, this automaton accepts **minimal** bad prefixes of the mutual exclusion property (words that end at the first violation).

This is not incidental!

Theorem. A safety property *P* is regular iff the set of **minimal** bad prefixes for *P* is regular.

A non-regular safety property

Example: Let us consider again the Beverage vending machine and the property: "The number of inserted coins is always at least the number of dispensed drinks"

The language of **minimal bad prefixes** over the alphabet $\sum = \{pay, drink\}$ is (first violation of the property):

$$\{ w \cdot drink \mid \#_{drink}(w) = \#_{pay}(w) \}$$

which **is not regular**, but context-free (usually **counting properties are never regular**, because they require memory to count occurrences and this is not possible with a NFA).

Verifying Regular Safety Prop.

Idea: Run **in parallel** the system model \mathcal{M} and the automaton for $\neg f$. $\mathcal{M} \models f$ **iff** $\operatorname{traces}_{\operatorname{fin}}(\mathcal{M}) \cap \operatorname{badPrefixes}(P_f) = \emptyset$ **iff** $\operatorname{traces}_{\operatorname{fin}}(\mathcal{M}) \cap \mathcal{L}(\neg f) = \emptyset$

Ingredients:

- build an automata for the intersection of two languages
- checking language emptiness

Definition: [Product of a Transition System M and a NFA]

Let $\mathcal{M} = (S, A, I, \rightarrow, AP, L)$ and $\mathcal{A} = (\Sigma, Q, \delta, Q_0, F)$, such that $\Sigma = 2^{AP}$ and $Q_0 \cap F = \emptyset$. Then $\mathcal{M} \otimes \mathcal{A} = (S', A, I', \rightarrow', AP', L')$ where:

- $S' = S \times Q$
- $(s, q) \rightarrow'_a (s', q')$ whenever $s \rightarrow_a s'$ and $\delta(q, L(s'), q')$
- $I' = \{(s, q) \mid s \in I \land \exists q_0 \in Q_0 . (q_0, L(s), q) \}$
- AP'=O
- $L': S \times Q \rightarrow 2^Q$ is given by $L'(s, q) = \{q\}$

This construction works also for **Kripke structures**.

Verifying Regular Safety Prop.

Let us define: $\neg F = P_{inv} = \bigwedge_{q_i \in F} \neg q_i$

Theorem. Let \mathcal{A} be a NFA such that $\mathcal{L}(\mathcal{A})$ = badPrefixes(P) of some safety property P and let \mathcal{M} be a transition system. Then the following statements are equivalent:

- $\mathcal{M} \models P$
- traces_{fin}(\mathcal{M}) $\cap \mathcal{L}(\mathcal{A}) = \emptyset$
- $\mathcal{M} \otimes \mathcal{A} \vDash P_{inv}$

Checking a regular safety property has been reduced to a invariant checking, that in turn it can be solved by a reachability.

Equivalently, **emptiness** of a regular language is a **reachability** problem (check whether **accepting states are reachable** from **initial states**)

The accepted words are **counterexamples**

Lesson 4b:

Finite Automata over Infinite Words

ω-regular Languages

ω-regular languages are subsets of infinite words $Σ^ω$ over a finite alphabet Σ generated by ω-regular expressions.

Example: $(ab)^{\omega} = abababababab...$ Observe that $(ab)^*$ is **an infinite set of finite** words, but $(ab)^{\omega}$ is **one infinite word**.

The operator ω lifts to languages. $\mathcal{L}^{\omega} = \{ w_1 w_2 w_3 \dots | w_i \in \mathcal{L} \}$

Definition: An ω -regular expression over Σ has the form:

$$G = E_1 \cdot F_1^{\omega} + \dots + E_n \cdot F_n^{\omega}$$

where $n \ge 1$ and $E_1, F_1, ..., E_n F_n$ are regular expressions.

$$\mathcal{L}(G) = \mathcal{L}(E_1) \cdot \mathcal{L}(F_1)^{\omega} \cup \ldots \cup \mathcal{L}(E_n) \cdot \mathcal{L}(F_n)^{\omega}$$

 \mathcal{L} is ω -regular if $\mathcal{L} = \mathcal{L}(G)$ for some ω -regular expression G.

ω-regular languages are closed under union, intersection and complementation.

Examples: $(a+b)^* \cdot b^{\omega}$ is the language of words with finitely many a's. $(b^*a)^{\omega}$ is the language of words with infinitely many a's.

(Non-Det.) Büchi Automata

A non-determistic Büchi automata \mathcal{A} is a 5-tuple $(\Sigma, Q, \delta, Q_0, F)$ where:

- Σ is the finite input alphabet
- *Q* is the finite set of **states**

- This definition is exactly the same of NFA, but the semantics of accepted words change!
- $\delta \subseteq Q \times \Sigma \times Q$ is the transition relation
- $Q_0 \subseteq Q$ is the set of **initial states**
- $F \subseteq Q$ is the set of accepting states

Let w be an **infinite** word in Σ^{ω} . A **run** ρ over w is an **infinite sequence** of states $q_0q_1...q_n...$ such that $q_0 \in Q_0$ is an initial state and $(q_i, w_{i+1}, q_{i+1}) \in \delta$ for all $i \in \mathbb{N}$. **inf(\rho)** is the set of states that occur infinitely often in ρ .

A run is accepting if $q_i \in F$ for infinitely many i.

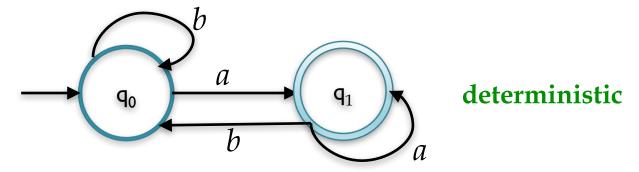
The automaton \mathcal{A} accepts w if **there exists** an accepting run ρ over w such that $\inf(\rho) \cap F \neq \emptyset$

The **language** $\mathcal{L}(\mathcal{A}) \subseteq \Sigma^{\omega}$ consists of all the words accepted by \mathcal{A} .

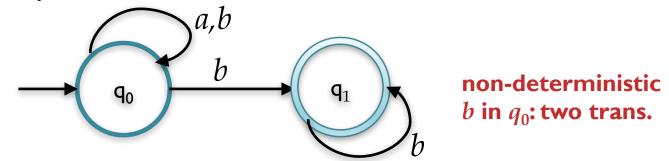
Büchi Autom. and w-regular lang.

Theorem. The class of languages accepted by NBA's is exactly the class of ω -regular languages.

Examples: Infinitely many $a's: (b^*a)^{\omega}$



Finitely many $a's: (a+b)^* \cdot b^{\omega}$



The automaton "**knows**" when the sequence of finitely many *a*'s stops

NBA for $\mathcal{L}_1 + \mathcal{L}_2$ with $\mathcal{L}_p \mathcal{L}_2 \omega$ -reg

Theorem. If \mathcal{L}_1 and \mathcal{L}_2 are ω -regular, then $\mathcal{L}_1 \cup \mathcal{L}_2$ is ω -regular.

Proof: Given an automaton $\mathcal{A}_1 = (\Sigma, Q_1, \delta_1, I_1, F_1)$ accepting \mathcal{L}_1 and an automaton $\mathcal{A}_2 = (\Sigma, Q_2, \delta_2, I_2, F_2)$ accepting \mathcal{L}_2 we build the automata

$$\mathcal{A} = \mathcal{A}_1 + \mathcal{A}_2 = (\Sigma, Q_1 \cup Q_2, \delta, I_1 \cup I_2, F_1 \cup F_2)$$

where $(q, a, q') \in \delta$ if $(q, a, q') \in \delta_1$ or $(q, a, q') \in \delta_2$.

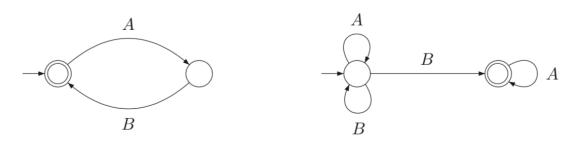
It is easy to see that $\mathcal{A}_1 + \mathcal{A}_{2a}$ accepts $\mathcal{L}_1 \cup \mathcal{L}_2$. (Exercise \odot). \square

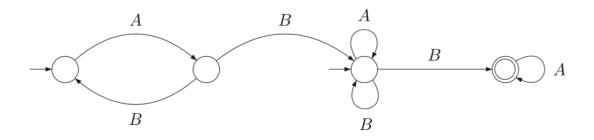
NBA for $\mathcal{L}_1 \cdot \mathcal{L}_2$, \mathcal{L}_1 reg. $\mathcal{L}_2 \omega$ -reg

Idea: Taking the NFA \mathcal{A}_1 accepting \mathcal{L}_1 , the basic trick is **adding a transition** to an **initial state** of the NBA \mathcal{A}_2 accepting \mathcal{L}_2 , whenever there is a transition to a final state of \mathcal{A}_1 .

Final states are those of the NBA A_2 . Observe that possible infinite runs inside A_1 are not accepting.

Example: Let us considere $\mathcal{L}_1 = (ab)^*$, $\mathcal{L}_2 = (a+b)^*ba^{\omega}$ and $\mathcal{L}_1 \cdot \mathcal{L}_2 = (ab)^*(a+b)^*ba^{\omega}$



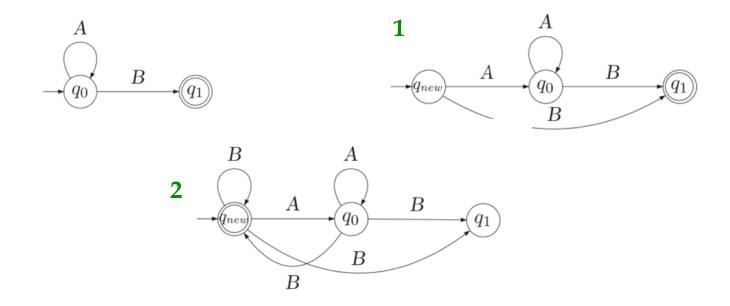


NBA accepting \mathcal{L}^{ω} , \mathcal{L} regular

Idea: Insert a **new initial** (and **accepting** state) q_{new} and:

- 1. put a transition from q_{new} to any successor of initial states;
- 2. 2. put a transition to q_{new} from any accepting state. [q_{new} is not necessary if initial states are without ingoing transitions and they are not accepting]

Example: $\mathcal{L}=a^*b$.



Non blocking NBA

As usual, we want automata with a total transition relation (non-blocking). If a computation gets stuck, it's not a problem for theory, it is just a non-accepting computation (the same for non-deterministic NFAs).

Proposition: For each NBA \mathcal{A} there exists a non-blocking equivalent NBA \mathcal{A}' equivalent to \mathcal{A} .

Proof: Just add a sink (or trap) state q_{trap} and transitions to whenever a transition is not defined in some state.

More or less, the same trick works for Kripke structures and NFAs.

Remark: \mathcal{A} equivalent to \mathcal{A}' means that $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}')$

The need for non-determinism

Deterministic Büchi automata are less expressive.

Theorem. There is **no deterministic Büchi automata** that accepts the language $(a+b)^*b^{\omega}$.

Proof: Assume that there exists such automaton. The word b^{ω} belongs to the language. There exists an accepting state q_1 such that $\delta^*(q_0, b^{n_1}) = q_1(\delta \text{ is a function!})$.

The word $b^{n_1}ab^{\omega}$ belongs to the language. There exists an accepting state q_2 such that $\delta^*(q_0, b^{n_1}ab^{n_2}) = q_2$.

The word $b^{n_1}ab^{n_2}ab^{\omega}$ belongs to the language. There exists an accepting state q_2 such that $\delta^*(q_0, b^{n_1}ab^{n_2}ab^{n_3}) = q_3$ and so on.

But **there are finitely many states**. Therefore there must be that some $q_i = q_j$ and hence $\delta^*(q_0, b^{n_1}ab^{n_2} \dots ab^{n_i}) = \delta^*(q_0, b^{n_1}ab^{n_2} \dots ab^{n_i})$, but this implies that there is an accepting run for the word $b^{n_1}ab^{n_2} \dots ab^{n_i}(ab^{n_{i+1}} \dots ab^{n_j})^{\omega}$ that contains infinitely many a's. Contradiction.

The need for non-determinism

Properties of the form "eventually forever" has exactly the shape of the ω -regular language $(a+b)^*b^\omega$.

Definition: A **persistence property** is a linear time property $P \subseteq 2^{AP}$ such that for some propositional formula φ :

$$P = \{A_0 A_1 A_2 \dots \in (2^{AP})^{\omega} \mid \exists i \ge 0 \forall j \ge i. A_j \models \varphi \}$$

A persistence property can be modeled in LTL as **F G** φ .

Alternatively, they can be formalised as " $\neg \varphi$ holds finitely many times".

Remark: $\exists i \ge 0 \forall j \ge i$ is sometimes written \forall^{∞} and can be read "almost always"

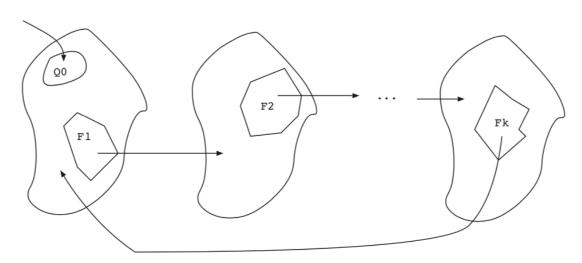
Generalised Büchi Automata

A **generelised Büchi automata** \mathcal{A} is a 5-tuple $(\Sigma, Q, \delta, Q_0, \mathcal{F})$ where Σ, Q, δ, Q_0 are as for NBA, and $\mathcal{F} = \{F_1, ..., F_n\}$ is a possibly empty subset of 2^Q . $F_1, ..., F_n$ are called *accepting sets*.

The automaton \mathcal{A} accepts w if there exists an accepting run ρ over w such that for all sets $F_i \in \mathcal{F}$ we have $\inf(\rho) \cap F_i \neq \emptyset$.

Theorem. For each GNBA \mathcal{A} there exists a NBA \mathcal{A}' such that $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}')$.

Proof: make n copy of \mathcal{A} and jump to i+1th copy whenever we go trough a state in F_i .



Intersection of ω -regular lang.

Theorem. If \mathcal{L}_1 and \mathcal{L}_2 are ω -regular, then $\mathcal{L}_1 \cap \mathcal{L}_2$ is ω -regular.

Proof: Given an automaton $\mathcal{A}_1 = (\Sigma, Q_1, \delta_1, I_1, F_1)$ accepting \mathcal{L}_1 and an automaton $\mathcal{A}_2 = (\Sigma, Q_2, \delta_2, I_2, F_2)$ accepting \mathcal{L}_2 we build a **generalised automata** $\mathcal{A} = (\Sigma, Q, \delta, I, \mathcal{F})$ accepting \mathcal{L} . We define $\mathcal{A} = \mathcal{A}_1 \otimes \mathcal{A}_1 = (\Sigma, Q_1 \times Q_2, \delta, I_1 \times I_2, \{F_1 \times Q_2, Q_1 \times F_2\})$, where $((q_1, q_2), a, (q'_1, q'_2)) \in \delta$ iff $(q_1, a, q'_1) \in \delta_1$ and $(q_2, a, q'_2) \in \delta_2$.

We will use this trick in verification, building an automata for the model, one for specifications (or better, for bad behaviours) and we will check if their intersection is empty.

This strategy will also lead to an **alternative algorithm for LTL model checking**. There is an algorithm (based again on atoms) that allow to build an automata from an LTL formula.

Lesson 4c:

Automata Theory and Model Checking

ω-Regular Properties

Definition: A linear time property P over AP is ω -regular if P is an ω -regular language over the alphabet 2^{AP} .

Examples:

- **Theorem 1.1** Invariants are ω -regular. If φ is a property over AP defining the invariant, φ^{ω} is a ω -regular language.
- **Regular safety properties** are ω -regular.

$$(2^{AP})^{\omega} \setminus P_{\text{safe}} = \mathsf{badPrefixes}(P_{\text{safe}}) \cdot (2^{AP})^{\omega}$$

[Remember that ω -regular are closed under complementation]

* Many liveness properties are typical examples of ω-regular (not regular) properties.

Example: $((\neg crit)^* crit)^{\omega} =$ "a process enters critical section infinitely often"

```
((\neg wait)^*wait \cdot true^* \cdot crit)^\omega + ((\neg wait)^*wait \cdot true^* \cdot crit)^*(\neg wait)^\omega
= "whenever a process is waiting, it will enter its critical section eventually later" (starvation freedom)
```

Checking w-regular properties

Similar to regular safety properties. However, here we have to check language emptiness for a (generalised) non deterministic Büchi automata.

Again, the idea is related to **strongly connected components** of a directed graph.

Definition: [Product of a Transition System M and a NBA]

Let $\mathcal{M} = (S, A, I, \rightarrow, AP, L)$ and $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$ be a non-blocking NBA, such that $\Sigma = 2^{AP}$. Then $\mathcal{M} \otimes \mathcal{A} = (S', A, I', \rightarrow', AP', L')$ where:

- $S' = S \times Q$
- $(s, q) \rightarrow'_a (s', q')$ whenever $s \rightarrow_a s'$ and $\delta(q, L(s'), q')$
- $I' = \{(s, q) \mid s \in I \land \exists q_0 \in Q_0 . (q_0, L(s), q) \}$
- AP'=Q
- $L': S \times Q \rightarrow 2^Q$ is given by $L'(s, q) = \{q\}$

Verifying w-regular Properties

Let us define: $\neg \varphi = \bigwedge_{q_i \in Q} \neg q_i$ and $P_{\text{persistence}} = \mathbf{F} \mathbf{G} \neg \varphi$

Theorem. Let \mathcal{M} be a finite transition system and let P an ω -regular property over AP and let \mathcal{A} be a nonblocking NBA such that $\mathcal{L}_{\omega}(\mathcal{A}) = (2^{AP})^{\omega} \setminus P$. Then the following are equivalent:

- $\mathcal{M} \models P$
- traces(\mathcal{M}) $\cap \mathcal{L}_{\omega}(\mathcal{A}) = \emptyset$
- $\mathcal{M} \otimes \mathcal{A} \vDash P_{\text{persistence}}(\mathcal{A})$

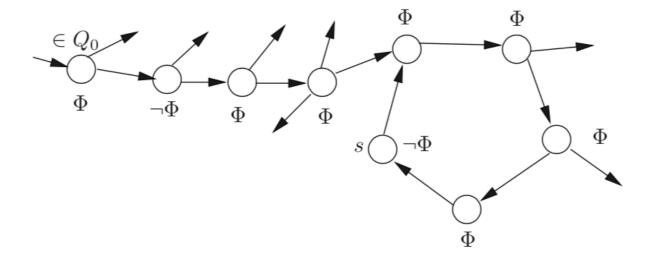
Checking a ω -regular property has been reduced to a checking a persistence property.

Equivalently, **emptiness** of a ω -regular language is a problem of detecting **cycles:** checking whether accepting states belong to a cycle reachable from some initial state.

In this case, **counterexamples** have the form $u \cdot v^{\omega}$

Counterexamples

In this case, **counterexamples** have the form $u \cdot v^{\omega}$, where for some q in v, $L(q) \models \neg \varphi$.



Checking a persistence property

Once again, a SCC decomposition of the graph $\mathcal{M} \otimes \mathcal{A}$ would solve the problem. traces(\mathcal{M}) $\cap \mathcal{L}_{\omega}(\mathcal{A}) = \emptyset$ if and only if **there is a SCC** *C* **that contains a state not satisfying** φ and *C* **is reachable** from an initial state.

This algorithm is optimal, in the sense that it is **linear** with the size of $M \otimes A$.

However, **in practice** cycle checking can be performed more efficiently without decomposing the whole system $\mathcal{M} \otimes \mathcal{A}$ into strongly connected components.

Many model checkers implement a **nested double DFS search**. This approach has several advantages:

- When a counterexample is found, only a small part of $\mathcal{M} \otimes \mathcal{A}$ is visited.
- M is described by a program, and states can be generated during the nested DFS (on-the-fly model checking).

Double Nested DFS

```
def dfs1(q):
mark(q);
forall\ p \in succ(q)\ do
if\ p\ is\ not\ marked\ then\ dfs1(p);
if\ accept(q)\ then\ dfs2(q)
dfs2(q)\ starts\ when\ all\ successors\ of\ a\ final\ state\ q\ have\ been\ explored
def dfs2(q):
flag(q);
forall\ p \in succ(q)\ do
if\ p\ is\ in\ dfs2\ stack\ then\ return\ TRUE;
else\ if\ p\ is\ not\ flagged\ then\ dfs2(p);
```

State **on the stack of** *dfs1* up to *q* **are the finite prefix** *u*, whereas states on the **stack of** *dfs2* **are the cycle** *v*

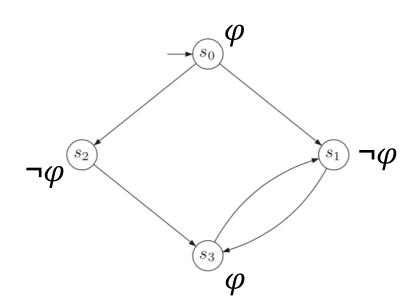
Observe that visited states by *dfs1* and *dfs2* are *global information*. This is essential to keep **complexity** *linear* and to avoid to visit **several times the same state**.

Running the Double DFS Search

Start *dfs1* with s_0 . Consider the order of visit $s_0 s_2 s_3 s_1$.

The cycle $s_1 \rightarrow s_3 \rightarrow s_1$ is found when analysing s_1 . Here dfs2 starts, because $s_1 \not\models \varphi$ and all its successors have been already analysed. The **counterexample** is $s_0 s_2 s_3 (s_1 s_3 s_1)^{\omega}$

The order is essential. If we start dfs2 in s_2 , we fail to find a cycle with a state already onto the stack, but we mark as visited s_3 and s_1 and therefore we later fail to find $(s_1 s_3 s_1)^{\omega}$.



Correctness of Double DFS (1)

Lemma. Let *q* be a node that does not appear in any cycle. Then a DFS backtrack from *q* after all nodes reachable from *q* have been visited.

Theorem. The Double Nested DFS search returns a counterexample if and only if traces(\mathcal{M}) $\cap \mathcal{L}_{\omega}(\mathcal{A}) \neq \emptyset$.

Proof: It is almost trivial to show that if the double DFS returns TRUE, a cycle is found (and hence an **accepted word**).

It is less obvious to show that if a cycle exists, the double DFS finds it. Or equivalently, if it returns FALSE, no cycle exists.

Let us suppose that there exists a cycle from q to a state on the stack of dfs1 that goes trough a state r already flagged by dfs2. Let q and r the first states for which this happens and let q' be the root of dfs2 that flagged r (dfs2(q') started before dfs2(q)).

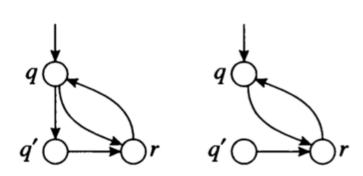
There are two cases.

Correctness of Double DFS (2)

If q' is reachable from q, then there exists a cycle that would have been found examining $q': q' \rightarrow r \rightarrow q \rightarrow q'$ (see picture, **left**)

If q' is not reachable from q, then if q' appears in a cycle, this was missed in a previous iteration, before starting the second DFS from q, contrary to the fact that q is the first state (contraddiction).

Therefore q' does not occur in a cycle, but q is reachable from q' (via r). By the Lemma, we have discovered and backtracked from q, before starting the DFS from q', against our assumptions (r flagged from q', see picture, right).



Lesson 4d:

On the Fly LTL Model Checking

LTL model checking via NBA

Theorem. For any LTL formula φ over AP, there exists a NBA \mathcal{A}_{φ} with Words(φ)= $\mathcal{L}_{\omega}(\mathcal{A}_{\varphi})$ which can be constructed in time and space $2^{O(|\varphi|)}$.

The proof is rather technical and tedious, but the ingredients are exactly the same of the algorithm based on tableaux, see lesson 3. In particular:

- \diamond automata states represent maximal consistent sets of $Cl(\varphi)$,
- *** transition relation** is related to presence of subformula of the form $X \psi$ in $Cl(\varphi)$, and
- ***accepting states** are related to the presence of some ψ_1 **U** ψ_2 in $Cl(\varphi)$. (Remember that **U** (and its negation) need to consider infinite paths).

Once one has $\mathcal{M} \otimes \mathcal{A}_{\neg \varphi}$ we just need to check language emptiness. Remark: even though NBAs are closed under complementation, it is convenient to build $\mathcal{A}_{\neg \varphi}$ rather than complementing \mathcal{A}_{φ} .

On-the-fly LTL model checking

Usually, the model M is described by a **high-level language**.

The generation of reachable states of \mathcal{M} can proceed in parallel with the construction of the automaton $\mathcal{A}_{\neg \varphi}$ (remember that states of $\mathcal{M} \otimes \mathcal{A}_{\neg \varphi}$ are pairs).

The product automaton $\mathcal{M} \otimes \mathcal{A}_{\neg \varphi}$ is constructed **on demand**.

A new vertex is only considered if no accepting cycle has been found in the fragment of $\mathcal{M} \otimes \mathcal{A}_{\neg \varphi}$ already explored.

When generating the successor states in $\mathcal{A}_{\neg \varphi}$ we only need to consider those successors matching the current state in \mathcal{M} .

On-the-fly technique **is particurlarly effective** when a **refutation is early found**: in this case a counterexample is returned and large parts of $\mathcal{M} \otimes \mathcal{A}_{\neg \varphi}$ are not generated.

That's all Folks!

Thanks for your attention... Questions?