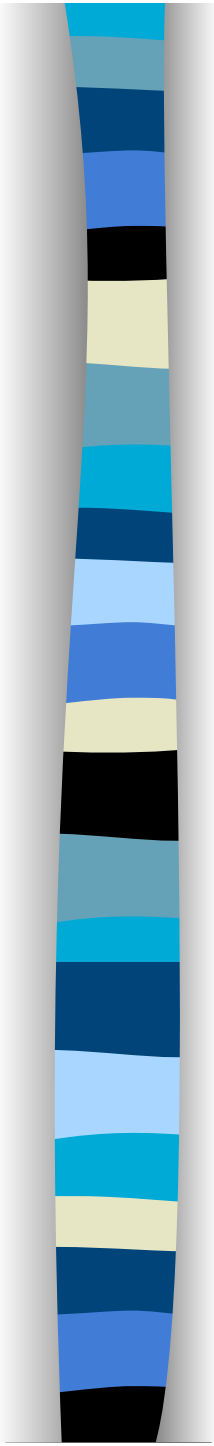


# Formal methods in software development



a.y.2017/2018

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## Partially ordered sets

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A binary relation  $\sqsubseteq$  on a set  $D$  is a *partial order* iff it is

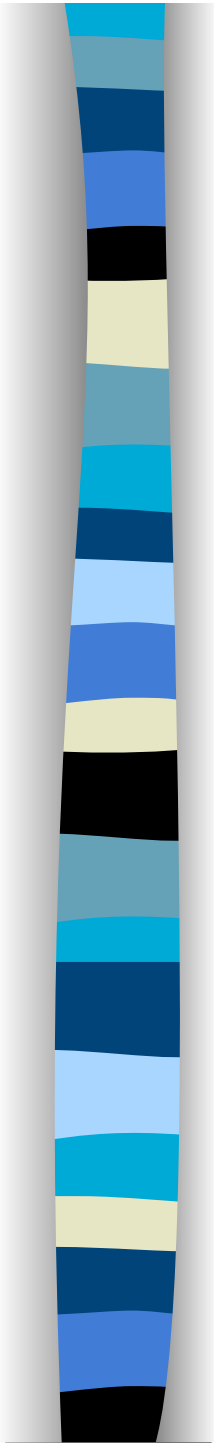
**reflexive:**  $\forall d \in D. d \sqsubseteq d$

**transitive:**  $\forall d, d', d'' \in D. d \sqsubseteq d' \sqsubseteq d'' \Rightarrow d \sqsubseteq d''$

**anti-symmetric:**  $\forall d, d' \in D. d \sqsubseteq d' \sqsubseteq d \Rightarrow d = d'$ .

Such a pair  $(D, \sqsubseteq)$  is called a *partially ordered set*, or *poset*.

see dens.pdf



## Cpo's and domains

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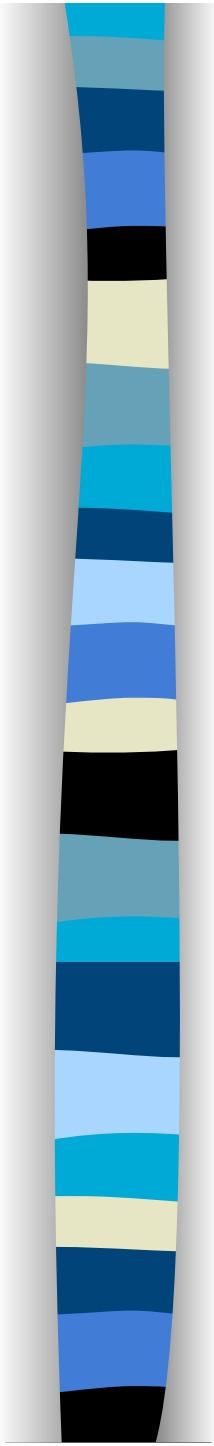
A *chain complete poset*, or *cpo* for short, is a poset  $(D, \sqsubseteq)$  in which all countable increasing chains  $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$  have least upper bounds,  $\bigsqcup_{n \geq 0} d_n$ :

$$\text{(lub1)} \quad \forall m \geq 0 . d_m \sqsubseteq \bigsqcup_{n \geq 0} d_n$$

$$\text{(lub2)} \quad \forall d \in D . (\forall m \geq 0 . d_m \sqsubseteq d) \Rightarrow \bigsqcup_{n \geq 0} d_n \sqsubseteq d.$$

A *domain* is a cpo that possesses a least element,  $\perp$ :

$$\forall d \in D . \perp \sqsubseteq d.$$



## Domain of partial functions, $X \rightarrow Y$

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**Underlying set:** all partial functions,  $f$ , with domain of definition  $\text{dom}(f) \subseteq X$  and taking values in  $Y$ .

**Partial order:**  $f \sqsubseteq g$  iff  $\text{dom}(f) \subseteq \text{dom}(g)$  and  $\forall x \in \text{dom}(f). f(x) = g(x)$ .

**Lub of chain**  $f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq \dots$  is the partial function  $f$  with  $\text{dom}(f) = \bigcup_{n \geq 0} \text{dom}(f_n)$  and

$$f(x) = \begin{cases} f_n(x) & \text{if } x \in \text{dom}(f_n), \text{ some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

**Least element**  $\perp$  is the totally undefined partial function.



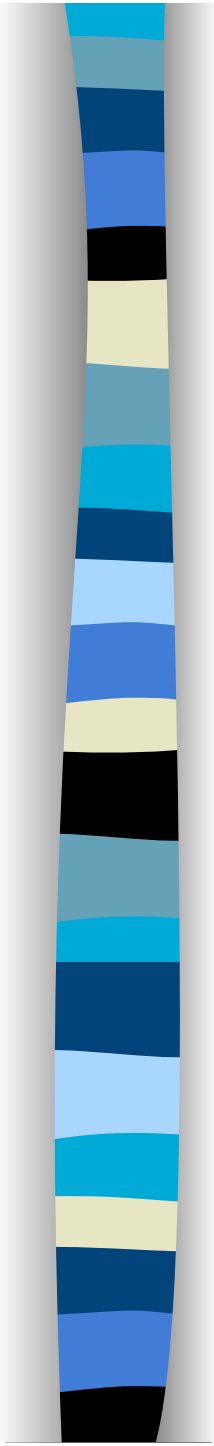
## Monotonicity, continuity, strictness

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- A function  $f : D \rightarrow E$  between posets is *monotone* iff  $\forall d, d' \in D. d \sqsubseteq d' \Rightarrow f(d) \sqsubseteq f(d')$ .
- If  $D$  and  $E$  are cpo's, the function  $f$  is *continuous* iff it is monotone and preserves lubs of chains, i.e. for all chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots$  in  $D$ , it is the case that

$$f\left(\bigsqcup_{n \geq 0} d_n\right) = \bigsqcup_{n \geq 0} f(d_n) \quad \text{in } E.$$

- If  $D$  and  $E$  have least elements, then the function  $f$  is *strict* iff  $f(\perp) = \perp$ .



## Least pre-fixed points

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Let  $D$  be a poset and  $f : D \rightarrow D$  be a function.

An element  $d \in D$  is a *pre-fixed point* of  $f$  if it satisfies  $f(d) \sqsubseteq d$ .

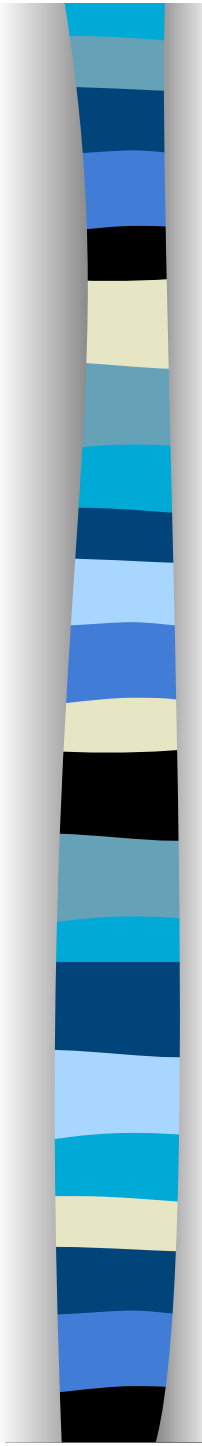
The least pre-fixed point of  $f$ , if it exists, will be written

$$\boxed{\text{fix}(f)}$$

It is thus (uniquely) specified by the two properties:

$$\text{(lfp1)} \quad f(\text{fix}(f)) \sqsubseteq \text{fix}(f)$$

$$\text{(lfp2)} \quad \forall d \in D. f(d) \sqsubseteq d \Rightarrow \text{fix}(f) \sqsubseteq d.$$



**Proposition 2.2.1.** *Suppose  $D$  is a poset and  $f : D \rightarrow D$  is a function possessing a least pre-fixed point,  $\text{fix}(f)$ . Provided  $f$  is monotone,  $\text{fix}(f)$  is in particular a fixed point for  $f$  (and hence is the least element of the set of fixed points for  $f$ ).*

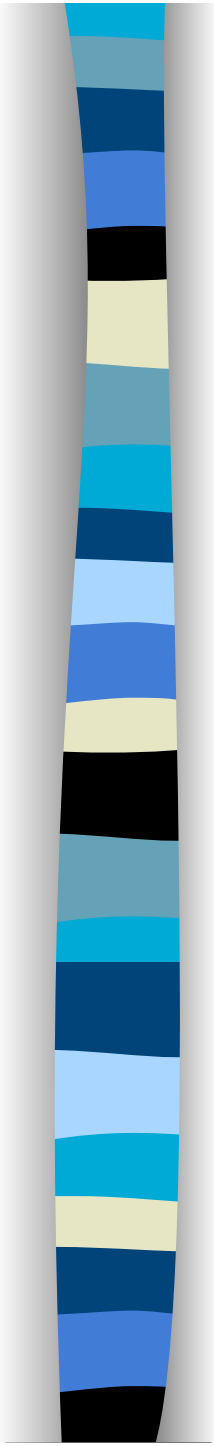
*Proof.* By definition,  $\text{fix}(f)$  satisfies property (lfp1) If  $f$  is monotone  
we can apply  $f$  to both sides of (lfp1) to conclude that

$$f(f(\text{fix}(f))) \sqsubseteq f(\text{fix}(f)).$$

Then applying property (lfp2) with  $d = f(\text{fix}(f))$ , we get that

$$\text{fix}(f) \sqsubseteq f(\text{fix}(f)).$$

Combining this with (lfp1) and the anti-symmetry property of the partial order  $\sqsubseteq$ , we get  $f(\text{fix}(f)) = \text{fix}(f)$ , as required. □



## Tarski's Fixed Point Theorem

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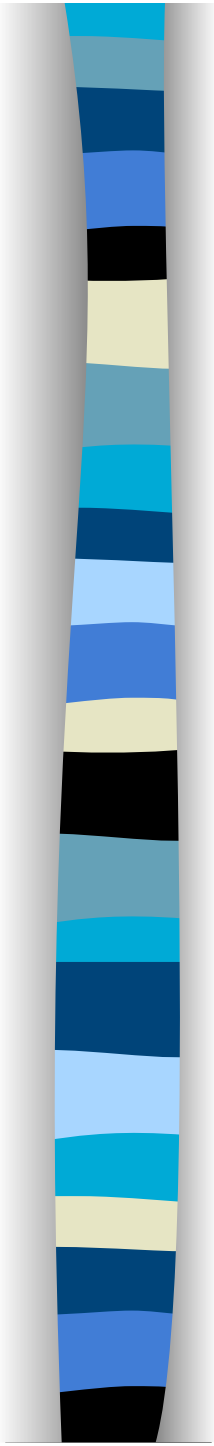
Let  $f : D \rightarrow D$  be a continuous function on a domain  $D$ . Then

- $f$  possesses a least pre-fixed point, given by

$$\text{fix}(f) = \bigsqcup_{n \geq 0} f^n(\perp).$$

- Moreover,  $\text{fix}(f)$  is a fixed point of  $f$ , i.e. satisfies  $f(\text{fix}(f)) = \text{fix}(f)$ , and hence is the *least fixed point* of  $f$ .





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Proof

$$\perp \subseteq f(\perp) \subseteq f^2(\perp) \subseteq f^3(\perp) \dots \bigcup f^i(\perp)$$

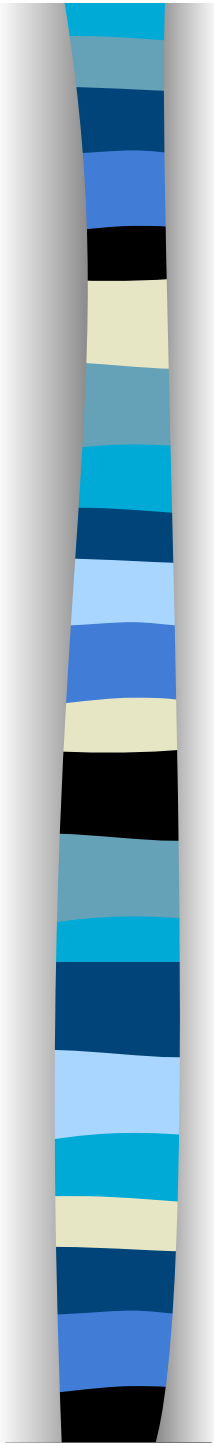
$$f\left(\bigcup f^i(\perp)\right) = \bigcup f^{i+1}(\perp)$$

Given another fixpoint  $g$ , we have the constant chain

$$\subseteq g \subseteq g \subseteq g \dots \bigcup g = g$$

greater than the first one step by step, hence

$$\bigcup f^i(\perp) \subseteq g$$

- 
- We could start from the maximum and compute the maximal fixpoint using the duality of order
  - We can use minimum/ maximum fixed point to solve recursive equations like

$$\text{Ex: } x = ax + b$$

If we do not have inverse operations, we have to use approximation as we do in the case of formal languages

$\emptyset$

$\{b\}$

$\{ab, b\}$

$\{a^2b, ab, b\} \dots$



# Fixed point theorem: an example

- A fixed point  $x$  for a function  $f: \wp(S) \rightarrow \wp(S)$  is an element of  $\wp(S)$  such that  $f(x) = x$
- We will give an interpretation of CTL operators using fixed points



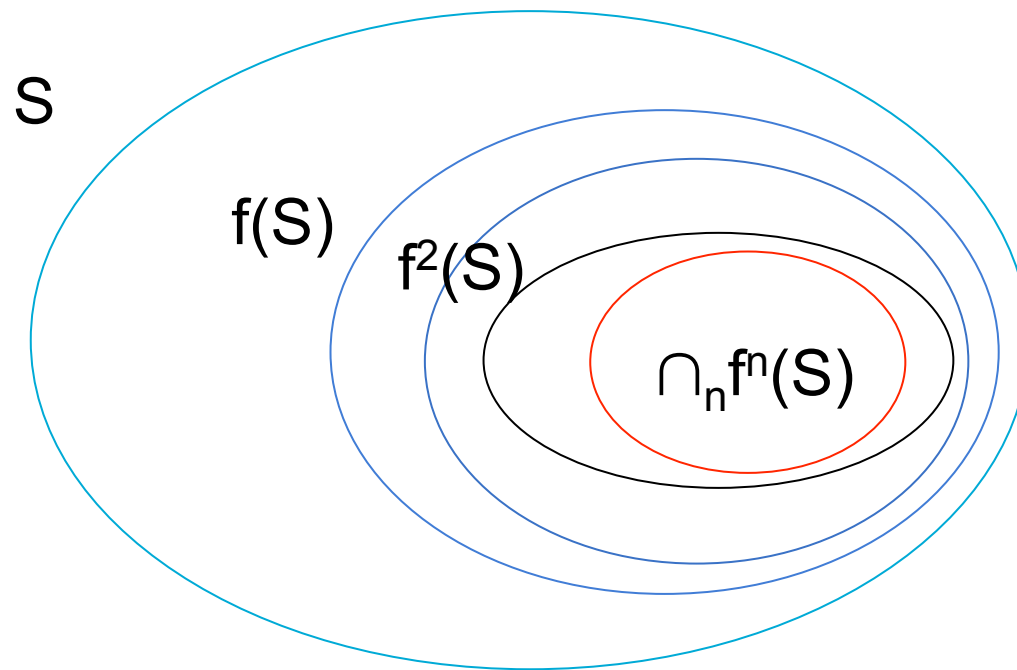
# Fixed point theorem: an example

- Let  $S$  be a set (of states) of a transition system  $K$  and  
 $f: \wp(S) \rightarrow \wp(S)$  a monotonic function w.r.t.  $\subseteq$ ,  
then  $f$  has a minimal and a maximal fixpoint

$\bigcup_n f^n(\emptyset)$  and  $\bigcap_n f^n(S)$ , respectively

# Fixed point theorem (Tarski)

Let  $S$  be a set (of states) and  
 $f: \wp(S) \rightarrow \wp(S)$  a monotonic function w.r.t.  $\subseteq$ ,  
Starting from  $S$  the maximal fixpoint of  $f$  is:



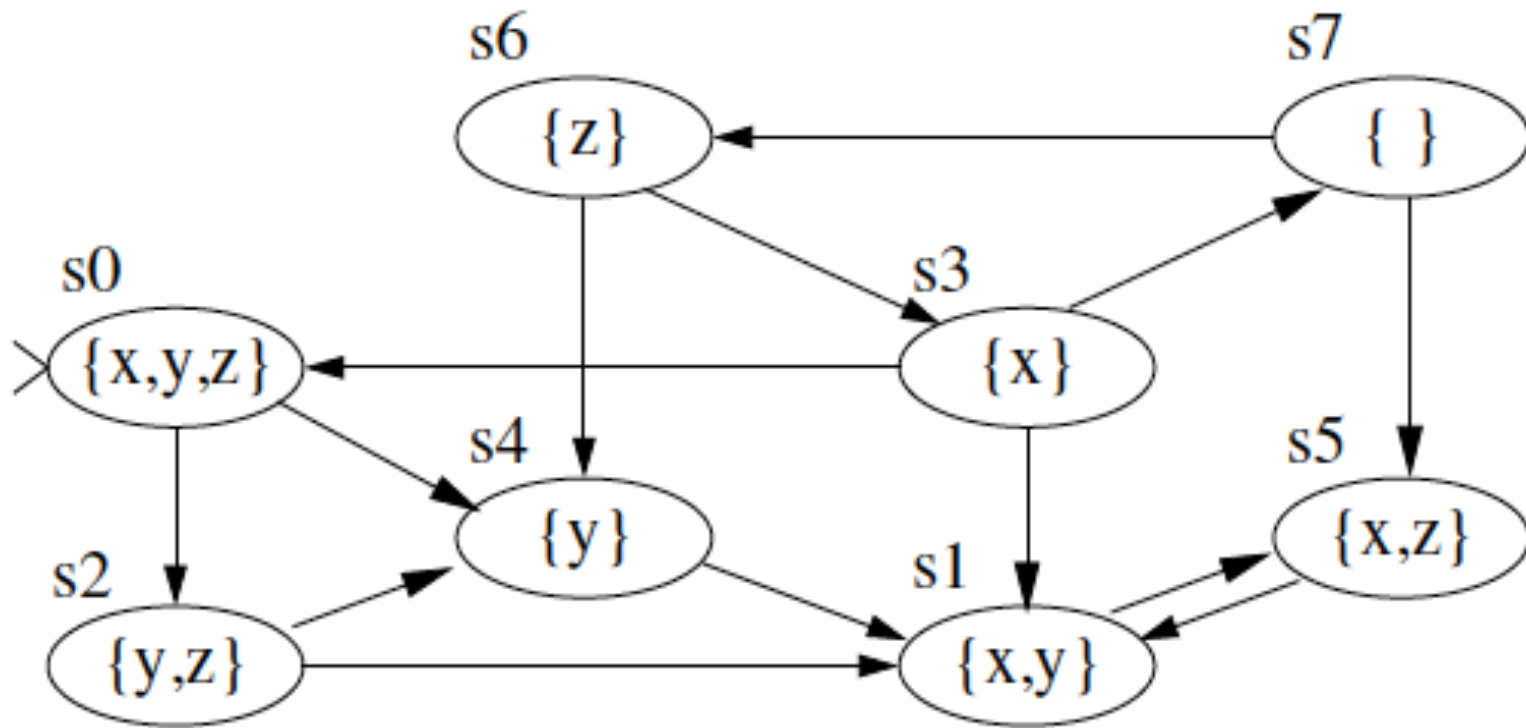


# Looking for a semantic for CTL

We have to find the set of states satisfying a formula  $\phi$ , symbolically,  $S_K(\phi)$  or  $\llbracket \phi \rrbracket$ .

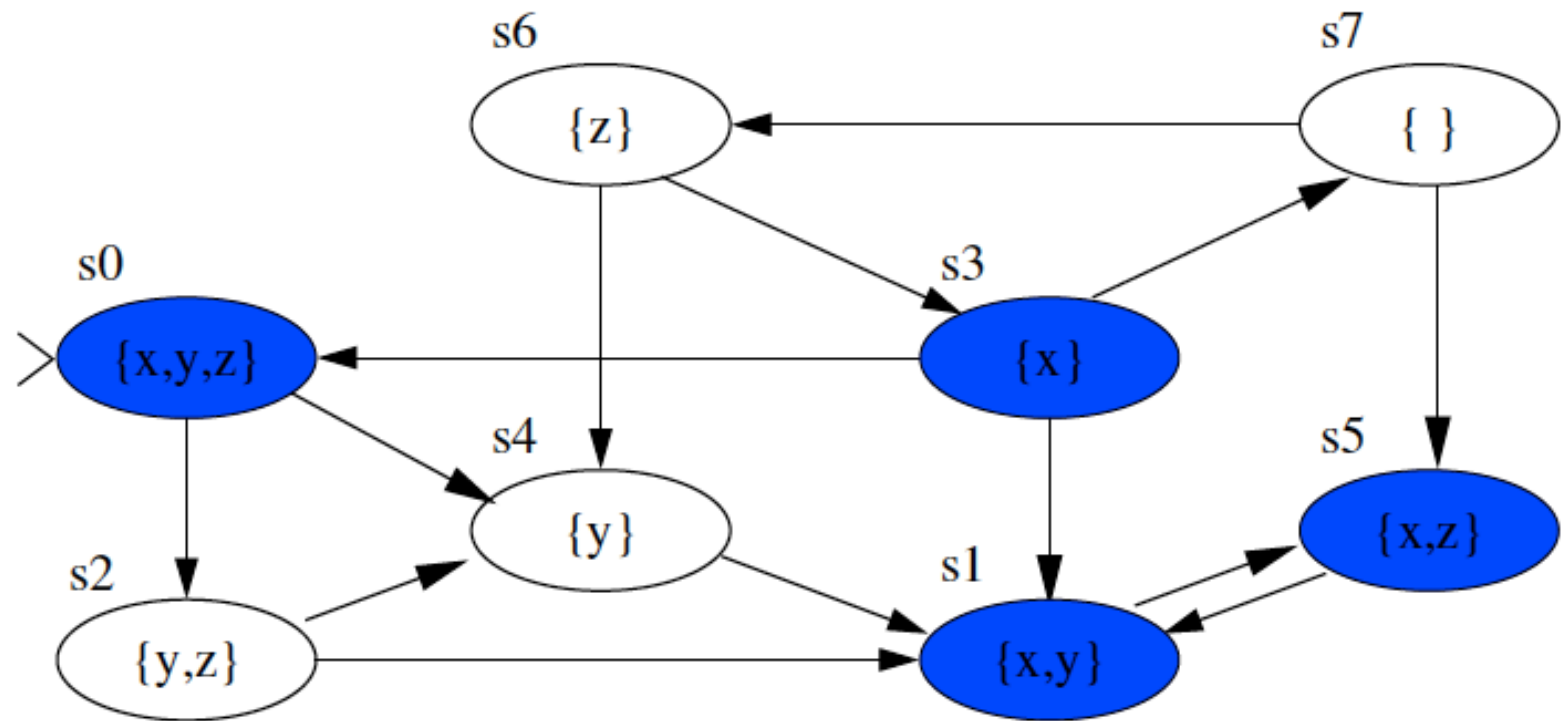
Let us start with a simple example:

we are given with a transition system  $K$

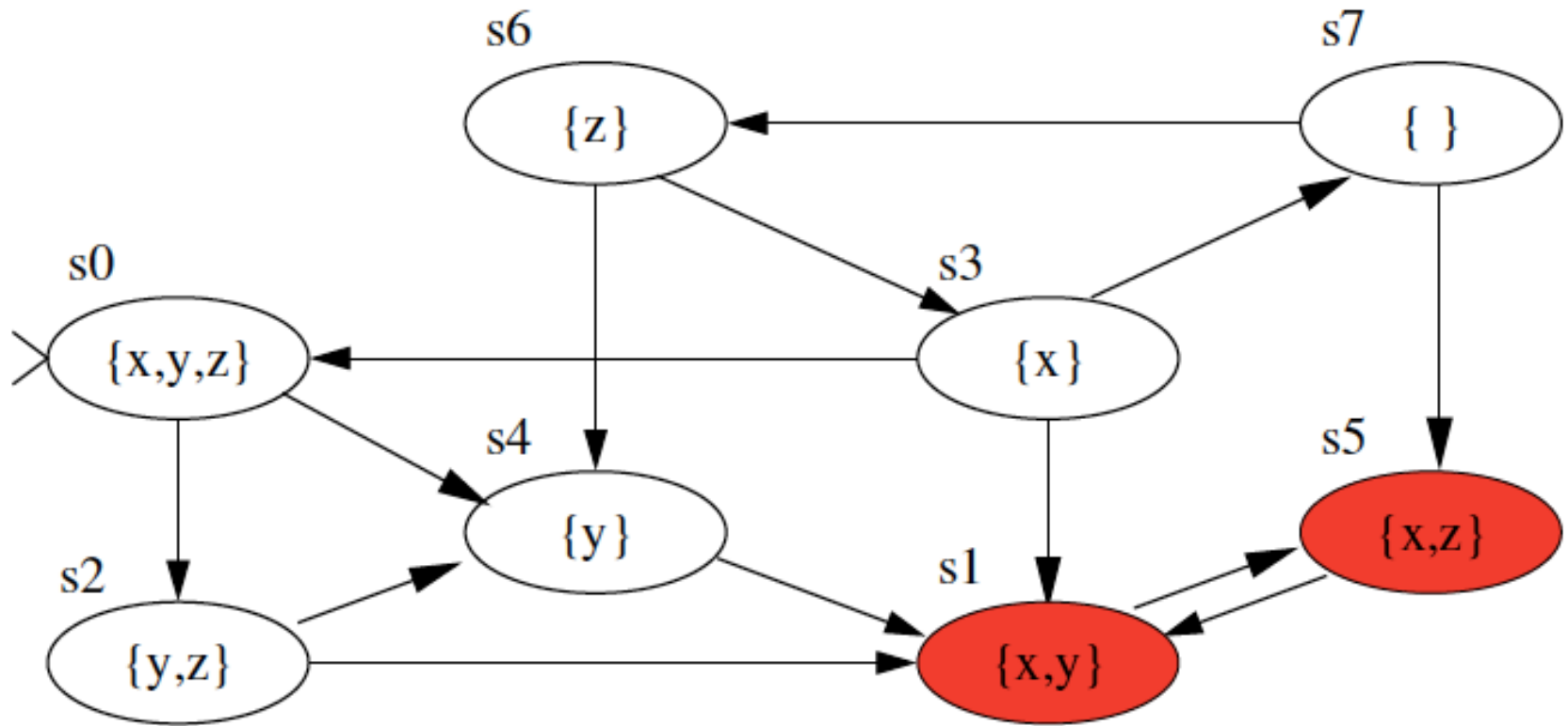




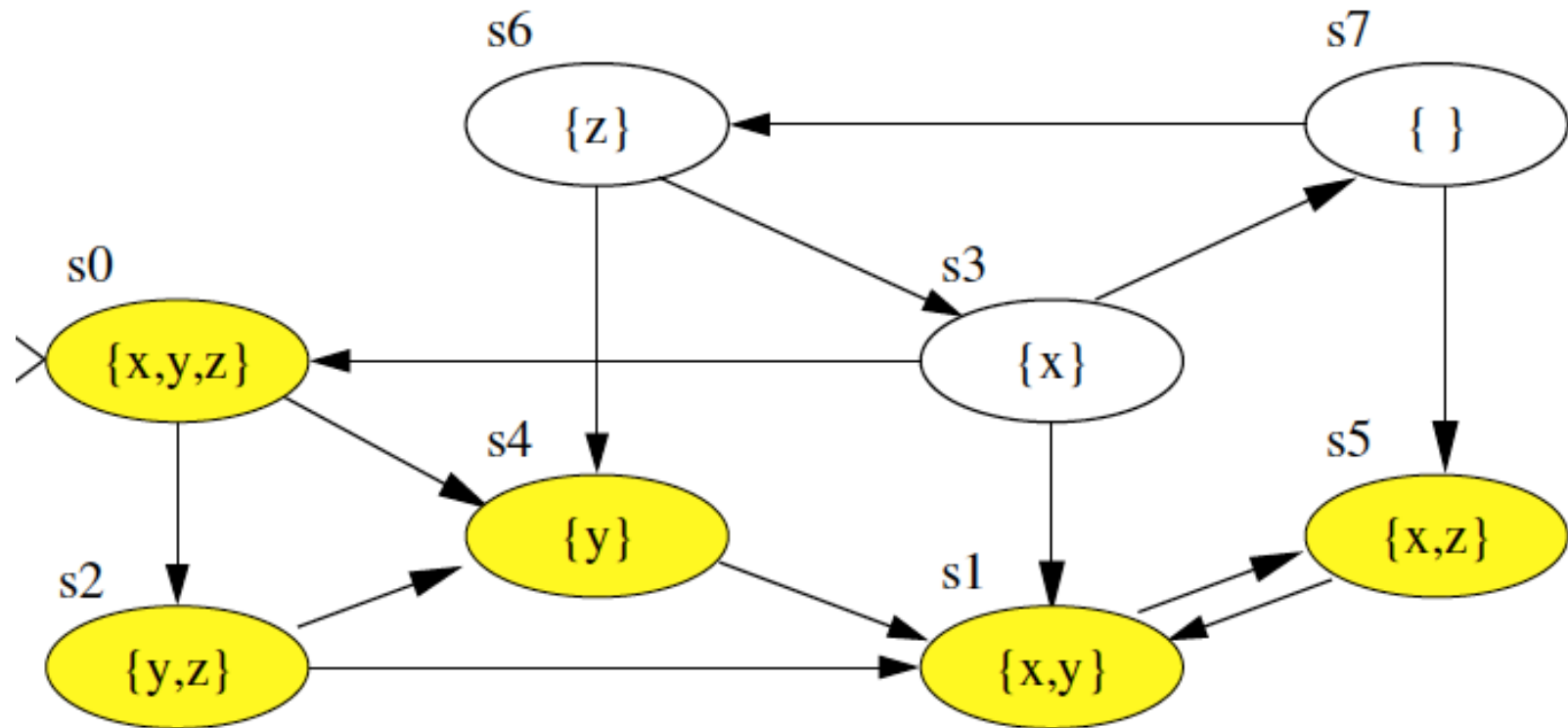
# Compute $\llbracket x \rrbracket$



# Compute $\llbracket \text{AG } x \rrbracket$



# Compute $\llbracket \text{AF AG } x \rrbracket$





# Recursively defined operators

$$AG \phi \equiv \phi \wedge AXAG \phi$$

$$EG \phi \equiv \phi \wedge EXEG \phi$$

$$AF \phi \equiv \phi \vee AXAF \phi$$

$$EF \phi \equiv \phi \vee EXEF \phi$$

$$A[\phi U \psi] \equiv \psi \vee (\phi \wedge AXA[\phi U \psi])$$

$$E[\phi U \psi] \equiv \psi \vee (\phi \wedge EXE[\phi U \psi])$$

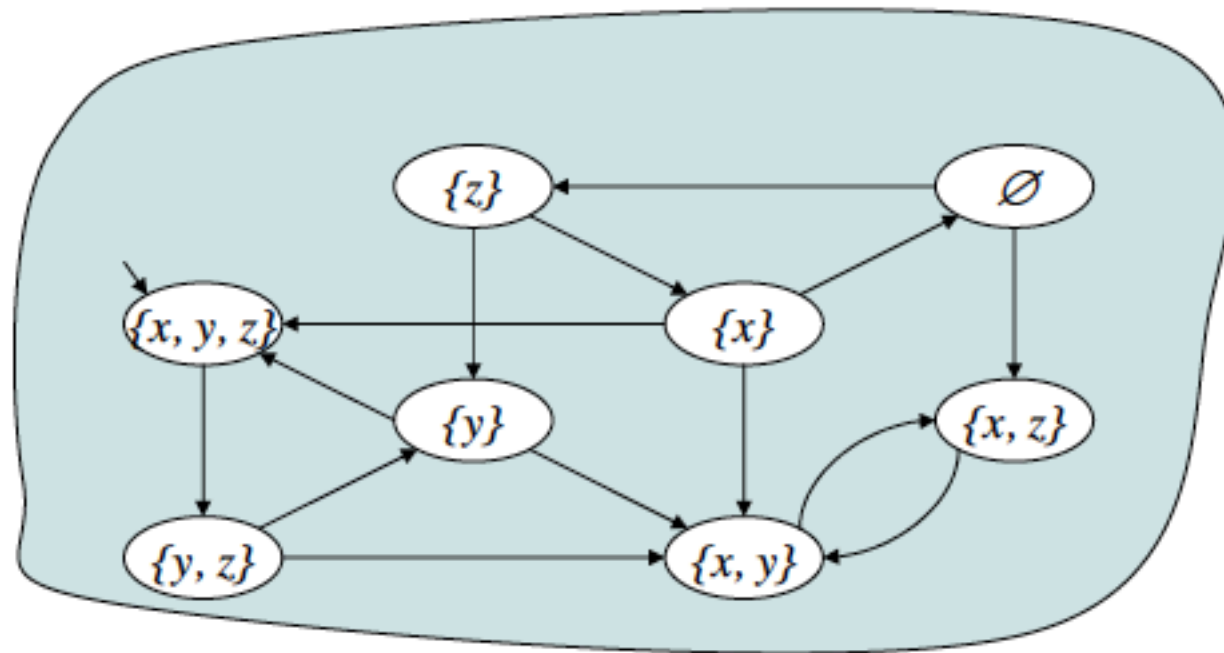


# The example again

- We want to define the set of states where  $EGx$  is the greatest solution of the recursive equation  $x = x \wedge EX(x)$
- i.e. as the maximal fixpoint of the operator  $\pi = (\_) \wedge EX(\_) : \wp(S) \rightarrow \wp(S)$
- We start from the greatest subset  $S$  and define  $pre(S')$  as the subset of states such that there is for them a successor state in  $S'$

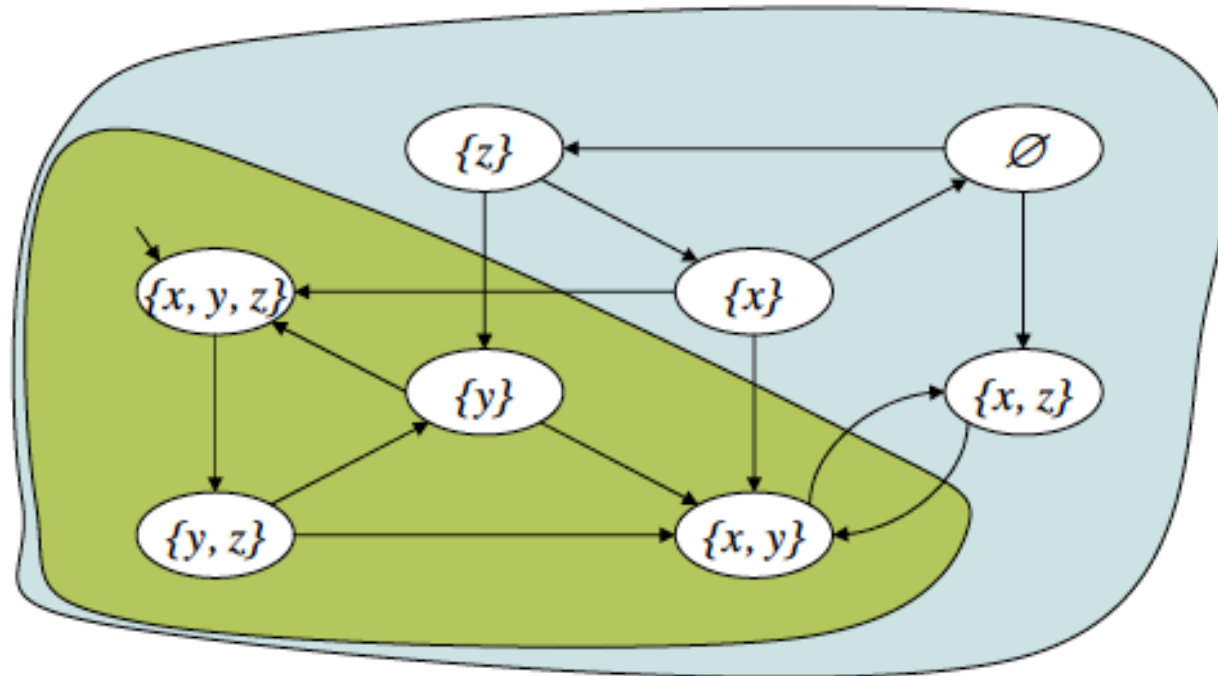
# Illustration for $EG_y$

Initially,  $\pi^0(S) = (\text{all}) S$



# Illustration for $EG_y$

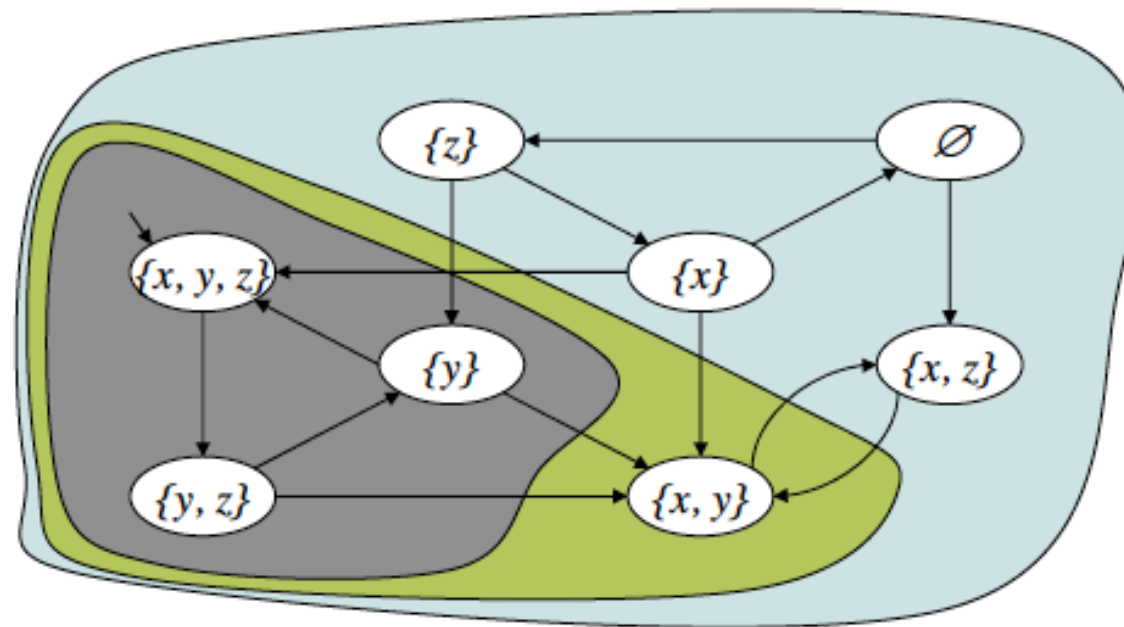
Then,  $\pi^1(S) = S_K(y) \cap pre(S)$



States not satisfying  $y$   
are excluded

## Illustration for $EG_y$

$$\pi^2(S) = S_K(y) \cap pre(\pi^1(S))$$

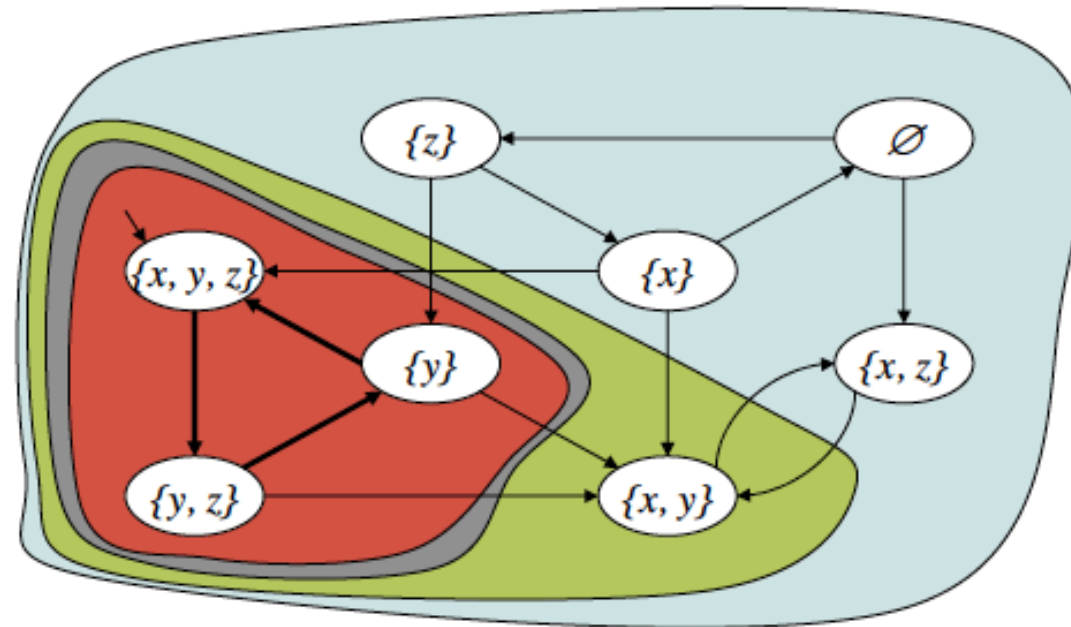


States having all its successors  
outside  $\pi^1$  are excluded



## Illustration for $EG_y$

$$\pi^3(S) = S_K(y) \cap pre(\pi^2(S))$$



The fixed point is  
now reached

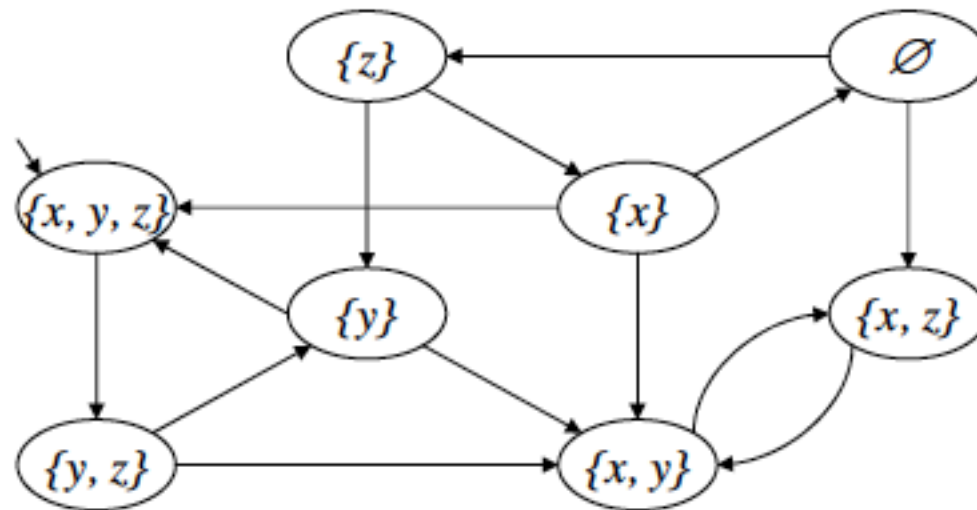


# An example again

- We want to define the set of states where  $E(xUy)$  is the smallest solution of the recursive equation  $\langle x, y \rangle = y \vee (x \wedge EX(x, y))$
- i.e. as the minimal fixpoint of the operator
$$\xi = (\_) \wedge EX(\_) : \wp(S) \rightarrow \wp(S)$$
- We start from the smallest subset of  $S$ , namely  $\emptyset$

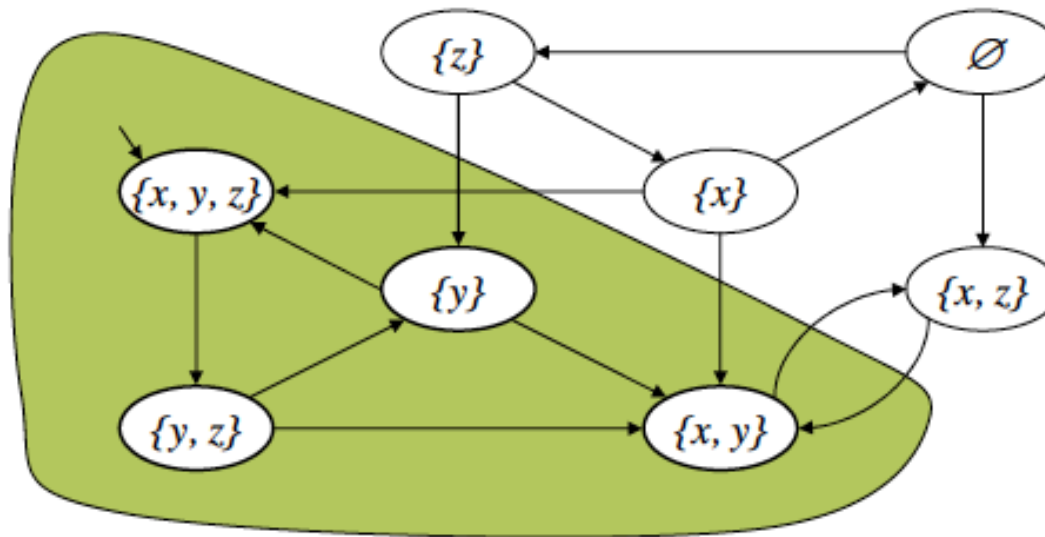
# Illustration for $z \text{ EU } y$

Initially,  $\xi^0(\emptyset) = \emptyset$



## Illustration for $z \text{ EU } y$

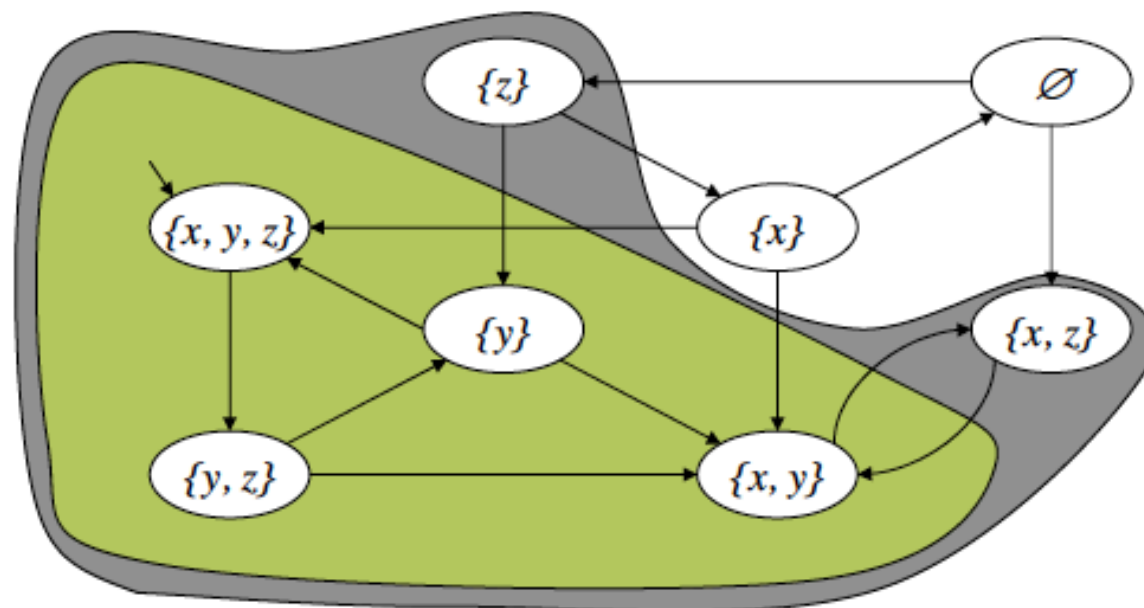
Then,  $\xi^1(\emptyset) = S_K(y) \cup (S_K(z) \cap \text{pre}(\xi^0(\emptyset)))$



States satisfying  $y$   
are added

## Illustration for $z \in U y$

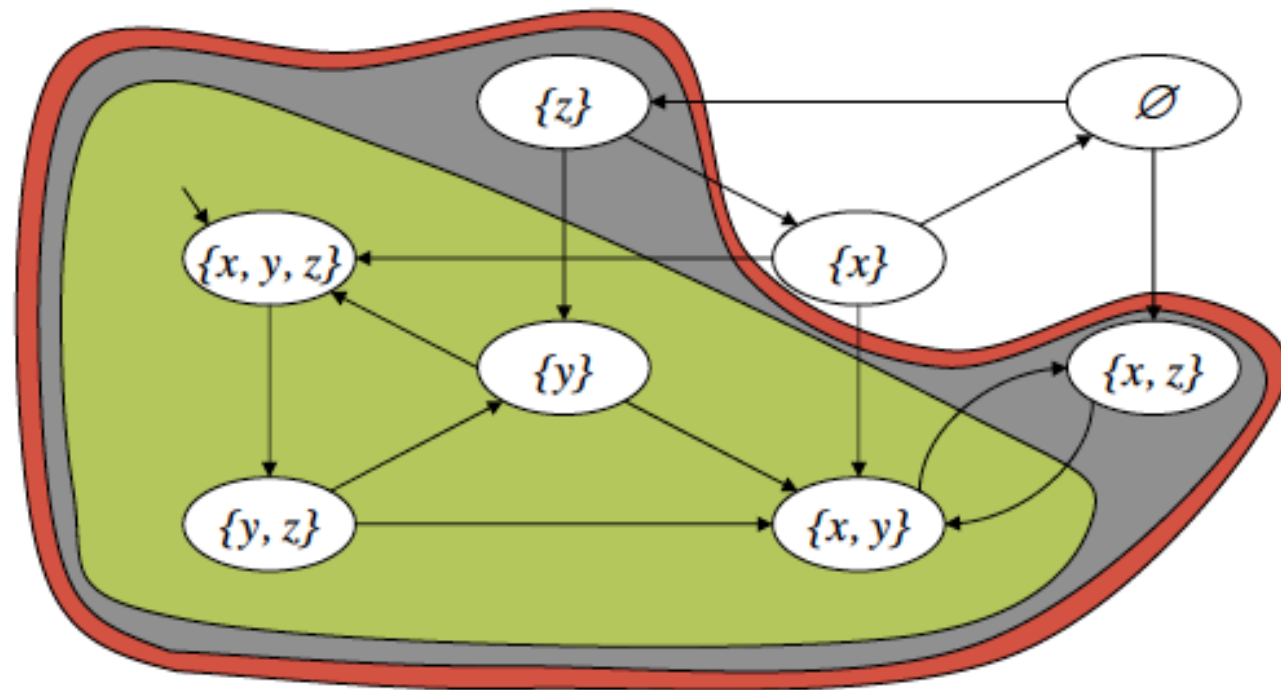
Then,  $\xi^2(\emptyset) = S_K(y) \cup (S_K(z) \cap \text{pre}(\xi^1(\emptyset)))$



States satisfying  $z$   
and having at least a  
successor in  $\xi^1$   
are added

# Illustration for $z \text{ EU } y$

Then,  $\xi^3(\emptyset) = S_K(y) \cup (S_K(z) \cap \text{pre}(\xi^2(\emptyset)))$



The fixed point is now reached.